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1 Title  
2 Evaluating the long-term impact of the Fostering Changes training programme for foster carers in  
3 Wales, the Confidence in Care trial: study protocol for a randomized controlled trial.  
4

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16

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26

27 Abstract

## 28 **Background**

29 The Fostering Changes programme was developed by the Adoption and Fostering National Team at  
30 the Maudsley Hospital, South London, in conjunction with King's College London. It is a 12-week  
31 group-based training programme for foster and kin carers which aims to build positive relationships  
32 between carers and children, encourage positive child behaviour and set appropriate limits, through  
33 a practical skills-based approach. The Programme also aims to improve foster carers' understanding  
34 of the causes of children's social and emotional difficulties and their confidence to apply this  
35 knowledge in various situations.

## 36 **Methods**

37 This is a pragmatic open-label individually randomised controlled trial, with embedded process  
38 evaluation. 237 participants will be recruited from Welsh Local Authorities and Independent  
39 Fostering Providers, and those allocated to the intervention group will be offered enrolment onto  
40 the next Fostering Changes programme group at their site. Participants in the control group will be  
41 offered the Fostering Changes programme at the end of the follow-up period. Data will be collected  
42 at baseline, immediately following the 12 week Fostering Changes intervention, and at 12 months  
43 from the start of the Fostering Changes programme. The primary outcome assesses the extent to  
44 which carers feel able to cope with and make positive changes to the lives of their foster children  
45 and is measured by the Carer Efficacy Questionnaire (CEQ) at 12 months.

## 46 **Discussion**

47 The trial will determine whether the Fostering Changes programme, in the long term, can deliver  
48 important, significant differences to the way Foster Carers build positive relationships with their  
49 foster children, encourage positive child behaviour and set appropriate limits, compared to usual  
50 care.

51

52 Trial registry: International Standard Randomised Controlled Trial Number

53 , number: ISRCTN19090228, date study registered 11/01/2017

54 Sponsor contact details: Sponsorship was not considered to be required by the host organisation

55

56 **Keywords**

57 Foster; Fostering Changes; Training; Foster Carer; Randomised Control Trial; Looked After Children;

58 Self-efficacy, Complex Intervention

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79 **Background**

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81 ○ Background and rationale

82 There were 5,660 looked after children and young people in Wales on 31<sup>st</sup> March 2015 [1] many of  
83 whom are being cared for by foster or kinship carers. Children in care are children who are ‘looked  
84 after’ by a local authority under the Children Act 1989 and Social Services and Well-being Act 2014.

85 Looked after children and young people are more likely to have difficulties related to emotional  
86 wellbeing, mental health [2] and education [3, 4] compared to other children and young people who  
87 are not in care and not in need. This can place a strain on carers and increase the likelihood of  
88 placement disruption [5]. The importance of continuity of care for looked after children has long  
89 been established [6, 7].

90

91 In Wales new carers must undertake pre-approval and later induction training and can then go on to  
92 do optional further training [8]. Training is normally provided by the Local Authority (LA) or by  
93 Independent Fostering Providers (IFPs). Skill-based training may aim to provide carers with support  
94 on topics such as developmental needs and techniques to manage difficult emotions and behaviours  
95 [9]. There have been a variety of ways in which group-based UK training programmes have sought to  
96 support foster carers including helping carers to deal with common challenges. These may cover a  
97 variety of concepts including attachment, managing challenging behaviour, carer confidence and  
98 communication skills. Despite numerous evaluations of such training there have been few attempts  
99 to assess via a formal randomised control trial (RCT). A trial of group training on communication and  
100 attachment by Minnis [10] demonstrates some of the key challenges of in this field with high rates of  
101 withdrawal and high rates of programme attrition, high baseline levels of psychopathology amongst  
102 looked after children and no differences found at nine months follow-up. A second trial [11] with a  
103 shorter level of follow-up similarly found few differences attributable to a cognitive behavioural  
104 training programme for carers including upon placement stability.

105

106 The Fostering Changes programme was developed by the Adoption and Fostering National Team at  
107 the Maudsley Hospital, South London, in conjunction with King's College London [12]. The  
108 programme aims to build positive relationships, encourage positive child behaviour and set  
109 appropriate limits, through a practical skills-based approach. Additionally, Fostering Changes aims to  
110 improve foster carers' understanding of the causes of children's social and emotional difficulties and  
111 their confidence to apply this knowledge in various situations [12]. The Fostering Changes  
112 programme comprises weekly three hour sessions over 12 weeks. Fostering Changes is based on  
113 Social Learning theory [13] and Attachment theory [14] and was developed using ideas from other  
114 parent training programmes [15]. Before-after evaluations of earlier versions of the training found  
115 some improvements including carer-child interaction, carer stress, and carer reported child  
116 problems [15]. With increased understanding of how neglect and abuse impacts upon children's  
117 development the programme was further modified to place more emphasis on attachment  
118 relationships, and how to support carers in improving the educational outcomes of looked after  
119 children [12].

