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1 Heavy metal resistance genes are associated with bla_{NDM-1} and bla_{CTX-M-15}-2 Enterobacteriaceae 3 4 Qiu E Yang^{1*}, Siham Rajab Agouri¹, Jonathan Mark Tyrrell¹ and Timothy Rutland Walsh^{1*} 5 6 7 1. Department of Medical Microbiology and Infectious Disease, Division of Infection and 8 Immunity, Cardiff University, Cardiff CF14 4XN, UK 9 10 *To whom correspondence should be addressed: Qiu E Yang (YangQe@cardiff.ac.uk) or 11 Timothy R. Walsh (WalshTR@cardiff.ac.uk) 12 13 14 **Abstract** 15 The occurrence of heavy metal resistance genes in multi-resistant Enterobacteriaceae possessing 16 bla_{NDM-1} or bla_{CTX-M-15} genes were examined by PCR and S1-PFGE. When compared with 17 clinical susceptible isolates (10.0-30.0%), the pcoA, merA, silC and arsA genes occurred with 18 higher frequencies in bla_{NDM-1} (48.8-71.8%) and bla_{CTX-M-15} (19.4-52.8%) positive isolates, and 19 they are mostly located on plasmids. Given the high association of metal resistance genes with 20 multidrug resistant Enterobacteriaceae, the use of heavy metals in hospitals and the environment 21 needs increased vigilance. 22 23 **Keywords:** heavy metal resistance, bla_{NDM-1}, bla_{CTX-M-15}, plasmids, co-resistance 24

The increasing spread of multidrug resistant 'superbugs' within clinical environments has prompted worldwide concern, because antibiotic resistance genes such as $bla_{\text{NDM-1}}$ and $bla_{\text{CTX-M-15}}$ leads to limit treatment options to combat bacterial infections (1-4). It is noteworthy that, in addition to emerging antibiotic resistance, heavy metals represent another major sources of environmental contamination that may select for antibiotic resistance (5). Heavy metal compounds for growth promotion and therapeutic treatment, like zinc and cooper, have been used in pig and poultry production and unlike antibiotic food additives, can accumulate in soil, water, aquacultural and marine antifouling treatments or industrial effluent (6). It has been proposed that antibiotic-resistant bacteria are enriched at locations contaminated with metals, and genes conferring co-selection to heavy metal and antibiotic are often found together in many clinical isolates (7-11). Furthermore, genes conferring heavy metal tolerance may coexist on the same genetic element (e.g. plasmid), which could further promote co-dissemination and resistance (10, 12). Here, we characterize the phenotype and genotype of heavy metals resistance in a collection of 95 clinical Gram-negative isolates including Klebsiella pneumoniae, Escherichia coli, Enterobacter cloacae, Klebsiella oxytoca and Providencia stuanti isolated from the UK and India.

