Identification of memory reactivation during sleep by EEG classification

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ABSTRACT

Memory reactivation during sleep is critical for consolidation, but also extremely difficult to measure as it is subtle, distributed and temporally unpredictable. This article reports a novel method for detecting such reactivation in standard sleep recordings. During learning, participants produced a complex sequence of finger presses, with each finger cued by a distinct audio-visual stimulus. Auditory cues were then re-played during subsequent sleep to trigger neural reactivation through a method known as targeted memory reactivation (TMR). Next, we used electroencephalography data from the learning session to train a machine learning classifier, and then applied this classifier to sleep data to determine how successfully each tone had elicited memory reactivation. Neural reactivation was classified above chance in all participants when TMR was applied in SWS, and in 5 of the 14 participants to whom TMR was applied in N2. Classification success reduced across numerous repetitions of the tone cue, suggesting either a gradually reducing responsiveness to such cues or a plasticity-related change in the neural signature as a result of cueing. We believe this method will be valuable for future investigations of memory consolidation.

Introduction

Newly learned memories are reactivated in sleep at both neuronal (Ego-Stengel and Wilson, 2010, 2007; Jones and Wilson, 2005; Wilson and McNaughton, 1994) and systems levels (Maquet et al., 2000; Peigneux et al., 2004). Such reactivation can be intentionally triggered through targeted memory reactivation (TMR), in which cues associated with previous learning are used to reactivate aspects of this prior learning on demand (Antony et al., 2012; Cousins et al., 2014; Cousins et al., 2016; Diekelmann et al., 2011; Fuentemilla et al., 2013; Oudiette and Fuller, 2013; Rasch et al., 2007; Rudoy et al., 2009; Schreiner and Rasch, 2014). Several influential models, including Active Systems Consolidation (Rasch and Born, 2013), Synaptic Homeostasis (Tononi and Cirelli, 2014, 2006), Memory Triage (Stickgold and Walker, 2013), and Information Overlap to Abstract (Lewis and Durrant, 2011), have proposed mechanisms by which memory reactivation in sleep could boost memory consolidation, but these ideas have been difficult to test since reactivation is notoriously problematic to detect in humans. The challenge stems both from not knowing precisely when during sleep reactivation occurs, and from the fact that reactivation can be greatly compressed in time (Nádasdy et al., 1999).

Prior attempts to measure reactivation in humans (Maquet et al., 2000; Peigneux et al., 2004) have provided evidence that neural activity during sleep partially mimics the activity occurring during wake, and that the extent of such reactivation can predict the degree of behavioural improvement across retention periods (Peigneux et al., 2004; Yotsumoto et al., 2009). Other work has used multivariate classification to capture the distributed signals associated with wakeful memory reactivation in functional magnetic resonance imaging (fMRI) (Deuker et al., 2013; Staresina et al., 2013) and magnetoencephalography (Fuentemilla et al., 2010). One fMRI study applied TMR in sleep to control the time at which reactivation occurred (van Dongen et al., 2012). Other work has shown that electroencephalography (EEG) classifiers can distinguish between the sleep following two different learning tasks (Schöner et al., 2017). Most recently, TMR was used to control the timing of memory reactivation in a declarative memory task and a correlation based decoding...
method distinguished between reactivation of objects and scenes (Cairney et al., 2018). In the current report, we aim to develop a novel method for the identification of TMR cued neural reactivation in a procedural memory task. We use EEG because of its excellent temporal resolution and appropriateness for sleep studies.

Our participants performed a sleep sensitive serial reaction time task (SRTT) (Cousins et al., 2014; Cousins et al., 2016; Schonauer et al., 2014) which requires both motor learning and sequence learning. Participants were intensively trained on a fixed sequence of finger presses cued by audio-visual triggers. To minimise motion artefacts, they were re-exposed to the audio-visual cues and asked to imagine making the cued movement while remaining motionless (‘Imagery task’). During subsequent sleep, we re-played cue tones to trigger reactivation of the associated memory. EEG data from the Imagery task were then used to train a multivariate classifier which was applied to the Sleep data to detect TMR cued reactivations.

Because much of the work on memory reactivation in sleep has been performed in rats where stage 2 sleep (N2) and slow wave sleep (SWS) are not considered separately (Bendor and Wilson, 2012; Ego-Stengel and Wilson, 2007; Lee and Wilson, 2002), it remains unclear whether reactivation has distinct characteristics in these two sleep stages. Although TMR has been applied in both stages in humans (Antony et al., 2012; Rasch et al., 2007; Rudoy et al., 2009), no direct comparison has been made. We addressed this question by triggering reactivation in N2 and SWS, and examining the classification rate in both. Based on our own prior work showing that TMR in SWS enhances consolidation of the SRTT task (Cousins et al., 2014; Cousins et al., 2016), we expected to find that it also triggered reactivation in this state. Based on the extensive literature linking N2 spindles to procedural finger tapping tasks (Laventure et al., 2016; Vahdat et al., 2017; Walker et al., 2002) it also seemed likely that TMR would trigger reactivation in N2. However it was unclear whether we would be able to detect this reactivation in either state given the high signal to noise ratio. It is also unclear whether TMR always triggers reactivation, or whether the system eventually saturates, such that no further processing will occur. To examine this, we tested whether the classification rate changed systematically across repeated TMR cues.

Materials and methods

The experiment was approved by the University of Manchester Ethics committee. Participants provided informed consent and were reimbursed for their time. 30 healthy volunteers with no self-reported history of neurological, psychiatric, sleep, or motor disorders participated, 15 (6 males, 27 ± 8 years) in the main experiment, and 15 (2 male, 25 ± 5 years) in the Control. All participants abstained from caffeine and alcohol for 24 h prior to the experiment.

