Group Transfer to an Aliphatic Bond: A Biomimetic Study Inspired by Nonheme Iron Halogenases

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ABSTRACT: In this work, we predict a group-transfer reaction to an aliphatic substrate on a biomimetic nonheme iron center based on the structural and functional properties of nonheme iron halogenases. Transferring groups other than halogens to C–H bonds on the same catalytic center would improve the versatility and applicability of nonheme iron halogenases and enhance their use in biotechnology; however, few studies have been reported on this matter. Furthermore, very few biomimetic models are known that are able to transfer halogens or other groups to aliphatic C–H bonds. To gain insight into group transfer to an aliphatic C–H bond, we performed a detailed computational study on a biomimetic nonheme iron complex and studied the reactivity patterns with a model substrate (ethylbenzene). In particular, we investigated the reaction mechanisms of [FeIV(O)(TPA)X]−, TPA = tris(2-pyridylmethy1)amine, and X = Cl, NO2, N3 with ethylbenzene leading to 1-phenylethanol and 1-phenyl-1-X-ethane products. Interestingly, we find that the product distributions vary with the nature of the equatorial X-substituent on the metal center. Thus, [FeIV(O)(TPA)NO2]− reacts with ethylbenzene by dominant hydroxylation of the substrate, whereas with halide/azide in the cis-position a group transfer is more likely. As such, we predict a catalytic mechanism of azidation of aliphatic groups using a biomimetic nonheme iron oxidant. The results have been analyzed with thermochemical cycles, valence bond schemes and electronic assignments of reactants and products, which put our results in a broad perspective and predict the effect of other substituents. Finally, predictions are given on how these systems could be utilized in vivo.

KEYWORDS: biomimetic models, density functional theory, hydroxylation, azidation, nitration, halogenation

INTRODUCTION

In Nature, halogenases catalyze the addition of a halogen atom to a wide variety of molecular scaffolds— including aromatic and heterocyclic rings, olefinic sites, and unactivated aliphatic carbon centers.1 Indeed, more than 4500 naturally occurring halogenated compounds have been identified already.2 The halogen-transfer enzymes are subdivided into several classes, the largest being the haloperoxidases that utilize hydrogen peroxide and react on either a heme, a vanadium cofactor, or a flavin group to halogenate a variety of electron-rich carbon centers.2b,3 In addition to these haloperoxidases, there is a second group of halogen-transfer enzymes, namely the α-ketoglutarate (αKG) dependent halogenases, that react through a radical mechanism. These αKG dependent halogenases utilize iron, αKG as a cosubstrate, and dioxygen. Experimental studies showed the αKG-dependent halogenases to react via a rate-determining hydrogen atom abstraction from aliphatic (sp3-hybridized) carbon atoms.4 The αKG-dependent oxidases are also an extremely diverse and useful class of biocatalysts, with members of this superfamily involved in biological pathways as varied as antibiotic biosynthesis and toxin metabolism.5

Figure 1 illustrates the active-site region of a typical αKG dependent halogenase, namely from SyrB2, with the position of the heavy atom coordinates taken from the 2FCT protein data bank (pdb) file.6 SyrB2 catalyzes a key step in the biosynthesis of syringomycin, whereby a threonine amino acid (Thr) tethered to the TyrB1–S–Thr carrier protein is chlorinated at the methyl position.7 The active site contains the characteristic iron coordination motif of two histidine and one anion (Cl) occupying one face of the coordination octahedron, which is common to all known members of the αKG-dependent dioxygenase superfamily.8 However, while most of the closely related dioxygenases utilize a well-conserved 2His-1Asp/Glu motif to coordinate the central iron atom, by contrast, αKG-dependent halogenases lack the acidic residue, which is replaced by an alanine (Ala118 in SyrB2), and hence have a 2His-1Cl ligand motif.6 It has been suggested that the structural change creates a hydrophobic

Supporting Information

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We investigated the nitration and azidation reactions using a biomimetic model instead.