120

121 Briskman et al. [12] trialled the revised programme and found significant improvements in indices of  
122 child and young person behaviour, carer-defined problems, emotional and behavioural difficulties  
123 and quality of relationship in the intervention group compared to a control group. While  
124 encouraging, all outcomes were assessed immediately following programme delivery at 12 weeks  
125 and therefore, it is not known whether these potential benefits endure over time. The Briskman et  
126 al. [12] trial was also conducted with a sample of 63 carers across four Greater London LAs. It is also  
127 important to investigate the effectiveness of the programme in a more representative sample of  
128 foster carers from a wider geographic area. While the outcomes of the Briskman et al. [12] trial have  
129 been used to justify the on-going roll out of the programme in both England and Wales, it is

130 important to establish independently replicated findings of programme effectiveness in order to  
131 support broad implementation.

132

### 133 **Methods / design**

134

#### 135 ○ Objectives

136 To establish the longer-term effectiveness of the Fostering Changes in supporting foster carers. The  
137 trial will provide the first evidence of the programme effectiveness beyond the end of the training  
138 programme.

139

#### 140 ○ Trial design

141 This study is a pragmatic open-label individually randomised controlled trial, with embedded process  
142 evaluation. Participants recruited to the intervention group will be offered enrolment onto the next  
143 Fostering Changes programme group at their site. Participants in the control group will be offered  
144 the Fostering Changes programme at the end of the follow-up period. All participants will continue  
145 to have access to usually provided support and advice services.

146

#### 147 ○ Study setting

148 The Confidence in Care (CiC) Consortium is funded by the Big Lottery to deliver Fostering Changes  
149 across all LAs in Wales. The four CiC delivery partners will deliver the Fostering Changes programme  
150 to each provider agency, either LAs or IFPs. Provider agencies will have a nominated staff member  
151 responsible for the running of the programme in that site. A total of 19 sites will be set-up. Site set-  
152 up is led by the trial manager and can be conducted either face-to-face or remotely. Set-up involves  
153 coordination with local nominated staff, presentation about trial aims and methods, requirements  
154 from site (e.g. provision of pseudonymised lists of eligible carers, distribution of study packs to  
155 carers) and resolving queries.

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- Site selection

The Fostering Changes programme aims to run in all 22 LAs in Wales as well as in some IFPs. The roll-out of the programme was determined in a delivery framework set-out at the start of the Fostering Changes Programme. While the framework provides an overarching structure there is some flexibility in which sites are run when. The sites selected to be part of the RCT will be all sites run between January 2016 and April 2017 (inclusive). Some trial sites may deliver more than one training course in this period. All sites will be included except in cases where some sites are deemed to be too small (i.e., likely eligible participants) to accommodate the RCT.

- Participant selection

Participants can either self-select by responding to a postal invite, or are nominated by provider agencies. Provider agencies will select participants to nominate based on locally determined criteria, including perceived needs of a foster carer, or apparent availability based on absence of competing commitments.

- Eligibility criteria

Eligibility criteria will match Fostering Changes enrolment criteria. Participants in the trial must be LA foster carers or employed by an independent or not-for-profit agency or be family carers (kin-carers and non-related foster carers), currently have a child aged two or over placed with them and expect to be caring for that child for the duration of the Fostering Changes programme (i.e., at least 12 weeks). Foster carers must be prepared to attend all 12 sessions of the programme, and they must have sufficient understanding of English or Welsh to complete the intervention.

Participants must not have not attended the Fostering Changes programme previously, not have a foster child attending a children’s skills group (a separate intervention being delivered by CiC outside



182 of the trial), and they must not live in the same household as another carer who has participated in  
183 the Fostering Changes Programme.

184

185 • Interventions

186 ○ Trial Intervention: The Fostering Changes programme

187 Participants in the intervention arm will receive the 12 week group-based training programme

188 (delivered in line with school terms), and three termly support groups designed to reinforce and

189 maintain programme learning. The programme has been commissioned to run with a group size of

190 12 carers, which may involve a mix of foster and kin carers. Week one of the training programme is

191 an induction session and thereafter each session will last three hours and will consist of:

192

193 – Start of session feedback from carers regarding skills covered during the previous week

194 and experience at home;

195 – Review of the theoretical material underlying the topics for that week (in a way that is

196 accessible for carers)

197 – New skills / strategies to be used at home

198 – End of session feedback

199

200 The programme will be delivered by four delivery partners: The Fostering Network, Barnardo's,

201 Action for Children, and The Adolescent and Children's Trust. Programme delivery is independent of

202 the research team although a delivery group (delivery partners and research team) will meet to

203 coordinate Fostering Changes roll-out within the trial. Delivery partners will provide data for the

204 process evaluation (e.g., attendance data).