A total of 95 non-duplicate isolates were tested in this study (Table 1): 39 bla_{NDM-1}-positive 41 42 isolates originated from human lower respiratory and urinary tract samples from the United 43 Kingdome and Indian cities of Chennai and Haryana, as previously described (13); 36 bla_{CTX-M}-44 15-carrying isolates, from burn, bactareamia and UTI patients from a variety of Indian hospitals (Haryana, Mumbai, Calcutta, Kerala, Delhi and Vellore); and 20 control E. coli and K. 45 pneumoniae susceptible to all known antibiotic classes as control samples, provided by Specialist 46 47 Antimicrobial Chemotherapy Unit (SACU), Public Health Wales. Minimal inhibitory concentrations (MICs) of four heavy metals ions; CuSO₄.5H₂O for copper (Cu²⁺), HgCl₂ for 48 mercury (Hg²⁺), AgNO₃ for silver (Ag⁺), and AsNaO₂ for arsenic(As³⁺) were measured by agar 49 dilution using Müller-Hinton agar (Becton Dickinson, USA). E. coli (ATCC 25922) was used as 50 a negative control. MIC levels to Cu^{2+} (≥ 10 mM), As^{3+} (≥ 2 mM), Hg^{2+} (≥ 32 μ M) and Ag^{+} (≥ 128 51 μ M) were regarded as resistance (14-16). High MIC values to Cu²⁺ (10 mM), As³⁺ (20 mM) and 52 Hg²⁺ (128 μ M) were obtained in the majority of bla_{NDM-1} -positive isolates, with a high resistance 53 rate of 82.1% (32/39), 76.9% (30/39) and 61.5% (24/39), respectively. Similarity with bla_{CTX-M}-54 15-positive strains, 91.7% (33/36), 63.9% (23/36) and 52.8% (19/36) isolates were resistant to 55 Cu^{2+} , As^{3+} and Hg^{2+} , respectively. High MIC values (128-256 μM) for Ag^{+} were observed for all 56 isolates. Antibiotic susceptible control strains also gave high rates of resistance to Cu²⁺ (90%, 57 18/20), but remained sensitive to $Hg^{2+}(15.0\%, 3/20)$ and $As^{3+}(25.0\%, 5/20)$. 58

59

The presence of four heavy metal resistance genes was confirmed by PCR: *merA* for Hg²⁺, *arsA* for As³⁺, *pcoA* for Cu²⁺ and *silC* for Ag⁺. Primers were designed by primer 3 (Geneious Pro 5.5.6) and NCBI primer designing tool (https://www.ncbi.nlm.nih.gov/tools/primer-blast/) (Table 2) and

63 PCRs were performed with the following condition: initial denaturation at 95°C for 5 min;

followed by 30 cycles of denaturation at 95°C for 45 seconds, annealing at 58-60°C for 45 64 65 seconds and extension at 72°C for 45 seconds; final extension at 72°C for 5 min. The purified 66 PCR products were randomly selected for following sequencing analysis (Eurofins Genomics, 67 Germany). The silC, merA, pcoA and arsA genes were dispersed throughout our bla_{NDM-1}-positive 68 isolates, with 28/39 (71.8%), 26/39 (66.7%), 25/39 (64.1%) and 19/39 (48.7%), respectively (Fig. 69 1). Similarly, in bla_{CTX-M-15} producing isolates, the most prevalent heavy metal resistance gene 70 was merA (19/36, 52.8%). The genes of arsA, pcoA and silC were only detected in 7 (19.4%), 15 71 (41.7%) and 15 (41.7%) isolates, respectively. In contrast, the relative low prevalence of pcoA, 72 silC, arsA and merA genes were identified in susceptible isolates with detection rates of 30.0% 73 (6/20), 25.0% (5/20), 20% (4/20) and 10% (2/20), respectively (Fig. 1). In addition, the statistical 74 comparisons with these metal resistance genes in three groups of isolates, were conducted using 75 Chi-square (and fisher's exact) test, where p value equal or less than 0.05 was considered as 76 significant. The prevalence of silC (71.8% vs 25.0%, p=0.0009), merA (66.7% vs 10.0%, 77 p<0.0001), pcoA (64.1% vs 30.0%, p=0.0158) and arsA (48.7% vs 20.0%, p=0.0482) genes 78 detected in bla_{NDM-1}-positive isolates, are all markedly higher than those in susceptible isolates. 79 Furthermore, the detection rates of silC (71.8% vs 41.7%, p=0.0108) and arsA (48.7% vs 19.4%, 80 p=0.0144) in $bla_{\text{NDM-1}}$ -positive isolates are also significantly higher, comparing to that in $bla_{\text{CTX-}}$ 81 M-15- producing isolates (Fig. 1). 82 Previous studies have proposed the role of plasmids in conferring resistance to both antibiotics 83 and heavy metals (7, 17, 18). In this study, the location of the pcoA, merA, silC and arsA genes 84 were analysed by Pulsed-field gel electrophoresis (PFGE) with S1 nuclease (Invitrogen 85 Abingdon, UK) (S1-PFGE). In brief, isolates carrying heavy metal resistance genes were randomly selected and genomic DNA in agarose blocks was digested with S1 nuclease and 86