Despite all of the recent progress in understanding how natural and synthetic iron(IV)−oxo catalysts are able to hydroxylate or halogenate their substrates, the philosopher’s stone that would enable chemists to install a wide range of functional groups onto aliphatic carbon centers remains elusive. In particular, research on the formation of C−N products has tended to focus on the use of synthetic metal-containing catalysts. Historically, iodonium azide (IN$_3$) has been used as a reagent to generate a C−N$_3$ linkage since radical azidation is thermodynamically favorable due to the small bond dissociation energy (BDE) of the I−N$_3$ (28.6 kcal mol$^{-1}$) through facile homolytic cleavage. Much larger BDEs of 92.1 and 80.1 kcal mol$^{-1}$ are found for H−N$_3$ and CH$_3$−N$_3$, respectively, leading to the azidation of weak ethereal C−H bonds. The combination of azidoiodinane reagents and metal catalysts allowed the transfer of N$_3$ to tertiary and secondary C−H bonds at much lower temperatures; however, when looking to develop catalysts that form C−N bonds on a large range of unactivated carbon centers one may be inspired by Nature. A recent study by Matthews et al. demonstrated, for the first time, direct evidence of the nitration and azidation capabilities of αKG-dependent halogenases, whereby instead of transferring a halogen atom to an aliphatic group an NO$_2$ or N$_3$ radical was transferred. Unfortunately, the yields of both reaction products were very small, making industrial applications limited. As sometimes regioselectivities and product distributions are dependent on the shape and size of the substrate binding pocket of the enzymatic structure, we decided to investigate the nitration and azidation reaction using a biomimetic model complex instead.

Biomimetic models are useful chemical catalysts inspired from Nature. They are relatively small in size compared to the enzymes that inspired their design and dissolve and react in industrial solvents at room temperature. Several biomimetic model complexes that represent the active site of αKG-dependent halogenases and hydroxylases have been developed previously, including the iron−TPA model (TPA = tris(2-pyridylmethyl)amine), Scheme 1. This system is well studied and has shown to react with terminal oxidants, such as H$_2$O$_2$, and reacts with substrates via aliphatic and aromatic hydroxylation and sulfoxidation. Furthermore, research has shown that the product distributions of the reactions are strongly dependent on the nature of the cis-ligand, i.e., group X in Scheme 1, and when a halogen is bound (X = Cl) some substrate halogenation is observed in line with enzymatic halogenases.

Additionally, computational modeling on the chemoselectivity of aliphatic hydroxylation versus halogenation of ethylbenzene by [Fe$^{IV}$(O)(TPA)]$^+$ showed that the product distributions were dependent on the ground electronic state of the reactant, i.e., either triplet or quintet, as well as the local environment of the system. The question that remains is whether this system would be able to perform group-transfer reactions in general with ligands bound in the cis-position. Therefore, we decided to extend our previous studies with several reaction pathways not previously investigated for aliphatic nitration and azidation reactions. The work shows that indeed this biomimetic model complex can perform these group-transfer reactions well, but significant amounts of substrate hydroxylation byproducts may be expected. We also analyze the electronic and chemical factors that affect the bifurcation pathways and come with suggestions on how to further improve the oxidant.

![Scheme 1. Model and Chemical Reactions Investigated in This Work](image)

**METHODS**

All methods and procedures reported in this work were carefully benchmarked and calibrated and are known to reproduce experimental results well. We started out with the work presented previously on the reactivity of [Fe$^{IV}$(O)-(TPA)(Cl)]$^+$ with substrates. All calculations used density functional theory methods as implemented in the Gaussian 09 software package. Structures were fully optimized without...
constraints using both the hybrid density functional method UB3LYP\(^{22}\) as well as the pure density functional UBP86.\(^{23}\)

Test calculations were also performed using the hybrid meta-GGA functionals TPSSh\(^{24}\) and M06,\(^{25}\) which contain intermediate levels of Hartree–Fock exchange, but no major differences in the results were obtained. Initial geometry optimizations, geometry scans, and frequency calculations were done with the double-\(\zeta\) quality basis set LACVP on iron (with core potential) and 6-31G on the rest of the atoms (BS1).\(^{26}\)

Transition states were located by running an extensive set of geometry scans between two local minima along a specific reaction coordinate followed by full transition-state geometry optimization starting from the geometry on the maximum of these scans. For a selection of reaction pathways, intrinsic reaction coordinate optimizations were performed that confirmed the transition states as a first-order saddle point connecting the reactant and radical intermediates.