Design and Procedure for the Main Overnight Experiment: Participants completed the Stanford Sleepiness Scale (Hoddes et al., 1972) at the start of each testing session (e.g. pre- and post-sleep). Participants were fitted for polysomnographic (PSG) recording at 8–9 pm before performing an adapted SRTT (Nissen and Bullemer, 1987) containing repeating blocks of a single fixed 12-item sequence (1-2-1-4-2-3-4-1-3-2-4-3). They were then permitted to read in bed until ~11 p.m., and allowed to sleep for ~8 h until 7–8 a.m (Fig. 1A). During the night, tones associated with the learned sequence were softly played in blocks of repeating correct-order sequences during SWS and N2.

![Image](image-url)  
Fig. 1. A schematic illustration of the design of the experiment and the classifier. (A) In the Motor task, participants performed the SRTT task with finger presses. In the Imagery task they were instructed to remain motionless and imagine performing the task while experiencing the same audio-visual cues that were used in the Motor task. During subsequent SWS and N2, the sequence was repeatedly reactivated in blocks of 1.5 min on, 2 min off. (B) The visual cues used in the experiment. Visual cues were objects or faces (1 = face #1, 2 = lamp, 3 = face #2, 4 = water tap). Note that these 4 cues were always the same (e.g. each finger was paired with just one image, and that image was repeated every time the cue was repeated). (C) Mean learning curve showing performance (CS = RT/accuracy) for each block before and after sleep. Error bars indicate one standard deviation.
Brown noise was played throughout the night to minimise disturbance.

For each trial in the SRTT, a visual cue appeared with a tone in one of four spatial locations, corresponding to keyboard keys of the same configuration, and participants pressed as quickly as possible while minimising errors with index and middle fingers. Items appearing on the left of the screen (Classes 1 and 2) required a left hand response, while items on the right side of the screen (Classes 3 and 4) required right hand responses. This arrangement was chosen to make the sequence easier to classify, as left handed responses are associated with a right hemispheric EEG response, and vice versa.

Visual cues were objects or faces (1 = face #1, 2 = lamp, 3 = face #2, 4 = water tap), see Fig. 1B. Like the button response fingers, these images were chosen to make the sequence more easily classifiable since we expected the N170 component (Eimer, 2011) to be different for faces (Classes 1 and 3) and objects (Classes 2 and 4) at electrodes near face-selective brain regions, P7 and P8 (Calder et al., 2010). Each visual cue was accompanied by a specific auditory tone which was also associated with the cued finger. Tones (each lasting 300 ms and played through headphones at an intensity which participants found comfortable) were musical notes grouped closely within the 4th (low) (C/D/E/F) octave. Training comprised 7 blocks, with 10 sequence repetitions per block giving a total of 70 sequence repetitions, and 210 trials for each of the four finger classes. After each response there was a 1,230 ms inter-trial interval before the next cue started.

After completion of training, participants performed a block of Imagery task SRTT in which the audio-visual cues were presented exactly as they had been during Motor training, but participants remained immobile, simply imagining they were pressing each button when cued. The Imagery session comprised 7 blocks of 10 sequence repetitions, and the EEG data from the Imagery session, which was free of motion artefacts, was used to train and test our classifier. Tone onsets were 1,500 ms apart.

We recorded event-related potentials (ERPs) from 70 sequences in both Motor and Imagery tasks in 15 experimental participants. Due to noise on the trial-marker channel, two participants had lower trial numbers, thus ERPs from only 50 sequences were extracted from the Motor task in one, while only 60 sequences were extracted from the Imagery task in another.

During subsequent stable SWS and N2 sleep, tones were played softly (approximately 48 dB) in blocks of 5 sequence repetitions. Tones were spaced 1,500 ms apart. Each reactivation block took 1.5 min (5 × 12 × 1,500 ms), and was followed by 2 min without reactivation. Reactivation was paused immediately upon signs of changes in sleep stage or arousal.

We performed TMR during SWS (79 ± 38 sequences) in all 15 participants, and during N2 (83 ± 45 sequences) in only 14 of these participants due to an experimenter error. TMR stimulation lasted 65% ± 25% (mean ± SD) of the time between initial sleep and final awakening (total night time). Although stimulations in SWS and N2 were highly interleaved, SWS TMR time was earlier on average, mean 36% ± 26% of total night time, than S2 stimulation time, mean 53% ± 14%, t (13) = 4.461, p = 0.001. The precise numbers of sequences presented to each participant before sleep, during SWS or N2, and post-sleep is shown in Table 1.

The Motor task was repeated in the morning after the sleep experiment for 11 of the 15 participants in order to provide a measure of behavioural plasticity across the sleep epoch. Note that we did not collect these data in the first 4 participants.

Control task: To demonstrate that it was not possible to classify the brain activity associated with simply hearing tones in the absence of any procedural learning, fifteen volunteers who were naïve to the experiment and task listened to the tones associated with the Imagery task with no visual input. The tone sequences were presented 140 times, which is equivalent to the total number of sequences presented during both Motor and Imagery tasks together, with a timing equivalent to that in the main experiment.

Table 1

<table>
<thead>
<tr>
<th>Participant</th>
<th>Number of Presented Sequences</th>
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<tr>
<td></td>
<td>Before Sleep</td>
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<td></td>
<td>Motor (Learning)</td>
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Event-related potentials (ERPs) from 70 sequences were recorded in both Motor and Imagery tasks in 15 experimental participants. Due to noise on the trial-marker channel two participants had lower trial numbers, thus ERPs from only 50 sequences were extracted from the Motor task in one, while only 60 sequences were extracted from the Imagery task in another.