205

206 Fostering Changes facilitators are selected by delivery group members based on a best practice  
207 guide issued by the SLAM team. All facilitators can choose to be accredited in the delivery of the  
208 programme by the SLAM team, but are under no obligation to do this.

209

210 Table 1. Summary of Weekly Topics [16]

211

212 ○ Usual services

213 There is no active control. Usually provided support and advice services include, but are not  
214 restricted to support from the local fostering team, access to The Fostering Network helpline,  
215 universal health and education services, and locally organised foster carer support groups.

216

217 ○ Retention strategy/adherence

218 To maintain engagement, encourage retention and thank foster carers, trial participants will receive  
219 a £10 high street voucher on completion of the 3 month and 12 month questionnaires. Contact  
220 details will be collected during recruitment and will be verified by provider agencies as the trial  
221 continues. Participants will be reminded via text and email when a questionnaire will be posted to  
222 them and will also receive a newsletter updating them on study progress at 9 months post-  
223 recruitment. Participants who do not respond to postal follow-up will be contacted with the offer to  
224 complete their follow-up questionnaires via telephone if they wish. Participants have the right to  
225 withdraw consent for participation at any time.

226

227 ● Outcomes and participant timeline

228 ○ Primary outcome

229 The primary outcome was selected to assess the extent to which carers feel able to cope with and  
230 make positive changes to the lives of their foster children and is measured by the Carer Efficacy  
231 Questionnaire (CEQ) at 12 months. The primary comparative analyses will employ an analysis of

232 covariance (ANCOVA) model to the CEQ score (adjusting for baseline CEQ score) to investigate  
233 intervention effect. The CEQ was designed by the clinical team at King's College London [12] and  
234 contains nine Likert-like items relating to knowledge, ability and possible change which are assessed  
235 using a five point response scale ranging from strongly disagree to strongly agree. A higher total  
236 score indicates stronger beliefs about their own ability to make positive changes to children's  
237 behaviour and outcomes. This scale was included in the pilot phase which preceded the main  
238 Briskman trial [12] which amongst other things established acceptability e.g. in terms of matters  
239 such as completion time. Content validity was established through the input of the Kings clinical  
240 team (i.e. through their specification of the three stated content domains). Briskman et al (2012)  
241 found a non-significant difference in CEQ scores between the study groups favouring the  
242 intervention arm, which given the small sample size provides some support for concurrent validity.  
243 The internal consistency of this scale assessed using Cronbach's alpha was 0.66, indicating  
244 satisfactory internal validity. However, the current researchers would agree that the evidence for  
245 scale validity requires further work. We will explore missingness and floor / ceiling effects for the  
246 items contributing to the primary outcome measure.

247

248 ○ Secondary outcomes

249 Secondary objectives are listed as follows:

250 – To ascertain whether *Fostering Changes* makes a difference to rates of unplanned moves for  
251 looked after children.

252 – To ascertain whether *Fostering Changes* makes a difference to looked after children's  
253 reported engagement with education at 12 month follow-up.

254 – To ascertain whether *Fostering Changes* makes a difference to carer's support for child's  
255 education at 12 month follow-up.

256 – To ascertain whether *Fostering Changes* improves carer-child relationship at 12 month  
257 follow-up.

- 258 – To ascertain whether *Fostering Changes* improves carers' coping strategies.
- 259 – To ascertain whether *Fostering Changes* improves child behaviour and emotional problems.
- 260 – To ascertain whether *Fostering Changes* reduces carer-defined problems.
- 261 – Use of services and supports.
- 262 – To conduct a process evaluation, including participant and facilitator interviews and
- 263 intervention observations, to examine contextual factors, causal mechanisms and fidelity of
- 264 programme intervention.

265

266 More details are in Table 2. Potential moderators will be examined including socio-demographics  
267 and carer and foster child history recorded at baseline.

268

269 Table 2. Participant timeline - Schedule of enrolment, interventions, assessments (SPIRIT figure, see  
270 additional file 1 for SPIRIT checklist)

271

272 The Carer Defined Problems Scale (CDPS) [17] is an individualised measure of carer-nominated child  
273 behavioural problems. The Strengths and Difficulties Questionnaire (SDQ) [18] measures a child's  
274 social, emotional and behavioural adjustment. The Carers' Coping Strategies (CCS) scale assess  
275 carers' coping strategies and the Quality of Attachment Relationship Questionnaire (QUARQ) is a  
276 measure of carer-child attachment relationship. The last two measures were developed by the  
277 Briskman et al. [12] trial team.