Analytical frequencies were performed for each structure and used to estimate free energies with zero-point (ZPE), thermal, entropic, and solvent (\(E_{\text{solv}}\)) corrections calculated at 1 atm pressure and a temperature of 298 K. Transition states were confirmed as first-order saddle points; they featured only one normal mode with an imaginary frequency, and this mode corresponds to the over-the-barrier motion. Final electronic energies were obtained through single-point calculations on each optimized geometry using a triple-\(\zeta\) type LACV3P\(+\) basis set on iron (with core potential) and 6-311+G\(*\) on the rest of the atoms (BS2). Implicit solvent corrections used the polarized continuum model with a dielectric constant of 80 as experimental work on biomimetic iron(IV) oxo complexes was usually performed in acetonitrile.\(^{19}\)

A correction for van der Waals interactions was also added using the dispersion model of Grimme as implemented in Gaussian.\(^{27}\)

Unless otherwise indicated, all energies reported here used UB3LYP in conjunction with the BS2 basis set. To test the basis set effect, we calculated some reaction mechanisms with both UB3LYP/BS2 and UB3LYP/BS2//UB3LYP/BS1 and compared the relative energies and optimized geometries.

Similarly to previous studies of analogous systems,\(^{28}\) we find relative energies along the landscape that are within 0.5 kcal mol\(^{-1}\) of each other with analogous structures (Supporting Information). As such, the full project was run at the UB3LYP/BS2//UB3LYP/BS1 level of theory.

Ethylbenzene was used as a model substrate since its C–H bond dissociation energy is typical for aliphatic substrates used by halogenases.\(^{29}\) Finally, primary kinetic isotope effects (KIEs) were assessed by substituting the transferring hydrogen atoms with deuterium and reevaluating the free energy of activation.\(^{30}\) The calculations were run for the lowest lying singlet, triplet, quintet, and septet spin state surfaces.

### RESULTS

In natural halogenases and biomimetic halogenase systems, several mechanisms were proposed for the bifurcation pathways leading to substrate hydroxylation and halogenation; see Scheme 2.\(^{11,16,19}\) To find out whether nitration and azidation would be possible on the same iron(IV)–oxo complex, we investigated the same reaction scheme for these processes for the reaction of ethylbenzene by [Fe\(^{IV}\)(O)(TPA)]\(^{-}\) (X)\(^{+}\) with X = NO\(_2\)/N\(_3\).

The reaction starts with an initial hydrogen atom abstraction from the benzyl position of ethylbenzene to form an iron(III)–hydroxo complex and a radical intermediate (I\(_1\)) via a transition state TS\(_{1\text{HA}}\). Three pathways starting from the radical intermediate I\(_1\) were investigated, namely (i) OH rebound (via barrier TS\(_{1\text{OH}}\)) to form alcohol products (P\(_1\)OH), (ii) X-group transfer to the radical to form product P\(_1\)X via a transition state TS\(_{1\text{OH}}\)X, and (iii) isomerization from I\(_1\)X to I\(_2\)X for the interchange of the OH and X groups on the iron(III) center. Of course, the interchange of the oxo and X ligands can also happen on the iron(IV)–oxo reactant complex 1X to give the rotated isomer 2X, and as such this was investigated as well. The rotated intermediate can undergo the same reactivity patterns as structure 1X and performs OH rebound via barrier TS\(_{2\text{OH}}\) to form alcohol products (P\(_2\)OH) or X-transfer via barrier TS\(_{2\text{X}}\) to give P\(_2\)X products. Products P\(_1\) and P\(_2\) differ by the position of the fifth ligand to the metal. We tested the full mechanism of Scheme 2 on all available spin-state surfaces as well as in various electronic configurations. Our comprehensive and detailed study accumulated many results, which are mostly relegated to the Supporting Information, while we focus here on the major trends only.