Behaviour

Our primary behavioural measure was the composite score (CS) of both response times (RT) and accuracy, CS = RT/accuracy (Bryuer and Brysbaert, 2011; Jackson et al., 2015), which was calculated using the mean values of each block of 10 sequences. Paired sample t-tests were used for the comparisons, except when the Shapiro-Wilk tests indicated a non-normal distribution, in which case Wilcoxon signed-rank tests were used. To minimise the contribution of outliers, we eliminated the trials in which the RT was greater than 1000 ms.

To determine whether there was a relationship between initial learning and the extent of reactivation in response to TMR (as determined by the CCR), we calculated a measure of initial learning strength by taking the difference between the first and last blocks of the Motor SRTT pre-sleep. We further investigated the relationship between overnight improvement and the extent of reactivation. Overnight improvement was quantified using the difference between the last block of Motor SRTT pre-sleep and the first block of Motor SRTT post-sleep. We studied the above correlations during SWS and N2.

EEG recording and analysis: We used an Embla N7000 polysomnography system with a 16 bit resolution and 200 Hz sampling rate. Acquisition filters were 0–400 Hz for bipolar and 0.3–400 Hz for monopolar, with a 50 Hz power line filter. Scalp electrodes were attached according to the 10–20 system at sixteen standard locations: F3, F4, C5, C3, Cz, C4, C6, CP5, CP3, CP6, CP6, P7, Pz, P8, O1, O2, and all referenced to the combined mean of left and right mastoid. Left and right electro-oculogram, left and right electromyogram, and a forehead ground electrode were also attached. Impedance <5kΩ was verified at each electrode, and the digital sampling rate was 200 Hz throughout the experiment. Data were scored by a trained sleep researcher according to the AASM Manual (American Academy of Sleep Medicine, Westchester, IL). Prior to the classifier analysis, Artefacts in the EEG data resulting from blinks, saccades or 50 Hz electrical noise were removed using Independent Components Analysis (ICA) (Jung et al., 2000).

The experimental paradigm was programmed in MATLAB 6.5 (The MathWorks Inc., Natick, MA, 2000) and Cogent 2000 (Functional Imaging Laboratory, Institute for Cognitive Neuroscience, University College London). Sounds were presented via Sony noise cancelling headphones MDR-NC7 (with noise cancelling turned off) during the
learning session and via PC speakers positioned under the bed head during sleep reactivation.

**Classifier analysis**

We aimed to create an EEG classifier which could identify the neural activity associated with each of the 5 possible classes (one for each finger, and one for baseline EEG – or a failure to reactivate), and then apply this to the EEG data collected after each TMR tone (Fig. 2). To create the classifiers, we extracted specific features from the EEG obtained for each trial in both Motor and Imagery tasks. We then performed feature selection to reduce the dimensions of the data and to maximise classification accuracy of the weak signals embedded in noisy EEG data. We adopted a hybrid feature selection algorithm consisting of two stages. First, a filter mechanism stage ranked features based on joint mutual information (Yang and Moody, 1999). Next, a wrapper mechanism searched for the best subset of these features, maximising classification accuracy. We trained Linear Discriminate Classifiers (Heijden et al., 2004) and tested them using the Imagery task EEG recordings. We then applied the trained classifiers to the EEG recorded after each TMR event in sleep to determine whether it was possible to detect memory reactivation by correctly determining which finger press had been cued. We compared the mean classification rates for TMR applied in N2 and SWS. Finally, we examined the effect of repeated TMR upon classification rates for TMR applied in N2 and SWS.

The Classification of Motor and Imagery EEG: Data from the Motor and Imagery tasks were analysed separately, but using an identical method. We segmented the EEG data into epochs of 1,500 ms with stimulus onset at 500 ms. Each epoch was baseline corrected by subtracting the mean of 500 ms of pre-stimulus EEG from the remaining 1,000 ms. As visual inspection showed that the averaged ERPs at different electrodes occurred during the first 400 ms post-stimulus, we used the 400 ms directly after each TMR cue for the analysis of that trial.

![Classifier analysis diagram](image)

\[
f_{\text{wT}} = \{D_{2,0}, D_{3,0}, D_{4,0}, D_{5,0}, D_{2,1}, D_{3,1}, D_{4,1}, D_{5,1}, D_{2,2}, D_{3,2}, D_{4,2}, D_{5,2}, \ldots D_{2,16}, D_{3,16}, D_{4,16}, D_{5,16}\}
\]

![Image](image)

**Fig. 2. Flow diagram of the classifier pipeline.** We trained the classifier with EEG data from the wakeful imagery task (bluish colours), next we used EEG data from sleep (orange colours) to feed the trained algorithm and calculate the final accuracy results (purple colours). From the imagery data we extracted 3 types of features (temporal, spectral and wavelet-based features) that divided into training and testing sets were used to train the classifier after a selection process to reduce the number of features. The ranking and selection of features was done using join mutual information (JMI) algorithm and a wrapping methodology. Once the classifier (LDC) was trained we extracted the same type of features from the sleep dataset and used them to feed the trained classifier. An additional control step (permutation of labels) was added to be sure that the classification rates were not due merely to the chance probability.
where \( f_{\text{WT}} \) signifies ‘wavelet features for each trial’, and as an example, \( D_{\text{alpha}} \) means ‘Detail coefficient 2, Channel 1’.

**Spectral features:** The Power Spectral Density (PSD) techniques for spectral signal representation have been demonstrated to be robust and consistent for classification for Motor Imagery EEG data (Herman et al., 2008). We computed power spectra by using the Welch’s modified periodogram method (Welch, 1967), in which the EEG on each electrode was divided into overlapping segments each having 64 samples with an overlapping ratio of 90%. The segments were then weighted by a Hann window function to reduce spectral leakage. Fourier transform was applied on the windowed segments to obtain the power density values, which were then averaged. The average power in the bands theta (4–8 Hz), alpha (8–12) and beta (16–24) Hz was obtained from a rectangle approximation of the integral of the signal’s PSD.