probed. In-gel hybridisation was performed with *pcoA*, *merA*, *silC* and *arsA* genes probe labelled with ³²P with a random primer method (Stratgene, Amsterdam, Netherlands). The results showed that *pcoA*, *merA*, *silC* and *arsA* genes are located on a diverse range of plasmids backbones, differing from 50- to 500 kb in size (Fig. 2 and Fig. S1). Heavy metal resistance genes were carried upon more than one plasmid in many strains and chromosomal located genes were identified (Fig. 2 and Fig. S1), suggesting significant plasticity.

Conjugation experiments were performed as described previously (13), to investigate co-transfer of heavy metal and antibiotic resistance genes. Conjugations were performed with $bla_{\text{NDM-1}}$ and $bla_{\text{CTX-M-15}}$ -positive donors with the rifampin-resistant recipient *E. coli* UAB190. Selection of $bla_{\text{CTX-M-15}}$ -positive transconjugants was performed on Brilliance UTI Clarity agar (Oxoid Ltd., Basingstoke, United Kingdom) supplemented with rifampicin (100 mg/L) (Sigma-Aldrich, St. Louis, MO, USA) and cefotaxime (2 mg/L). $bla_{\text{NDM-1}}$ -positive transconjugants were selected using rifampicin with meropenem (0.5 mg/L) (AstraZeneca, London, United Kingdom). PCR for $bla_{\text{NDM-1}}$ and $bla_{\text{CTX-M-15}}$ genes were used for further confirmation of gene transfer (13, 19). Plasmid incompatibility groups were characterized by PCR-based replicon typing as previously described (20). A total of 18 and 14 transconjugants were obtained in *E.coli* UAB190 from 39 $bla_{\text{NDM-1}}$ and 36 $bla_{\text{CTX-M-15}}$ isolates, respectively. In 11 of 18 transconjugants, $bla_{\text{NDM-1}}$ was located upon IncA/C-type plasmids, 78.6% (11/14) of plasmids carrying $bla_{\text{NDM-1}}$ from six transconjugants could not be typed. The heavy metal resistance genes arsA, merA and pcoA were found on two $bla_{\text{NDM-1}}$ and one $bla_{\text{CTX-M-15}}$ positive plasmids, respectively (Table 1).

110	Our data indicates the abundant and mobility of heavy metals resistance genes (pcoA, merA, silC
111	and arsA) that can contribute to antibiotic resistant genes dissemination and maintenance.
112	Furthermore, many of these genes are found on transmissible plasmids. Therefore, our findings
113	suggest that the co-selection of heavy-metal resistance genes in $bla_{\text{NDM-1}}$ and $bla_{\text{CTX-M-15}}$ positive
114	isolates have significant implications for hospital and environmental (industrial waste)
115	contamination with heavy metals.
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121	
122	Conflict of interest: none declared
123	

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Table 1. Phenotypic and genotypic resistances to heavy metals in 95 clinical strains in this study