278

- 279 ○ Sample size estimation

280 The sample size was informed by the reported Carer Efficacy Scale results from the previous trial  
281 [12]. Based on a mean [19] score of 27.1 (3.9) in the control group, and a 2:1 ratio (intervention:  
282 control) to maximise the number of carers attending each training programme, a sample size of 213  
283 carers (142:71) would provide 80% power at the 5% level to detect a difference of 1.6 points on the

284 Carer Efficacy Scale. To allow for 10% loss to follow-up (due to non-response) the sample size is  
285 inflated to 237 (158:79 respectively). Participants will be recruited at an average rate of 14 per  
286 month for 17 months (although it is recognised that recruitment will be termly in nature). This  
287 sample size is based on a maximum of one carer being recruited per household. This does not  
288 prevent two carers from the same family attending the training but only one would be a trial  
289 participant. Households will be asked to identify the primary carer for the purposes of evaluation in  
290 such circumstances.

291

- 292 • Data collection methods
- 293 ○ Recruitment and consent

294 Recruitment will occur in waves aligned to the school terms (three rounds of recruitment are  
295 planned per year). Each LA will supply the trial team with a list of pseudonymised foster carer details  
296 who meet study eligibility criteria. For each site / wave, at least 50 carers will be randomly selected  
297 to receive a study pack containing an invitation letter, a participant information sheet, local  
298 information including dates and venue of the Fostering Changes programme, a Fostering Changes  
299 leaflet describing the contents of the programme, and a reply slip with stamped-addressed  
300 envelope. Carers can register their interest by responding to the trial team via postal reply slip,  
301 email, phone, or text. Both LAs and IFPs will further provide to the trial team a subset of at least 18  
302 eligible foster carers considered to be both interested and suitable to take part in the trial, who have  
303 provided consent to be contacted by the trial team.

304

305 Foster carers will be contacted by Health and Care Research Wales (HCRW) Researchers or a  
306 member of the core trial team to explain the trial, answer any questions and confirm eligibility.  
307 Carers will then be offered either a telephone interview or a home visit and verbally provided with  
308 further details of the trial and the Fostering Changes programme, and an opportunity to address any  
309 questions they may have. If they agree to participate in the trial, written consent (at the home visit)

310 or verbal consent (during the telephone interview) will be obtained and baseline measures taken.

311 For those who complete a telephone interview written consent will also be sought at a later date if  
312 possible.

313

314 Once sufficient carers have been recruited to allow formation of one group (n=18), recruitment will  
315 stop for that site / wave. The trial team will inform the provider agency and the delivery team of  
316 who has been recruited.

317

318 ○ Frequency and duration of follow-up

319 Data will be collected by HCRW or trial team researchers at baseline, and by trial team researchers  
320 at the end of the 12 week Fostering Changes programme (termed three month follow-up) and again  
321 9 months following this (termed 12 month follow-up). Baseline data will be collected at home visits  
322 or by telephone interview up to three months before the start of the Fostering Changes programme.

323 Follow-up data will be collected via postal questionnaires or by telephone interview, in the first  
324 instance postal questionnaires will be sent out to participants, if there is no response in 2 weeks  
325 members of the Trial Team will attempt to complete a telephone interview with the participant.

326 To reduce the risk of bias in data collection, trained data collection staff will use a 'script' when  
327 contacting participants for follow-up. Participants will be asked not to reveal their allocation to data  
328 collection staff until the end of interview when a question about programme attendance is asked. If

329 allocation is revealed to data collection staff before this point then this will be noted. The formal

330 follow-up strategy will include details such as how participants are to be contacted, how many times,

331 and ways to increase follow-up rates through the use of newsletters to ensure that participants are

332 engaged with the trial, and the updating of participant contact details from provider agencies. All

333 data will be captured on paper CRFs and will be manually entered onto a database, hosted on

334 secured University servers. A 10% check of the data will be made to ensure that data has been

335 entered correctly. Programme attendance data will be collected by programme facilitators and  
336 supplied to the research team via the CiC Delivery team.

337

338     ○ Randomisation/sequence generation

339 Carers agreeing to participate will be allocated to trial arm with an assignment ratio 2:1 (intervention  
340 to control group) using an algorithm that will minimise by type of carer (kin carer / unrelated carer)  
341 and age of looked after children in the household (< 12 / 12+ years) for each site.