For each EEG trial, we concatenated the computed spectral average power values from each EEG channel to form the spectral features vector:

\[
f_{\text{spect}} = \{ \text{power}_{\text{theta}}, \text{power}_{\text{alpha}}, \text{power}_{\text{beta}} \}
\]  

where \( f_{\text{spect}} \) signifies ‘spectral features for each trial’, where as an example, \( \text{power}_{\text{alpha}} \) means ‘power for alpha’.

**Time-domain features:** In order to include time domain information, we averaged the raw EEG signal on each channel (EEG\(_{\text{ch}}\)) using a moving window of length \( N = 4 \).

\[
\text{avgEEG}_{\text{ch}}[n] = \frac{1}{N} \sum_{n=0}^{N-1} \text{EEG}_{\text{ch}}[n]
\]

where \( \text{avgEEG}_{\text{ch}} \) signifies ‘averaged EEG features’.

Next, we down-sampled the averaged EEG by a factor of four. For each EEG trial, we concatenated the down-sampled EEG to form the features vector:

\[
f_{\text{avgEEG}} = \{ \text{avgEEG}_{\text{theta}}, \text{avgEEG}_{\text{alpha}}, \text{avgEEG}_{\text{beta}} \}
\]

where \( f_{\text{avgEEG}} \) signifies ‘downsampled EEG features for each trial’, where as an example, \( \text{avgEEG}_{\text{theta}} \) means average EEG on channel 1.

The EEG in \( N_{\text{training}} \) and \( N_{\text{evaluation}} \) was now represented by two feature matrices in which each row corresponds to a trial, and it consists of the concatenation of the DWT, spectral and time domain features. For each trial, 1344 features were extracted (992 DWT features, 48 Spectral features and 304 Time-domain EEG features) each occupying a separate column. Features in the training and evaluation sets were then normalised to zero mean and unit variance.

**Feature Selection:** The values of each feature vector were transferred into three levels using quantile-based discretization. The features were then ranked using the joint mutual information method (Yang and Moody, 1999). The criterion for ranking features in this method provides the best trade-off in terms of accuracy, stability, and flexibility with small data samples (Brown et al., 2012).

Next, feature subsets were sequentially chosen in a forward manner from the ranked features, a normal-density-based linear discriminant classifier was trained using the features of each subset, and the classifier’s error rate was calculated. The initial subset contained the first two ranked features. New features were added to this initial subset if they led to a smaller error rate, but were otherwise discarded. This produced a monotonically decreasing error rate curve and an optimal feature subset. We used 10-fold cross validation (Kohavi, 1995) to evaluate each classifier. A normal-density-based linear discriminant classifier, which is widely used in EEG classifications, was used because it makes the posterior probabilities for each class available for further manipulation.

Once the optimal set of features for classification was chosen through feature selection, we calculated the performance of each classifier using \( N_{\text{evaluation}} \). We used the correct classification rate (CCR) as a metric for evaluation. This was calculated as: \( (N_{\text{Correct}}/N_{\text{Total}}) \times 100 \% \). Where \( N_{\text{Correct}} \) is the number of correctly classified trials, and \( N_{\text{Total}} \) is the total number of trials to be classified.

In order to estimate the classification rate more robustly, we repeated the complete process five times, randomly selecting the training and evaluation sets each time. We then calculated the average evaluation classification performance and its standard deviation. Due to large inter-subject variability in the EEG, both feature selection and classifier training were conducted separately for each participant, such that each participant had their own individual classifier.

**Classification of sleep EEG:** We first developed classifiers for the Motor task, where we expect movement related potentials to greatly facilitate classification. We tested these classifiers on 40% (held out, e.g. not used for training the initial classifier) of the data. Next, we trained completely new classifiers on data from the Imagery task, as we expected these data to be more similar to what would be observed in sleep. We again tested these classifiers on 40% (held out) of the data. Next, we applied the Imagery Task classifiers to EEG data recorded during sleep to determine whether TMRs during sleep could be identified by classification. To do this, we performed an analysis similar to that used when applying classifiers to the held out Motor and Imagery data. However, in Sleep data, due to uncertainty about the timing of reactivation after the tone, the extraction process was repeated \( n = 120 \) times using a sliding window of length \( W = 400 \) ms, and step size 5 ms in order to maximise the chance of capturing a reactivation that could occur at any time during the 1,000 ms after the tone. We then normalised the features extracted from the data. Finally, we applied the trained Imagery classifier to the normalised features extracted from each lag time, and obtained the class associated with each lag.

As a result, for each trial we obtained a vector containing \( n = 120 \) values for the class prediction (class label), each being: \( C \in \{ \omega_j \}_{j=1}^{K} \), where \( K = 5 \). In a modified majority voting strategy, the class label with longest uninterrupted run, based on the process described below, was chosen as the predicted class of that trial.

As the response to the stimulus may occur at different points of time post-stimuli, we sought an optimal window for voting. We used 12 windows (subsets) of the 120 lags, shifting the start of each window by 10 lags. Thus, the first window contained the lags 1–120, the second window 10–120, the third 20–120, and the 12th window contained the lags 110–120.

For each participant, this process was applied to the entire data set
(all sequences). We then chose the window which corresponded to the highest classification rate across all trials for each participant as our classification window. Because classes were never repeated adjacentaly (e.g. class 1, class 1) in the trained sequence, classification predictions were constrained such that if such a repetition was chosen initially, the classifier was forced to choose the second-choice class, e.g. the class with the next longest run within the window.