Although the mechanism in Scheme 2 looks similar to that described and published previously on analogous systems with halide in the cis-position, actually the binding of alternative anions, i.e., NO\(_2\)\(^{-}\) or N\(_3\)\(^{-}\), has a major effect on the oxidation

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Scheme 2. Reaction Mechanisms Investigated in This Work with Definitions of Symbols Used in the Text

![Scheme 2](image-url)
potential of the ferryl oxidant and its spin-state ordering and, thus, affects the chemoselectivities of the reaction as well as the product distributions, which requires a detailed discussion. Previous work by our group on a nonheme iron biomimetic model showed that substrate binding and orientation in the second coordination sphere could have a dramatic effect on both the availability of the preferred reaction channel as well as the rate of the reaction.31 As such, the size, shape, and electron-withdrawing/donating ability of the cis-ligand may incur structural as well as electronic effects on the reaction.

To test these competing hypotheses, an intensive theoretical study was undertaken to investigate the reaction mechanisms outlined in Scheme 2 using ethylbenzene (EB) as the substrate. Two isomers were generated for each anion in the complex \([\text{FeIV(O)(TPA)(X)}]^+\), where \(X\) is \(\text{NO}_2^-\), \(\text{N}^3^-\), or \(\text{Cl}^-\), with the oxo group either trans to the amine nitrogen (isomer A; \(1X\)) or trans to a pyridine nitrogen (isomer B; \(2X\)). Apart from the two isomers, nonheme iron oxidants have close-lying triplet and quintet spin states, as well as the septet and singlet spin states that lie somewhat higher in energy, and as such a multistate reactivity pattern with a mechanism and rate constant on each of the individual spin states is expected.32 Therefore, all calculations cover the two isomers in the lowest lying singlet, triplet, quintet, and septet spin states.

Scheme 3 (left hand side) shows the high-lying occupied and low-lying virtual orbitals of the iron(IV)−oxo species. Thus, the reactant state has a set of orbitals \((\pi/\pi^*)\) in the \(xz\)- and \(yz\)-planes for the interaction of the 3d orbital on Fe with a 2p orbital on the oxo group that are occupied with six electrons. In addition, there is another metal 3d orbital \((\delta_{xy})\) that is nonbonding and located in the plane of the three pyridyl groups and the X ligand. Two high-lying orbitals for the \(\sigma^*\) antibonding interactions in the \(xy\)-plane and along the \(z\)-axis complement the series of metal-type orbitals \((\sigma^*_{x^2−y^2} \text{ and } \sigma^*_{z^2})\).

In the triplet spin state, the iron(IV)−oxo reactant has the orbital occupation

\[
\pi_{xz}^2 \pi_{yz}^2 \delta_{xy}^2 \pi_{x^*z}^1 \pi_{y^*z}^1
\]

whereas in the quintet spin state it is

\[
\pi_{xz}^2 \pi_{yz}^2 \delta_{xy}^2 \pi_{x^*z}^1 \pi_{y^*z}^1 \pi_{x^2−y^2}^1.
\]

In enzymatic nonheme iron dioxygenases, the catalytically active iron(IV)−oxo species generally has a quintet spin ground state.33 However, in biomimetic nonheme iron(IV)−oxo complexes often the triplet spin state is the ground state and the quintet spin state is higher.18,34 It was reasoned that the difference is due to a pentacoordinated iron(IV)−oxo in nonheme iron dioxygenases, whereas the metal is usually hexacoordinated in biomimetic model complexes.35 Indeed, our optimized geometries of \(3,51X\) (\(X = \text{NO}_2^-\) or \(\text{Cl}^-\)) give a triplet spin ground state followed by a quintet spin state 6.1−6.6 kcal mol\(^{-1}\) higher in energy \((\Delta G + E_{solv})\).