342

343 Following initial site set-up, participants may be recruited at any time-point before the first Fostering  
344 Changes group is due to commence. Allocation will only occur within 6 weeks of the start of the  
345 Fostering Changes programme and will be conducted centrally by the core trial team via telephone  
346 following notification of recruitment. There will be complete concealment of the preceding  
347 allocations from the field recruitment staff eliminating the possibility of predicting the next  
348 allocation. Participants will be telephoned by the Trial Manager and informed of their allocation.  
349 With the exception of the Trial manager and Study Administrator, all trial team members and field  
350 recruitment staff will be blinded to allocation. The trial statisticians will be unblinded after  
351 introduction of the allocation by nature of the 2:1 allocation ratio. Control-allocated carers will be  
352 able to access training after end of study period.

353

354     • Data Management

355 Data will be entered onto paper CRFs at site, staff will be GCP trained and also trained in study-  
356 specific procedures. Clinical data will then be entered manually into a SQL database by the trial  
357 administrator. Study management data will be entered into an Access database by the trial  
358 administrator. Both clinical and study management data will be checked visually upon receipt by the  
359 trial administrator, automatic validation checks will be completed during clinical data entry (built  
360 into the SQL database), 10% of all data manually entered into the SQL database will be checked by

361 the data manager, finally data will be checked during data cleaning with SPSS Syntax for validations  
362 and missing data. The core trial team members only will have access to hard copies of the data, and  
363 these will be stored in a locked cupboard. The same individuals will have access to the study  
364 management database and the SQL database which will both be password protected. A data  
365 management plan will be completed and adhered to.

366

- 367 • Statistical methods
- 368 ○ Main analysis

369 This protocol paper follows SPIRIT guidelines, and the analysis and reporting of this pragmatic  
370 randomised trial will be in accordance with CONSORT (Consolidated Standards of Reporting Trials)  
371 guidelines. Data will be analysed using the intention-to-treat principle and all eligible randomised  
372 participants will be included in the analysis. The primary comparative analyses will employ an  
373 analysis of covariance (ANCOVA) model to the CEQ score (adjusting for baseline CEQ score) to  
374 investigate intervention effect at 12 months. Additional covariates included are those balanced at  
375 randomisation (type of carer and age of looked after children in household). Although ANCOVA is  
376 robust against normality violation [20, 21], homoscedasticity will be explored using various  
377 diagnostic plots of the residuals of the fitted model (such as kernel density estimators and quantiles  
378 of the residuals against quantiles of normal distribution). If there is evidence of heteroscedasticity  
379 amongst the residuals then robust standard errors will be used. Mixed-effects three-level regression  
380 models will be used to adjust for site (LA/IFP) as a stratification variable and to allow for clustering  
381 by block in the intervention group. The result will be presented as the (adjusted) difference in mean  
382 CEQ score between the intervention and control groups, along with 95% confidence interval (CI) and  
383 p-value, with due emphasis placed on confidence intervals for the between-arm comparisons. A  
384 secondary analysis of the primary outcome will examine CEQ score over time incorporating the three  
385 month response.

386



387 Secondary outcomes will be analysed using multi-level linear or logistic regression at three and 12  
388 months follow-up. Baseline reported scores, and variables balanced on at randomisation will be  
389 controlled for as covariates. Various hierarchies will be investigated to explore clustering. Repeated  
390 measures models will include an interaction term for time and trial arm to investigate any divergent  
391 / convergent pattern in outcomes [22]. The adjusted regression coefficients will be provided  
392 alongside 95% CIs and p-values. The categorical version of SDQ total difficulties will be compared  
393 between trial arms by fitting a multilevel ordinal regression model to the SDQ category at three and  
394 12 months follow-up. Baseline reported SDQ score, and variables balanced on at randomisation will  
395 be controlled for as covariates.

396

397 With validated scales, the outcome will be used as directed in the manuals either using categorical  
398 (using validated cut-offs) or continuous score. Newly derived or modified measures will be further  
399 validated by using Cronbach's  $\alpha$  to assess the scale reliability and a factor analysis will determine  
400 factor loadings.

401

402 ○ Sub-group and interim analysis

403 There is no planned interim analysis. Exploratory sub-group analyses are planned for:

- 404 ● Age, gender, placement history of the index foster child;
- 405 ● Experience, qualifications of the foster carer and size of household.

406

407 Appropriate interaction terms will be entered into the primary regression analysis to conduct pre-  
408 specified subgroup analyses. Subgroups will be fully defined in advance of any analysis being started  
409 with input from the trial team and summaries of current evidence from the literature. Since the trial  
410 is powered to detect overall differences between the groups rather than interactions of this kind,  
411 the results of these exploratory analyses will be presented using confidence intervals. A full

412 statistical analysis plan (SAP) will be completed and signed off prior to any analysis being  
413 undertaken.