To determine whether classification was above chance, we used a permutation test (Hesterberg et al., 2010; Lehmann and Romano, 2014). This consists of randomly shuffling the labels of the sleep trials and then calculating a new classification rate (‘random CCR’) for the shuffled data using the same set of features as selected before. The classification rate using the true labels, as calculated by sampling 50% of the data 1000 times and obtaining the mean, was compared against the ‘random CCR’ rates. A p-value for each participant was determined by counting the number of times that there was higher (or equal) classification accuracy in the shuffled data than in the true labels. Importantly, for each randomisation, we used the time window with the highest classification for the features of the shuffled labels, just as we had done for the true labels.

Consistency of selected features

We sought to identify the most important features and electrodes by investigating the frequency of their selection (by the feature selection stage) at each of the 5 training sessions of the Imagery classifier.

To determine which were the most important down-sampled EEG features, the number of times each feature was selected across the 5 sessions for each participant was placed in a 2D-matrix, ‘feature selection matrix’, \(X(n \times m)\), where \(n\) is the number of participants and \(m\) is the number of features. The columns of \(X\) were grouped separately into a multilevel cluster tree or “dendrogram” using hierarchical clustering. The Euclidian distance between pairs of features was calculated and the linkage criterion was the mean, in which the distance between two clusters is defined as the average distance between all pairs of the two clusters’ members.

To determine which electrodes were most useful in classification, we applied the same approach by replacing the variables representing the rate of appearance of the features, \(X\), by the frequency of the appearance of the electrodes.

We calculated the rates with which the four frequency bands of the DWT (25–50, 12.5–25, 6.25–12.5 and 3.125–6.25 Hz) were selected across participants, and then used a Friedman test to check for differences. Pairwise comparisons then established which bands were most useful for classification.

We followed a similar approach for spectral feature bands and for the type of features (feature families) selected by the training sessions. We also repeated the above analysis for the control data to see if there was any difference in the selected features.

Control group

It was important to establish that the classifier was identifying the reactivation of memories associated with the stimuli and not just classifying auditory responses to the tones. In order to test this, we recorded data from 15 participants who were not aware of the underlying task or the purpose of the experiment. Participants listened to the same tones as had been presented in the ‘Motor’ and ‘Imagery’ tasks but without any visual stimuli or motor response. We then applied the classification analysis to the second block (equivalent to ‘Imagery’) in exactly the same way as it had been applied to the data collected from the original Imagery and Motor task. A total of 1680 trials were recorded from each of the 15 Control participants. From the second block of exposure, 60% of the trials were used for training and 40% for evaluation. We repeated the process of randomly sampling these percentages and training/testing the classifier and calculating the CCR 5 times (same as in the Experimental condition for the Imagery and Motor tasks) in order to provide a distribution of results for each participant.

To determine whether the CCR was above chance, we created a ‘random CCR’ by randomly shuffling the labels of the 40% of the Control data that was designated for test 1000 times and then applying the 5 trained classifiers described above. We then compared the 5 random CCR results with the average value of the 5 CCR results for the correctly labelled trials to determine if classification in the latter was above chance, using the same method described above for classifying the sleep EEG.

Results

Behaviour. To determine whether more intensive learning was associated with a greater classification rate for TMR cued reactivations during subsequent sleep, we computed a measure of initial learning by calculating the change in performance using composite score (CS = speed/accuracy) between the first and last test blocks of the pre-sleep Motor task (Bruyer and Brysbaert, 2011; Jackson et al., 2015). One participant was excluded due to performance decrease across training which indicated disengagement from the task. In the remaining participants, there was a significant improvement across training, CS (paired samples \(t(10)=5.278, p=0.001\)) (see Fig. 1C for CS learning curve), however a Pearson correlation between the extent of improvement across training and CCR during SWS revealed no significant relationship (\(r=0.434, p=0.182\)). Examination of performance change across sleep revealed the expected improvement, CS (paired samples \(t(10)=4.904, p=0.001\), (Fig. 1C), however a Pearson correlations between CCR in SWS and this improvement revealed no significant relationship (Pearson \(r = -0.510, p=0.109\)). See Inline Supplementary Table 1 for performance on CS, RT, and Accuracy and see Inline Supplementary figure 1 for RT and Accuracy learning curves.

Inline Supplementary Figure 1: Learning curves for A) RT, B) Accuracy, and C) Composite score are plotted against block number. Blue represents pre-sleep training, while orange represents post-sleep testing.

Repeating the above behavioural correlation analyses in participants who completed the post-sleep behavioural tasks and also exhibited an above chance CCR in N2 showed no significant correlations between either initial learning or overnight improvement and N2 CCR. This could be due to the small sample size (\(n=5\)).

Sleep measures

Polysomnography showed normal sleep architecture, with mean durations (minutes) in each sleep stage as follows: N1: 25.5 ± 21.6 (mean ± SD); N2: 253.4 ± 68.4; N3: 70.3 ± 32.0; REM: 70.9 ± 24.9; Wake: 35 ± (33.2), total sleep time 420 ± (35), and a mean sleep efficiency of 92.2 (8.2)%%. Stanford sleepiness scores showed no difference in sleepiness levels between morning 3.11 (0.78) and evening 3.67 (1.66) sessions (\(p=0.262\), Wilcoxon signed-rank test). Note that polysomnographic data were lost for one participant, and Stanford Sleepiness Scale data were lost for 3.