Following our previously described procedure,19 we began by optimizing \([\text{FeIV}=\text{O}(\text{TPA})(\text{X})]^+\) with the oxo group cis to three pyridine nitrogen atoms and trans to the amine group \((1X_A)\). Using NO\(_2^-\) as the anion, we find a triplet spin ground state with the quintet spin state higher by 4.4 kcal mol\(^{-1}\) (\(\Delta G + E_{solv}\)). At the BP86 level of theory, by contrast, the same spin state ordering is found although the energy gap is slightly larger. This is generally observed in iron(IV)−oxo complexes, where pure density functional methods stabilize lower spin states and methods with a large Hartree−Fock exchange component tend to stabilize high spin states.56 Interestingly,
Indeed, the electron affinity reported for \( \text{Fe}^{IV} \) molecular orbitals and an extra electron is promoted into the abstraction from the substrate rearranges some of the on each of the individual spin states. The hydrogen atom gap will stabilize the quintet spin state over the triplet spin state energy gap, is related to the destabilization of the spin state splitting of 6.1 kcal mol\(^{-1}\) in solvent. Our value compares very well to the spin state energy gap of 6.1 kcal mol\(^{-1}\) previously reported for \([\text{Fe}^{IV}\text{═O(Cl)(TPA)}]^{+}\),\(^1\)interestingly, we observe closely degenerate triplet and quintet spin states when \(N_3\) is coordinated to the iron(IV) oxo center. The decrease of the spin state energy gap, is related to the destabilization of the \( \delta_{xy} \) orbital and stabilization of the \( \sigma_{x^2−y^2} \) orbital in the system with \(X = N_3\). Generally, a smaller \( \delta_{xy}−\sigma_{x^2−y^2} \) orbital energy gap will stabilize the quintet spin state over the triplet spin state.\(^7\) As such, the \(N_3^{-} \) ligand is a weaker electron donor and lowers the \( \sigma_{x^2−y^2} \) orbital over that found for \(X = NO_2\). Indeed, the electron affinity of \(N_3^{-}\) was shown to be considerably larger than that of \(NO_2\).

Although there is no experimental data on the ground state \([\text{Fe}^{IV}(O)(\text{TPA})(X)]^{+} (X = NO_2^{-}/N_3^{-})\) species, Mössbauer spectroscopy and EXAFS (extended X-ray absorption fine-structure) methods strongly indicate a ground-state triplet when the chloride anion is present.\(^6\) In all cases, we find that the singlet and septet spin states are higher in energy, and therefore, those results are relegated to the Supporting Information.

Hydrogen Atom Abstraction. The hydrogen atom abstraction from the substrate by the oxidant to form an iron(III)−hydroxo complex (11\(X\)) is accomplished with an electron transfer into the metal d-block orbitals that is different on each of the individual spin states. The hydrogen atom abstraction from the substrate rearranges some of the molecular orbitals and an extra electron is promoted into the metal d-block, while a substrate radical (in orbital \(\phi_{\text{sub}}\)) remains. In the quintet spin state, this electron transfer can add a second electron to the \(\pi^{*}_{x^2−y^2}\) orbital (\(\pi\)-pathway) or alternatively fill the \(\sigma_{x^2−y^2}^{\pi}\) orbital with one electron (\(\pi\)-pathway). Similarly, on the quintet spin state during the hydrogen atom abstraction an electron can fill the \(\sigma_{x^2−y^2}^{\pi}\) orbital (\(\pi\)-pathway) or pair up with the \(\delta_{xy}\) electron (\(\pi\)-pathway). The ordering and the relative energies of these four hydrogen atom abstraction pathways are dependent on several variables, such as the structure of the oxidant, the nature of the orbital splitting, the electron-donating effect of ligand \(X\) and environmental perturbations.\(^4\) As such, we characterized hydrogen atom abstraction transition states and iron(III)−hydroxo complexes corresponding to each of the four electron-transfer processes in Scheme 3.