Strains	bacterial organism	Phenotype (MIC)			Genotype	
ID		Ag(uM) Hg(uM) Cu(mM) As(mM)				
39 bla _{NDI}	_{M-1} strains	•	•	•	•	
N1	Klebsiella pneumoniae	128	128	10	0.625	merA, silC
N2	Klebsiella pneumoniae	128	128	10	2.5	arsA, merA
N3	Citrobacter freundii	128	128	10	2.5	arsA, merA
N4	Enterobacter cloacae	128	16	10	20	pcoA, silC
N5	Enterobacter spp.	128	16	5	1.25	neg.
N6	Escherichia coli	128	128	10	20	arsA, merA, pcoA, silC
N7	Klebsiella pneumoniae	128	128	10	10	arsA, merA, pcoA, silC
N8	Klebsiella pneumoniae	128	128	10	20	arsA, merA, pcoA, silC
N9	Klebsiella pneumoniae	128	16	10	0.625	pcoA, silC
N10	Klebsiella pneumoniae	128	16	10	0.625	silC
N11	Klebsiella pneumoniae	128	16	10	0.625	silC
N12	Klebsiella pneumoniae	256	128	10	10	arsA, merA, pcoA,silC
N13	Citrobacter freundii	256	128	10	10	arsA, merA, pcoA, silC
N14	Escherichia coli	128	128	10	10	arsA, merA, pcoA, silC
N15	Escherichia coli	128	16	5	1.25	pcoA, silC
N16	Klebsiella pneumoniae	128	128	10	1.25	arsA, merA, pcoA,silC
N17	Klebsiella pneumoniae	128	128	10	20	arsA, merA, pcoA,silC
N18	Klebsiella pneumoniae	128	64	10	10	arsA, merA, pcoA, silC
N19	Klebsiella pneumoniae	128	128	10	20	arsA, merA, pcoA, silC
N20	Escherichia coli	128	16	5	2.5	neg.
N21	Klebsiella pneumoniae	128	128	10	2.5	merA, pcoA,silC
N22	Klebsiella pneumoniae	128	128	10	2.5	merA, pcoA,silC
N23	Escherichia coli	128	128	5	0.625	neg.
N26	Enterobacter spp	128	128	10	10	arsA, merA, pcoA
N27	Klebsiella pneumoniae	128	128	5	10	arsA, merA, pcoA, silC
N28	Klebsiella oxytoca	128	16	10	5	arsA, merA, pcoA, silC
N29	Escherichia coli	128	16	10	10	arsA, silC
N31	Enterobacter cloacae	128	16	10	20	pcoA, arsA, silC
N32	Enterobacter cloacae	128	16	10	0.625	pcoA, silC,merA, arsA
K15	Klebsiella pneumoniae	128	16	10	5	merA, pcoA, silC
K7	Klebsiella pneumoniae	128	128	10	2.5	merA, pcoA, silC
IR25	Klebsiella pneumoniae	128	128	10	5	merA
IR18k	Klebsiella pneumoniae	128	128	10	20	merA
IR28k	Klebsiella pneumoniae	128	128	10	20	merA, pcoA, silC
IR29	Escherichia coli	128	128	5	5	merA, pcoA, silC
IR26	Escherichia coli	128	128	5	5	neg.
IR22	Escherichia coli	128	16	5	5	neg.
IR61	Klebsiella oxytoca	128	16	10	20	neg.
IR5	Escherichia coli	128	128	10	20	arsA, merA, pcoA, silC

Table 1 continued.