Classification of Motor and Imagery EEG: Both Motor and Imagery classifiers categorised trials at a high correct classification rate (CCR) of 0.70 ± 0.12 (mean ± SD) for Motor and 0.57 ± 0.16 for Imagery, (Fig. 3A). Note that chance was 0.20 due to the five possible classes. CCRs for each participant are shown in Table 3. To ensure that this mean classification rate was not driven by detection of the fifth (‘no cue’) class, we separately examined mean classification of the four tone-related classes, which was well above chance with a CCR of 0.67 ± 0.15 for Motor and 0.54 ± 0.18 for Imagery. Unsurprisingly, classification of the fifth class was even higher, with a CCR of 0.82 ± 0.10 for Motor and 0.67 ± 0.15 for Imagery, indicating that our classifier can very successfully determine whether or not a tone was present.

Classification of Sleep EEG: We applied the classifier that had been trained on Imagery task data to one second of EEG after each TMR tone in sleep. Due to uncertainty about when reactivation occurs after the TMR cue, we repeated feature extraction 120 times using a sliding window of

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400 ms. In a modified majority voting strategy, the class label with the longest uninterrupted run over the 120 extractions was chosen as the predicted class of that trial.

In SWS, classification was significantly above chance in all 15 participants ($t (14) = 7.91, p < 0.0005$), with a group mean CCR of 0.25 ± 0.03. In N2, classification was more variable, with above chance performance in only 5 of the 14 participants with TMR applied in N2, and a group mean of 0.20 ± 0.02 which did not differ from chance ($t (13) = 0.79, p = 0.44$); see Table 4.

To ensure that above-chance classification rates were not driven by class 5, we examined classification of the four tone-related classes, which were again well above chance in SWS, with a CCR 0.23 ± 0.02 > 0.20, $t (14) = 6.64, p < 0.0005$. CCR for the fifth class was also high (0.28 ± 0.13 > 0.20, $t (14) = 2.38, p = 0.032 < 0.05$). In N2, the figure
was 0.19 ± 0.03 < 0.20, t (13) = −7.74, p = 0.427 for the four tone classes combined, and (0.27 ± 0.09 > 0.20, t (13) = 2.684, p = 0.019 < 0.05) for the fifth class.

As a further control, we compared the CCR from SWS and N2 with a ‘random CCR’, created by shuffling the trial labels. This showed greater group mean CCR for SWS (t (14) = 10.79, p < 0.0005), but not for the N2 classifiers (p = 0.242, Wilcoxon signed-rank test) when compared to random, Fig. 3B and Table 4. However, the group mean CCR of the 5 above-chance N2 classifiers was different from random CCR (p = 0.038, Wilcoxon signed-rank-test).

Furthermore, CCRs showed greater classification success in SWS than N2, both when all N2 participants were included (t (13) = 6.464, p < 0.0005), and when only above-chance classifier N2 participants were considered (p = 0.039, Wilcoxon signed-rank test). The application of TMR cues to SWS and N2 was interleaved across the night, but N2 TMR nevertheless occurred later on average (see methods). To determine whether the lower classification success in N2 related this later TMR application, we correlated CCR for SWS and N2 with mean TMR time for SWS and N2, but found no trend (p = 0.943 and p = 0.537 respectively).

Classification of Control stimuli: Fifteen Control participants listened to the same auditory sequence as experimental participants, but without having learned any association between these and visual display or movement. Classification of the four tones was at chance level (CCR = 0.20 ± 0.01, t (14) = −1.31, p = 0.231), indicating that our classifier cannot discriminate between these tones unless associated with other information. As expected, CCR of the fifth class was above chance, (0.55 ± 0.01 > 0.20, t (14) = 14.02, p < 0.0005), indicating that the classifier can successfully detect the presence of a tone.

We also performed a second control analysis by comparing the CCR rates for the 4 tones and the background EEG with no tone presented to CCRs generated by randomly shuffling the trial labels. Permutation tests showed no difference between the correctly labelled and the random classifier in any Control participant, (p > 0.05 in all 15 cases). This result was also supported by a group comparison of the CCR for the four tones and their corresponding random CCRs (t (14) = 0.068, p = 0.947, Fig. 3B). This again demonstrates that our classifier could not discriminate between the four tones unless they were associated with learned material.

Notably, the random CCR of the fifth class (background EEG) was artificially inflated through a bias towards the classification of all trials as background, and was thus above chance (0.28 ± 0.02 > 0.20, t (14) = 14.415, p < 0.0005). Irrespective of this artificial boosting, the random CCR was still significantly lower than the CCR of the control EEG, so despite this reverse bias, the classifier could discriminate between EEG and tone presentation.

Consistency of features and electrodes used for classification

To determine which features were most useful for classification, we asked how often each feature in each of the three families of features (DWT features, spectral features, and time domain features) was selected by the feature selection stage. Feature selection rates were then compared between and within families. This was repeated for the Imagery classifier and Control classifiers.

The selection rates of the three families of features differed significantly: Imagery classifier Friedman’s χ² (2, N = 15) = 24.13, p < 0.001 and Control-trained classifier, Friedman’s χ² (2, N = 15) = 26.27, p = 0.001 < 0.05, and all possible pairs of families differed from each other: Imagery post-hoc Wilcoxon p < 0.05, and Control-trained classifier post-hoc Wilcoxon p < 0.05.

Interestingly, in the Imagery classifier, which easily distinguished between the four fingers classes, the DWT features were consistently the most commonly selected. In the Control classifier, which could only distinguish between presence and absence of a tone, the down-sampled average EEG features were most commonly selected, see Fig. 4. Furthermore, within the DWT family, there was no statistical difference in the selection of the coefficients of the different frequency bands (Friedman’s χ² (3, N = 15) = 6.3, p = 0.098). This was not the case for the coefficients selected by the Control classifier (Friedman’s χ² (3, N = 15) = 18.84, p < 0.001). The coefficients of higher frequencies (25–50 Hz) were more commonly selected in the Control classifier (Wilcoxon, p < 0.05) while the lower frequencies (3.125–6.25 Hz) were the least selected (Wilcoxon, p < 0.05).