414

- 415 • Data monitoring

416 Regular monitoring will be performed by the Trial / Data Manager according to the principles of GCP.  
417 Data will be evaluated for compliance with the Protocol and accuracy. Following written Standard  
418 Operating Procedures (SOPs), the monitors will verify that the trial is conducted and data are  
419 generated, documented and reported in compliance with the Protocol. There is no separate Data  
420 Monitoring Committee for the Trial. Any key data queries will be taken to the Trial Steering  
421 Committee (TSC).

422

- 423 • Adverse event monitoring

424 There are no expected adverse events related to the intervention or research procedures, and the  
425 Cardiff University School of Social Sciences (SOCSI) Research Ethics Committee have approved that  
426 they should not be reported for this trial.

427

- 428 • Auditing

429 No independent audits are planned.

430

- 431 • Ethical and governance approval

432 Ethical approval for this trial was given by Cardiff University SOCSI Research Ethics Committee on 4<sup>th</sup>  
433 June 2015, reference number SREC/1515, this was centralised ethical approval for all sites. A Trial  
434 Steering Committee (TSC) will meet approximately every six months to provide study oversight. The  
435 TSC comprises two independent academic social workers (one of whom is the Chair), an  
436 independent statistician and a lay representative. The Fostering Changes programme was selected

437 for use in the Confidence in Care project following input from a multi-sector advisory group which  
438 included representation from Heads of Children’s Services in Wales.

439

- 440 • Confidentiality

441 All data will be kept for 15 years in line with Cardiff University’s Research Governance Framework  
442 Regulations for clinical research. Electronic data will be stored confidentially on password protected  
443 servers maintained on the Cardiff University Network. All hard copy forms will be stored in locked  
444 filing cabinets. For participant interviews all audio files will be recorded on encrypted audio-  
445 recorders and securely held in password controlled databases on Cardiff University servers. Audio  
446 files will be transcribed and anonymised using University-approved transcription companies. No  
447 identifiable data will be published.

448

- 449 • Access to data

450 The Chief Investigator will have access to the final trial dataset.

451

- 452 • Dissemination policy

453 A publication plan and dissemination policy will be written. The trial results will be disseminated in  
454 full and with lay summary on the Centre for Trials Research (CTR) website, and a summary of the  
455 results will be disseminated to all participants. It is expected that all study management team  
456 members (protocol paper co-authors) will co-author the main results paper. Any data requests  
457 should be made to the CTR. The CTR is a signatory of AllTrials and aims to make its research data  
458 available wherever possible.

459

- 460 • Process evaluation

461 An integral process evaluation of this complex training intervention will aid interpretation of the trial  
462 results. The process evaluation will be mainly undertaken through qualitative interviews with foster

463 carers, training facilitators and other stakeholders. We will aim to triangulate the qualitative data on  
464 experiences of the intervention with quantitative data on attendance throughout the 12 week  
465 intervention. This is likely to include exploration of programme enrolment, quality of engagement,  
466 retention and reach.

467

468 The process evaluation will take part in two phases. The first phase will involve further developing  
469 the logic model of the intervention. The theoretical underpinnings of the training have already been  
470 proposed and along with the initial Briskman et al. [12] trial there is some evidence for how  
471 theoretical change mechanisms may lead to effect (hence the selection of carer efficacy as primary  
472 trial outcome). Nevertheless, an explicit logical model has yet to be developed. A detailed  
473 understanding of the mechanisms of the intervention is necessary for us to explore whether the  
474 Fostering Changes programme was delivered as originally intended. The logic model will be  
475 developed through review of programme materials and refined through interaction with  
476 intervention designers. In addition, it is expected that there may be some variation in support  
477 routinely provided to carers throughout Wales. To better understand the nature of the comparison  
478 being made in the trial and how the study setting may influence outcomes, the first phase will also  
479 include an assessment of usual support for foster carers. We will use a mixed methods approach to  
480 combine documentary analysis of key existing documents, qualitative data from interviews with  
481 foster carers, and quantitative questionnaire data with foster carers about other support accessed.

482

483 Using qualitative interviews with foster carers (n=up to 40), interviews with Fostering Changes  
484 facilitators and training providers (n=up to 15), and quantitative measurements (including  
485 attendance rates), the second phase of the process evaluation (table 3) will explore:

- 486 1. Fidelity of the intervention: We will develop intervention fidelity measures and assess  
487 whether the Fostering Changes programme was delivered as originally intended (e.g.,  
488 quantify session attendance, qualitatively explore facilitator approach to delivery of

489 manualised programme content)[23], and therefore determine whether the trial represents  
 490 a good test of the intervention. We will explore barriers to, and facilitators of optimal  
 491 implementation.