Strains	bactrial organism	Phenotype (MIC)			Genotype	
ID		Ag(uM) Hg(uM) Cu(mM) As(mM)				
36 blaC	_{TX-M-15} strains					
A5/3	Klebsiella pneumoniae	128	16	10	5	arsA, pcoA, silC
A5/7	Klebsiella pneumoniae	128	128	10	20	arsA, merA, pcoA, silC
A5/4	Klebsiella pneumoniae	128	128	5	5	pcoA, silC
C5/8	Klebsiella pneumoniae			10	0.625	arsA, merA
C5/7	Klebsiella pneumoniae	128	128	10	10	arsA, merA, pcoA, silC
C5/5	Klebsiella pneumoniae	128	16	10	5	neg.
D5/12	Klebsiella pneumoniae	128	128	10	0.15	merA
D5/4	Klebsiella pneumoniae	128	16	10	0.625	pcoA, arsA
E5/14	Klebsiella pneumoniae	128	16	10	5	merA, pcoA, silC
E5/17	Klebsiella pneumoniae	128	128	10	2.5	arsA, merA, pcoA, silC
G5/2	Klebsiella pneumoniae	128	16	10	5	arsA, pcoA, silC
G5/6	Klebsiella pneumoniae	128	128	10	0.3	merA
G5/11	Klebsiella pneumoniae	128	128	10	0.3	merA, pcoA, silC
I5/5	Klebsiella pneumoniae	128	128	10	20	merA, pcoA, silC
F5/6	Klebsiella pneumoniae	128	16	10	0.3	neg.
E5/19	Klebsiella pneumoniae	128	128	10	5	merA, pcoA, silC
A4/8	Escherichia coli	128	16	10	0.3	neg.
F4/3	Escherichia coli	128	16	10	5	neg.
B4/6	Escherichia coli	128	16	10	2.5	neg.
A4/11	Escherichia coli	128	16	10	5	neg.
C4/3	Escherichia coli	128	128	10	2.5	merA
E4/4	Escherichia coli	128	128	10	2.5	neg.
D4/12	Escherichia coli	128	16	10	2.5	merA
C4/12	Escherichia coli	128	64	10	2.5	merA
G4/12	Escherichia coli	128	16	10	2.5	neg.
I4/9	Escherichia coli	128	128	10	2.5	merA
I4/3	Escherichia coli	128	16	10	0.3	neg.
I4/13	Escherichia coli	128	16	5	2.5	merA, pcoA,silC
H4/5	Escherichia coli	128	16	10	0.3	neg.
H6/20	Salmonella spp.	128	128	10	0.15	neg.
G6/9	Salmonella spp.	128	16	10	0.625	merA, pcoA,silC
G6/13	Salmonella spp.	128	64	10	0.15	merA, silC
I2/5	Enterobacter spp.	128	128	10	20	pcoA, silC
I2/2	Enterobacter spp.	128	128	10	20	pcoA, silC
F2/6	Enterobacter spp.	128	128	0.625	0.15	merA
B1/10	Providencia stuanti	128	128	10	20	merA

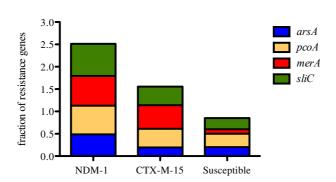
Table 1 continued.

Strains	bactrial organism	Phenotype (MIC)				Genotype
ID		Ag(uM)	Hg(uM)	Cu(mM)	As(mM)	
20 Suscep	otible strains			•		
Kp ff160	Klebsiella pneumoniae	128	128	10	10	arsA, merA, pcoA, silC
Kpff217	Klebsiella pneumoniae	128	16	10	0.3	pcoA, silC
KpFF11	Klebsiella pneumoniae	128	128	10	5	arsA, merA, pcoA,silC
KpFF197	Klebsiella pneumoniae	128	16	10	0.625	silC
KpFF177	Klebsiella pneumoniae	128	16	10	0.3	pcoA
KpFF296	Klebsiella pneumoniae	128	16	10	10	arsA, pcoA, silC
KpFF101	Klebsiella pneumoniae	256	16	10	10	neg.
KpFF264	Klebsiella pneumoniae	128	16	10	0.15	neg.
KpFF267	Klebsiella pneumoniae	128	16	10	0.15	neg.
KpFF153	Klebsiella pneumoniae	128	16	10	0.3	pcoA
Ec66	Escherichia coli	128	8	10	0.15	neg.
Ec9	Escherichia coli	128	16	10	0.15	neg.
Ec63	Escherichia coli	128	8	10	0.15	neg.
Ec59	Escherichia coli	128	8	5	0.15	neg.
Ec60	Escherichia coli	128	16	5	0.15	neg.
Ec166	Escherichia coli	128	8	10	0.15	neg.
Ec284	Escherichia coli	128	8	10	0.625	neg.
Ec61	Escherichia coli	128	128	10	5	neg.
Ec141	Escherichia coli	128	16	10	0.15	neg.
Ec98	Escherichia coli	128	16	10	0.15	neg.
Transconju	igants and control strains	•	1.		· II	•
25922	Escherichia coli	64	16	5	0.15	neg.
GFP	Escherichia coli	64	16	5	1.25	neg.
TCE5/19	Escherichia coli	64	16	5	2.5	pcoA
TCN12	Escherichia coli	128	64	5	10	arsA, pcoA, merA
TCN22	Escherichia coli	128	8	5	2.5	pcoA