Within the time domain family, the 19 features were selected at different rates in both Imagery and Control classifiers, Friedman’s χ² (18, N = 15) = 51.42, p < 0.001 and χ² (18, N = 15) = 82.95, p < 0.001, respectively. Hierarchical clustering showed that features 4, 5, 6, 7, 8, 10 and 12, were the most selected for the imagery trials while features 1 to 8 were the most selected for the control trials, with feature 8 being the most frequent in both. The time domain features 4, 5, 6, 7, 8, 9 and 10 represented the amplitudes of the elicited ERP in the interval 40–200ms which captured the P1 and N1 components of the ERP. Because the time domain feature family was consistently the most useful in the control classifier, and because the classifier could detect the presence or absence of a tone but nothing more, this finding suggests that the ERP peaks were useful for such determinations.

Within the spectral features family, which was the least consistently used by both classifiers, both 4–8 and 8–12 Hz bands were most frequently selected in the Imagery classifier Friedman χ² (2, N = 15) = 5.35, p = 0.067 > 0.05 whereas only 4–8 Hz was frequently selected in the Control classifier (Friedman χ² (2, N = 15) = 27.1, p = 0.001 < 0.05; Wilcoxon, p < 0.0005).

<table>
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<tr>
<th>Sleep Stage</th>
<th>Participant</th>
<th>The start of the window (ms)</th>
<th>CCR ± SD</th>
<th>p-value</th>
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<td>Random classifier</td>
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Table 4: Sleep (SWS and N2) classification rates.

Statistical comparisons between the mean correct classification rate (CCR) of the TMR cue reactions during sleep (SWS and N2) and the CCR of a random classifier. The mean and standard deviation of the classifier's CCR were calculated after sampling the data (50%) 1000 times. For the random classifier, the class-labels were randomly shuffled before sampling. The start of the window corresponds to the sample index at which the optimal window for voting was chosen (see the materials and methods section). Cases in which no above chance classifier was found are indicated by ‘−'.

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To determine which electrodes out of our array of 16 provided the most useful information for classification, we repeated the above analysis now considering the electrodes at which features were selected. This revealed a consistent difference in the number of times specific electrodes were selected in both Imagery, Friedman’s $\chi^2(15, N = 15) = 39.3, p < 0.001$ and Control data, Friedman’s $\chi^2(15, N = 15) = 58.29, p = 0.001 < 0.05$. Hierarchical clustering showed that electrodes F3, F4, P7, P8, Pz and C5 (Fig. 5), and F3, F4, C3, C4, C5, C6, Cz and P8 were the most frequently selected for the Imagery and Control trials, respectively.

P8 was the most frequently selected in the Imagery trials and Cz in the Control trials.

The effect of multiple TMR repetitions on classifier performance

We next set out to determine whether classification strength changed across repeated TMR events. We calculated classifier performance for each participant using a sliding window of 240 trials in length, in which we averaged across 240 trials and then slid the window forward to average again. This revealed a significant ($p < 0.001$) decrease in CCR across repetitions during SWS in 13 of 15 participants. In N2, decrease
across TMR repetitions was significant ($p < 0.001$) in only 2 of the 5 participants who showed above chance classification, Table 5 and Inline Supplemental Figure 2.

Inline Supplemental Figure 2: Representative plot of how classifier performance decreased across TMR repetitions. The CCR was calculated as the mean across 240 trials in a window that was slid forward in iterative steps. The x axis shows the trial number of this sliding window. The Y axis shows the mean CCR for the window.

**Discussion**

We have developed a non-invasive method for identification of neural reactivation in sleep, demonstrating as a proof of principle that it is possible to detect TMR cued reactivations of a procedural memory task above chance level using EEG classifiers. Through applying this method, we provide critical support for the occurrence of memory reactivation during human sleep, and for the triggering of such reactivation with TMR. We also show that repeated triggering of reactivation in SWS results in a gradually decreasing classification rate. Because our method uses EEG data, which is standardly recorded during sleep experiments, we hope it may provide a useful tool for future examinations of memory consolidation in sleep.

**Classifiers**

Our classification pipeline was specifically tailored to identification of TMR trials during sleep. We used an array of 16 electrodes; however, post-hoc analyses revealed that only a subset of these were consistently useful for classification. Because our task requires integration of visual, auditory, and motor information it seems plausible that the utility of parietal electrode P8 for classification of imagery trials in the majority of participants may be due to the cross-modal integration function of this area. We selected which families of features to include based on the nature of the EEG signals and the characteristics of the classes we were aiming to predict. EEG signals are non-stationary, and we had to consider the possibility that responses to TMR during sleep could be a compressed version of responses during wake. The coefficients of the wavelet transform, the spectral power, and the ERPs were therefore all potential candidate features; however, it was interesting to note that the wavelet transform and ERP families consistently provided useful information, while the spectral power did not. It is similarly noteworthy that the lower frequency information which characterises sleep was consistently useful in classification, while high frequency information was not.