The hydrogen atom abstraction of the benzyl position of ethylbenzene by \(3^{\delta}_X\) \(I_1\) \((X = NO_2^{-}, N_3^{-}, Cl^{-})\) was investigated on the \(3^{\delta}_X\) and \(3^{\sigma}_{X}\) pathways as described in Scheme 3 above. Figure 2 displays the calculated hydrogen atom abstraction step from ethylbenzene by \(1_X\), \(X = NO_2^{-}\), for all four hydrogen atom abstraction pathways (\(\sigma\) and \(\pi\)-pathways on the triplet and quintet spin states). For \(X = NO_2\), the lowest lying hydrogen atom abstraction barriers are \(3^{\delta}_{\text{TSNO2}X}\) and \(3^{\sigma}_{\text{TSNO2}X}\) with values of 13.6 and 14.1 kcal mol\(^{-1}\), respectively. Well above \(3^{\delta}_{\text{TSNO2}X}\) in energy are \(3^{\sigma}_{\text{TSNO2}X}\) (by 5.4 kcal mol\(^{-1}\)) and \(3^{\pi}_{\text{TSNO2}X}\) (by 10.3 kcal mol\(^{-1}\)), and consequently, these two pathways will contribute little to the reaction mechanism. The ordering of these \(3^{\delta}_{X}\) and \(3^{\sigma}_{X}\) transition states is in line with previous hydrogen atom abstraction barriers characterized for analogous systems.\(^3\)\(^8\)\(^6\)\(^9\) Furthermore, it implies a two-state reactivity pattern on

![Figure 2](image-url)
competing triplet and quintet spin states via a rate determining
$^3\text{TS}_{\text{HA,NO}_2}$ or $^5\text{TS}_{\text{HA,NO}_2}$ barrier.

Optimized geometries of the $^{35}\sigma$ and $^{3\pi}$ hydrogen atom abstraction transition states for the reaction of $^{33}\text{I}_{\text{NO}_2}$ with ethylbenzene are shown at the bottom of Figure 2. Geometrically, the two $\pi$-pathway transition states are very much alike with similar bond lengths and angles due to analogies in electron-transfer processes taking place. Much larger geometric
differences are observed between the $\pi$- and $\sigma$-pathway transition states, which was reasoned previously to originate from the electron transfer into $^{\pi}\pi^*$ versus $^{\sigma}\sigma^*$. Thus, the substrate attacks sideways in $^3\text{TS}_{\text{HA,NO}_2}$, whereas in $^5\text{TS}_{\text{HA,NO}_2}$ the substrate attacks from the top and the Fe–O–H angle is much larger (154°).

The imaginary frequencies associated with the four hydrogen atom abstraction transition states vary somewhat and values of $i1196$, $i940$, $i939$, and $i498 \text{ cm}^{-1}$ are obtained for $^3\text{TS}_{\text{HA,NO}_2}$, $^5\text{TS}_{\text{HA,NO}_2}$, $^3\text{TS}_{\text{HA,NO}_2}$, and $^5\text{TS}_{\text{HA,NO}_2}$. Hydrogen atom abstraction steps generally are associated with sharp and narrow barriers, and hence, relatively large imaginary frequencies are seen with magnitudes well over $i1000 \text{ cm}^{-1}$. The values observed here, therefore, and in particular the one for $^5\text{TS}_{\text{HA,NO}_2}$, which are small, which may have to do with the fact the TS is early on the potential energy surface, i.e. short C–H and long O–H bond lengths.

The transition-state ordering in the oxidants coordinated by NO$_2^-$ is sharply contrasted by the ordering found when either $\text{N}_3^-$ or Cl$^-$ is used. In particular, $^3\text{TS}_{\text{HA,N}_3}$ is much lower in energy than $^3\text{TS}_{\text{HA,NO}_2}$ by $\sim 10 \text{ kcal mol}^{-1}$, so that the reactivity will take place on a dominant quintet spin surface and the triplet spin state will play a role of little importance. This is similar to the results reported for X = Cl previously where the lowest lying hydrogen atom abstraction transition state was on the quintet spin state surface. As the reactant iron(IV)–oxy complexes with $\text{N}_3^-$ have degenerate quintet and triplet spin states, the quintet spin state will be populated in the reactant mixture and the spin-state crossing will be facile.