492 2. Acceptability of the intervention: We will explore the acceptability of the training  
 493 intervention to carers, and in particular how the new elements of the programme (follow-up  
 494 carer support) were experienced by carers.

495 3. Feasibility of intervention implementation: This will include assessing factors that may  
 496 impact upon implementation of Fostering Changes through interviews with training  
 497 providers and with other key stakeholders (e.g. leads from LA fostering teams).

498 4. Contamination within trial: We will monitor via group session attendance records,  
 499 participant follow-up questionnaires and through process evaluation interview exposure of  
 500 control group participants to the intervention.

501

502 Table 3. Summary of key process evaluation components (phase 2)

<b>Component</b>	<b>Set-up work</b>	<b>Within-trial baseline / outcome assessment</b>	<b>Routine data collection (group training)</b>	<b>Exit interviews (after 12 month follow-up) – carers / training providers</b>
Fidelity	Y		Y	Y
Contamination			Y	Y
Assessing usual care	Y	Y	Y	Y
Acceptability				Y
Feasibility				Y

503

504 Interview schedules (and where relevant, topic guides) will be developed by the research team  
 505 informed by the research aims and are likely to be semi-structured in nature to allow for developing  
 506 and emerging issues to be probed. Audio files of interviews will be securely held in password  
 507 controlled databases on Cardiff University servers. Digitally recorded interviews will be transcribed  
 508 verbatim, anonymised using University-approved transcription procedures and checked by the  
 509 researcher. No identifiable data will be published.

510

511       ○ Process evaluation analysis

512 Interview data will be subject to thematic analysis. Thematic analysis is an interpretive process in  
513 which data are systematically searched for patterns to provide an illuminating description of the  
514 phenomenon [24]. This will involve the following stages: familiarisation with data, generating initial  
515 codes, searching, reviewing, and defining themes [25]. Areas of contrast in participant perspectives  
516 as well as similarity will be identified. Qualitative coding software (such as NVivo10) will be used to  
517 assist in data analysis. Measures will be put into place to ensure validity and reliability. More than  
518 one researcher will be involved in the development of the coding framework and identification of  
519 themes (at global, organising, and basic levels) [26]. Double coding will be carried out on a sample of  
520 the data until consensus is reached. Quantitative data on training session attendance gathered by  
521 facilitators and control group participant report of usual care in follow-up assessment will inform  
522 sampling for qualitative interviews and as the basis for triangulation of collected data. An analysis  
523 plan for the process evaluation will be finalised prior to inception of the data collection.

524

525       • Public involvement

526 A contact group of carers in South Wales who received the intervention, but who are not  
527 participants in the trial, will provide lay input to the study. This will include guidance on participant  
528 materials and on-going carer engagement (e.g. retention). A face-to-face focussed group discussion  
529 will take place with this contact group at least twice supplemented by ad hoc contact via email at  
530 key trial milestones. The TSC will include an independent lay representative with experience of  
531 foster care (i.e. being a carer).

532

533       • Trial funding

534 The Confidence in Care Consortium responsible for delivering the Fostering Changes programme in  
535 Wales and the trial are both funded by the Big Lottery Fund (project ID 0010250833)

536

- 537 • Other associated studies

538 Other associated planned studies include a process evaluation of implementing children’s skills  
539 groups in Wales, a process evaluation to explore the implementation of Fostering Changes training  
540 for residential care workers in Wales. There will also be a Feasibility Study of Fostering Healthy  
541 Futures, a programme developed in Colorado providing direct work-skills groups and mentoring to  
542 young people in foster care with aims to improve longer term mental health outcomes. All three  
543 studies will be led by Children’s Social Care Research and Development Centre (CASCADE), Cardiff  
544 University and conducted within the Confidence in Care project but outside of the trial.

545

- 546 • Discussion

547 The aim of the trial is to determine whether the Fostering Changes intervention can deliver  
548 important, significant differences to the way foster carers build positive relationships with their  
549 foster children, encourage positive child behaviour and set appropriate limits, compared to usual  
550 care. Whilst positive benefit of Fostering Changes has been found in the short-term, this evaluation  
551 will produce robust evidence about the longer-term effectiveness of the Fostering Changes  
552 intervention for foster and kinship carers and the process of implementing the programme. Beyond  
553 induction standards, there have been few attempts to provide evidence of specific interventions to  
554 support foster carers in their role. Fostering Changes is one programme with at least some short-  
555 term evidence and this study attempts to examine longer-term impact and in a broader, pragmatic  
556 setting. A scoping review examining potential interventions found that the Fostering Changes  
557 programme would be a good candidate for further evaluation [27], the evaluation will provide the  
558 first evidence of the effectiveness of Fostering Changes beyond the end of the training programme.  
559 The evaluation will contribute to the international evidence base on improving outcomes for looked  
560 after children.