**Decay of classification rate**

Our observation that the rate of classification decays across repeated TMR applications in SWS can be interpreted in two different ways. First, once they have been reactivated a certain number of times in a night, memories may no longer be as likely to reactivate in response to TMR. This idea is in keeping with the observation that neural reactivation in rats declines sharply across the first hour of sleep (Tatsuno et al., 2006), and could occur because memories have been processed to a sufficient degree, see (Vyzovitsky and Delogu, 2014), or even to the maximal degree possible in one night. Alternately, our finding could suggest that the neural signature of reactivation evolves across TMR events, such that it eventually does not fit the classifier we developed before sleep, an explanation which could also be relevant for this effect in template-matching based reactivation studies in rats (Tatsuno et al., 2006). This latter idea bears on neuroimaging data (Durrant et al., 2012; Gais et al., 2007; Stepenich et al., 2007; Takashima et al., 2006; Walker et al., 2005) showing that the neural signature of remembering is different after sleep, and this plasticity often relates to the amount of SWS obtained.

**SWS vs N2**

We observed a significantly higher classification rate in SWS than N2, although it is noteworthy that 5 out of 14 participants tested did exhibit classification significantly above chance in N2. The higher classification rate in SWS could potentially be due to occurrence of S2 TMR cues later in the night than SWS TMR cues. As discussed above, classification rates decrease across TMR repetitions. Given this pattern, cues occurring later in the night might be expected to be less successfully elicit reactivation. We tested for a relationship between mean TMR time and classification rate, but found no evidence of a correlation for either SWS or N2. If the lower classification success of N2 is not due to the later timing of N2 TMR cues, it could potentially suggest that TMR in N2 does not elicit reactivation to the same extent as TMR in SWS, a difference which might relate to the distinct physiology of these two stages, e.g. different levels of acetylcholine and differential connectivity between the hippocampus and neocortex (Andrade et al., 2011). SWS is characterized by the orchestrated, and often phase-coupled, occurrence of slow oscillations, spindles and ripples which presumably underpins effective memory reactivation (Born et al., 2006; Diekelmann and Born, 2010). Such phase-coupling is less evident during N2, which could potentially explain why it was harder to detect TMR cued reactivations in this sleep stage. However, because a number of elegant studies have shown that N2 is important for procedural memory consolidation (Laventure et al., 2016; Vahdat et al., 2017; Walker et al., 2002) we believe this issue deserves further investigation, and that future studies should take care to avoid differences in the timing of TMR cues in S2 and SWS.

**Limitations**

Memory reactivation in sleep is linked to consolidation, and can therefore be expected to impact on post-sleep behavioural performance. A recent study of memory reactivation in a declarative task supported this by finding a positive correlation between the extent to which TMR cued reactivation could be classified as relating to a place or object and the extent to which TMR strengthened the memory (Cairney et al., 2018). We searched for a similar relationship between classification success and behavioural performance in our data, but found no significant correlation. This difference between our findings and those of Cairney et al. very likely relates to differences in the study design and choice of behavioural task, since we used a procedural task and did not include a non-TMR control sequence. While links between memory reactivation and behavioural performance are of great interest, it is noteworthy that the vast majority of the rodent work on reactivation bears no reference to behavioural consolidation, see (Ego-Stengel and Wilson, 2007; Lee and Wilson, 2002; Olafsdottir et al., 2016; Wilson and McNaughton, 1994), for examples. Also see (Carr et al., 2011) for a review which strives to make the link between reactivation and memory consolidation, thus highlighting the fact that this link is not assumed.

Our control experiment was designed to determine whether our classification pipeline could accurately identify tones that had not been paired with any particular memory or action. Our observation that these non-memory control tones could not be identified, while the same tones were accurately identified in our experimental task, where they had been paired with a picture and finger press, strongly suggests that our classifier is detecting neural reactivation of the associated memory rather than an ERP to the tone itself. Importantly however, our task involves motor, visual, auditory, and sequencing components, and our classification method does not distinguish between these elements, so we cannot say anything about which aspect of the task was reactivated. Given that our prior work using a similar TMR protocol led to sequence specific improvements in performance (Cousins et al., 2014), it seems likely that activity detected in the current experiment reflects a similar process. Future work is needed to test this possibility, potentially by including a control condition in which TMR cues that were learned in a particular order are replayed in sleep in a random order.
While our current results are promising, and we hope that our classifier pipeline will be of use for future investigations, we should also highlight the fact that this pipeline was developed with our particular procedural task in mind, and may not generalize well to other behavioral tasks. Furthermore, because our classifiers were developed individually for each participant, we do not expect these to generalize to other participants. Finally, it should be noted that we applied TMR in S2 and SWS, but have not yet explored REM sleep. There is notable evidence for memory reactivation in REM sleep (Maquet et al., 2000), so this may provide an exciting area for future investigation.

**In sum**

We have developed a method for detecting neural reactivation in sleep using EEG classifiers. This should provide a useful tool for future explorations of such reactivation and its impacts on memory consolidation and brain plasticity. In the current proof of principle paper, we have applied this method to two specific problems. We show that while TMR elicits classifiable reactivations in both SWS and N2, these are more consistently classifiable in SWS. We also show that TMR induced reactivation becomes less classifiable with multiple repetitions suggesting that TMR becomes less effective as the neural processing associated with reactivation is gradually completed. In future, our classifier method could be applied to determine whether more classifiable reactivations lead to greater functional plasticity, and which EEG features are the most important for this.

**Conflicts of interest**

The authors declare no competing financial interests.

**Acknowledgements**

SB is supported by the Wellcome Trust Institutional Strategic Support Fund (grant number R117875). PL and LS are supported by ERC grant 681607 — Understanding creativity and problem solving through sleep-engineering (SolutionSleep). SB, PL, JC, WED; JS; and HT are all supported by Manchester University. AZ, MP, LS, and PL are supported by Cardiff University.

**Appendix A. Supplementary data**

Supplementary data related to this article can be found at [https://doi.org/10.1016/j.neuroimage.2018.04.029](https://doi.org/10.1016/j.neuroimage.2018.04.029).

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