Isomerization of Iron(IV)–Oxo Complexes. In principle, there are two possible stereoisomers of the reactive species, whereby, the oxo group can be either trans or cis to the central amine group, i.e., structures $1_x$ and $2_y$ respectively. Therefore, we investigated the relative energies of each isomer as well as locating the relevant isomerization barriers, associated with ligand switching. Similarly to what was reported above, the spin state ordering of $^3\text{TS}_{\text{AB}}$ is dependent on the electronic properties of group X, whereby we observe a triplet spin ground state when X = Cl$^-$/NO$_2^-$ and a quintet spin ground state when X = $\text{N}_3^-$ (Supporting Information, Tables S4 and S8). These spin-state orderings and relative energy profiles are analogous to previous studies of biomimetic nonheme iron complexes and there is remarkable consistency between the isomers of each species. In all cases, the ground-state structures of $1_x$ and $2_x$ are close in energy and could be in thermodynamic equilibrium.

Next, we investigated the potential isomerization steps, by which a rotation around the metal center could lead to the swapping of the oxo and anion coordination positions via the transition state $^3\text{TS}_{\text{AB}}$. Exploratory scans were performed from the $^{33}\text{I}$ isomers through a relaxed geometry scan with fixed O–Fe–N$_\text{val}$ angles in incremental steps from 180° to 90°, using a step size of $5^\circ$. Subsequently, the maximum points along these trajectories were used to locate the rotational barriers via full transition-state optimizations; see Figure 3.

Figure 3. Optimized geometries of the isomerization transition states $^3\text{TS}_{\text{AB,X}}$ for X = $\text{N}_3$ and NO$_2^-$, Bond lengths are in angstroms and the imaginary frequencies in wavenumbers.

Again, the X = Cl$^-$ and X = NO$_2^-$ show remarkably similar $^3\text{TS}_{\text{AB}}$ energies of approximately 12.4 kcal mol$^{-1}$, with a much higher barrier of 20.1 kcal mol$^{-1}$ being found for the $^3\text{TS}_{\text{AB,N}_3}$ in the quintet spin state. Therefore, while our results indicate the possibility that some rotation could be observed in [Fe$^\text{IV}$(O)-(TPA)(X)]$, with X = Cl$^-$/NO$_2^-$; we would not expect an isomerization for X = $\text{N}_3^-$. Analysis of the imaginary frequency modes of all three transition states indicate broad and flat potential energy surfaces; with $^3\text{TS}_{\text{AB}}$ frequencies of i56.7, i45.1, and i39.7 cm$^{-1}$ for X = Cl, $\text{N}_3^-$, and NO$_2^-$ respectively.

We also investigated the hydrogen atom abstraction pathways of ethylbenzene for the isomeric structures $^{35}\text{TS}_{\text{AB,X}}$ on the $^{3\pi}$ and $^{3\sigma}$-pathways via transition state $^3\text{TS}_{\text{HA,NO}_2}$ to form the radical intermediates $^{12}\text{NO}_2^-$. Figure 4 displays the potential energy landscape for the hydrogen atom abstraction from $^{35}\text{TS}_{\text{AB}}$ on the $^{3\pi}$ and $^{3\sigma}$-pathways. Interestingly, the relative energies of the various $^{3\pi}\sigma$ and $^{3\pi}$ transition states and radical intermediates are within 1 kcal mol$^{-1}$ from those given above in Figure 2. The only difference appears to be a slight destabilization of the $^3\pi$ transition state, which is raised above the $^3\sigma$ transition state. But this change may not be significant.

The low-lying $^3\text{TS}_{\text{HA,NO}_2}$ structure has the oxygen atoms of NO$_2^-$ lying in the plane of the amine and oxo groups of the catalyst with an N–Fe–N–O dihedral of 165°. However, in $^3\text{TS}_{\text{HA,NO}_2}$ the oxygen atom is in close proximity to the iron(IV)–oxy and is forced downward by a rotation around the central Fe–N axis to give a N–Fe–N–O dihedral of 136° (Figure 4). Therefore, unlike other fully coordinated nonheme catalysts, there appears to be enough room for hydrogen atom abstraction via the $\pi$-channel when X = Cl$^-$/N$_3^-$ is positioned in the equatorial position. However, the bulky NO$_2^-$ group in the cis position gives an oxidant akin to a standard nonheme iron oxidant that prevents approach of substrate under the ideal geometry for the transfer of a $\beta$-electron into the $\delta^\text{xy}$ orbital. Importantly, when the NO$_2^-$ binds to iron through the oxygen, the group is positioned in such a way as to completely block access to the $^3\text{TS}_{\text{HA,NO}_2}$, Accordingly, $^3\text{TS}_{\text{HA,NO}_2}$ becomes the lowest energy barrier for the reaction of ethylbenzene with NO$_2^-$ (see Tables S25–S28, Supporting
Information). These steric constraints are absent in $X/2X$ with $X = \text{Cl}/\text{N}_3$; hence, the $\pi$-pathway is stabilized although not enough for $X = \text{N}_3$ to make it the lowest energy pathway.