Table 2. Details of primers used for heavy metal resistance genes detection in this study

metal ions	primers	sequence (5'-3')	Tm	size(bp)	Genbank ID or GI number
Hg ²⁺	merA_F1	CTGCGCCGGGAAAGTCCGTT	58°C	1035	DQ126685
(mercury)	merA_R1	GCCGATGAGCCGTCCGCTAC			
	merA_F2	GAGCTTCAACCCTTCGACCA	60°C	849	575669924
	merA_R2	AGCGAGACGATTCCTAAGCG			
As ³⁺	arsA_F1	CAGTACCGACCCGGCCTCCA	58°C	861	CP000648
(arsenic)	arsA_R1	AGGCCGTGTTCACTGCGAGC			
	arsA_F2	GGCTGGAAAAACAGCGTGAG	58°C	1002	387605479
	arsA_R2	CCTGCAAATTAGCCGCTTCC			
Cu ²⁺	pcoA_F	CGGCCAGGTTCACGTCCGTC	58°C	1371	NC_009649
(copper)	pcoA_R	TGCCAGTTGCCGCATCCCTG			
Ag ⁺	silC_F1	CGTAGCGCAAGCGTGTCGGA	58°C	1090	NC_009649
(silver)	silC_R1	ATATCAGCGGCCCGCAGCAC			
	silC_F2	TTCAACGTCACGGATGCAGA	60°C	872	157412014
	silC_R2	AGCGTGTCGGAAACATCCTT			

220 221 222 223 224	Fig.1 occurrence of heavy metal resistance genes in 95 clinical isolates. p values were calculated using Chi-square (and fisher's exact) test. *, ** and *** indicate $0.01 < p$ value ≤ 0.05 ; $0.001 < p$ value ≤ 0.01 ; *** indicates p value ≤ 0.001 , respectively. 'ns' indicates not significant difference.
225 226 227 228 229 230	Fig. 2. PFGE analysis of $bla_{\text{NDM-1}}$ -positive strains digested with S1 nuclease, and hybridization with $pcoA$ gene probe (a), $silC$ gene probe (b), respectively. Isolates order of lanes 1-14 in A: N1, N2, N3, N4, N5, N6, N7, N8, N9, N10, N11, N12, N13 and N14. Isolates order of lanes 1-14 in B: N16; N17; N18; N19; N20; N21; N22; N23; N3; 26; N27; N28; N29; N31.



three groups of clinical isolates

Chi-square	Comparison of detection rates (p value)				
(Fisher's exact)test	<i>bla</i> _{NDM-1} vs	bla _{CTX-M-15} vs	bla _{NDM-1} vs		
	susceptible	susceptible	bla _{CTX-M-15}		
arsA	48.7% vs 20%	19.4% vs 20%	48.7% vs 19.4%,		
	(p=0.0482*)	(p=1.0_ns)	(p=0.0144*)		
рсоА	64.1% vs 30%	41.7% vs 30%	64.1% vs 41.7%		
	(p=0.0158*)	(p=0.5653_ns)	(p=0.0657_ns)		
merA	66.7% vs 10%	52.8% vs 10%	66.7% vs 52.8%		
	(p<0.0001***)	(p=0.0016**)	(p=0.2463(ns)		
sliC	71.8% vs 25%	41.7% vs 25%	71.8% vs 41.7%		
	(p=0.0009***	(p=0.2555_ns)	(p=0.0108*)		