561

562 The evaluation will be run in social care settings. As noted in our introduction, trials are relatively  
563 rare in this context compared to healthcare settings [28] and running social care trials may provide  
564 particular challenges [29,30]. The trial is also being conducted as part of a wider implementation of  
565 the Fostering Changes programme where the CiC consortium are additionally charged with delivery  
566 to a large number of foster carers across Wales (at both trial and non-trial sites). Running a trial will  
567 require a large number of stakeholders to engage with the research including intervention delivery  
568 staff, local authority social care and IFP staff and professional research recruitment staff. It is unlikely  
569 that all stakeholders will be in equipoise about the trial intervention. That places a particular  
570 requirement on clear and balanced study information (and where necessary training) to ensure good  
571 adherence to the study protocol. In settings where provision of high quality support for carers is not  
572 readily available, deferring the intervention for 12 months through random allocation may be  
573 viewed as ethically contentious for families in need. Social workers are also time poor and asking  
574 them to assist in the recruitment to a training programme in addition to their daily workload may be  
575 challenging. Our recruiting (HCRW) research staff underwent additional training on the social care  
576 and foster care context, which was developed and delivered in conjunction with the CiC delivery  
577 staff. This has sought to enable recruiters to better understand the social context and experiences of  
578 foster carers and increase their ability to engage with them at recruitment.

579

580 The study's process evaluation includes an assessment of intervention implementation. This will  
581 bring together data collected as part of routine training delivery (such as sessional attendance  
582 records, training group participant satisfaction questionnaires) as well as data collected via research  
583 interviews. We will also explore the possibility of collecting direct observational data (either in-  
584 person or video-recorded) and developing a measure of intervention fidelity. While broad  
585 parameters for the process evaluation have been set, some novelties of this particular evaluation  
586 context mean that it will need to remain responsive to emerging implementation issues. We expect



587 that some broader messages about trialling interventions in foster care settings may be a valuable  
588 secondary benefit of this trial.

589

590 **List of abbreviations**

LA	Local Authority
IFP	Independent Fostering Provider
RCT	Randomised Control Trial
CiC	Confidence in Care
CEQ	Carer Efficacy Questionnaire
SDQ	Strengths and difficulties Questionnaires
QUARO	Quality of attachment relationship
CDPS	Carer defined problems scale
CCS	Carer coping strategies
HCRW	Health and Care Research Wales
CI	Confidence Interval
SAP	Statistical Analysis Plan
SOP	Standard Operating Procedure
TSC	Trial Steering Committee
SOCSI	Cardiff University School of Social Sciences
CTR	Centre for Trials Research
CASCADE	Children's Social Care Research and Development Centre

591

592 **Declarations**

- 593
- Ethics approval and consent to participate

594 Ethical approval for this trial and for the consent process was given by Cardiff University SOCSI  
595 Research Ethics Committee on 4<sup>th</sup> June 2015, reference number SREC/1515. This was centralised  
596 ethical approval for all sites. Informed consent will be obtained from each participant before data  
597 collection and randomisation.

- 598 • Consent for publication

599 Not applicable

- 600 • Availability of data and material

601 Not applicable - protocol paper

- 602 • Competing interests

603 The authors declare that they have no competing interests.

- 604 • Funding

605 Funder: The Big Lottery Fund. The Big Lottery Fund commissioned the trial on the basis of our study  
606 design but were not involved in the design of the trial, the data collection; analysis; or interpretation  
607 of the data, or in the writing of the manuscript.

- 608 • Authors' contributions

609 Study conception: MR, RCJ, AR; study protocol: MR, GM, LBH, RCJ, SC, EC, ML, AR, JSc, JSe; drafting  
610 manuscript: GM; trial management: EC, GM; statistical lead: RCJ; process evaluation: LBH, SC, JSe. All  
611 authors critically reviewed and approved the final version of the submitted manuscript. MR is the  
612 lead investigator and guarantor for the study.

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616 Adolescent and Children's Trust.

- 617 • Authors' information (optional)

618 Not applicable

619

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 625 [Services/Children-Looked-After/childrenlookedafterat31march-by-localauthority-](https://statswales.gov.wales/Catalogue/Health-and-Social-Care/Social-Services/Childrens-Services/Children-Looked-After/childrenlookedafterat31march-by-localauthority-placementtype)  
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689  
690 Tables

691 Table 1. Summary of Weekly Topics [16]

692

693 Table 2. Participant timeline - Schedule of enrolment, interventions, assessments (SPIRIT figure, see  
694 additional file for SPIRIT checklist)

695

696 Additional file 1 – SPIRIT checklist

697