Nitrization, Azidation, Halogenation, and Hydroxylation. We proceeded from our lowest lying iron(III)−hydroxo complexes ($\text{I}_1X$ and $\text{I}_2X$) to investigate each potential rebound process leading to hydroxylation and nitrization products. Figure 5 gives the relative energies of OH versus X rebound from 3,5$\text{I}_1X$ structures. For the NO$_2$−ligated system, 5$\text{TS}_{\text{1,OH,NO}_2}$ gave a hydroxyl-rebound barrier of 2.0 kcal mol$^{-1}$ in solvent, whereas the nitration barrier (5$\text{TS}_{1X,\text{NO}_2}$) was higher in energy at 5.8 kcal mol$^{-1}$. These patterns are repeated starting from 1$\text{I}_2\text{NO}_2$, where rebound barriers of 5$\text{TS}_{2\text{OH,NO}_2}$ and 5$\text{TS}_{2X,\text{NO}_2}$ of 4.2 and 6.7 kcal mol$^{-1}$, respectively, are found. Our results indicate that both $\text{I}_1\text{NO}_2$ and $\text{I}_2\text{NO}_2$ will give dominant substrate hydroxylation as reaction products. Furthermore, isomerization from $\text{I}_1\text{NO}_2$ to $\text{I}_2\text{NO}_2$ and their equilibration will not give a major change in product distributions.

By contrast to the mechanism seen for $X = \text{NO}_2$, the rebound processes starting from 3,5$\text{I}_1\text{N}_3$ give a preference for the nitrogenous product, where the N-transfer reaction (5$\text{TS}_{1X,\text{N}_3}$) is almost barrierless (Figure 5). In particular, the 5$\text{TS}_{1X,\text{N}_3}$ structure for $X = \text{N}_3$ could not be located as it was too close in energy to the radical intermediate 5$\text{I}_1\text{N}_3$, and all attempts to optimize its structure failed. As such, we estimated its energy from the geometry scan connecting 5$\text{I}_1\text{N}_3$ with products 5$\text{P}_1\text{N}_3$ (Supporting Information, Figure S5). At the UB3LYP/BS2 level of theory, however, we did manage to optimize the structure of 5$\text{TS}_{1X,\text{N}_3}$, but also at this level of theory it is only 1 kcal mol$^{-1}$ above the energy of 5$\text{I}_1\text{N}_3$. These energies match the values estimated from the geometry scan well.

Conversely, the OH-rebound barrier starting from the same intermediate is 4.7 kcal mol$^{-1}$ in solvent. This trend is repeated with isomer 5$\text{I}_2\text{N}_3$ that also gives a lower lying 5$\text{TS}_{2X,\text{N}_3}$ barrier with respect to 5$\text{TS}_{2\text{OH,N}_3}$. As such, binding of azide at the cis-position of [Fe$^{IV}$(O)(TPA)$X^+$ gives a different chemoselectivity for product formation than the reaction with NO$_2$ in the cis-position. The pattern seen for [Fe$^{IV}$(O)(TPA)$\text{N}_3^+$ match those previously reported for the reaction of [Fe$^{IV}$(O)-(TPA)$\text{Cl}^+$ with ethylbenzene, although the N-transfer barriers are much lower in energy than halide transfer.

Interestingly, this dominance for the alcohol production is only seen when the nitrogen atom is coordinated to the iron center in [Fe$^{IV}$(O)(TPA)$\text{NO}_2^+$]. Thus, we calculated the full reaction mechanism of ethylbenzene activation by [Fe$^{IV}$(O)(TPA)$\text{NO}_2^+$ with either an N-bound NO$_2$ group (3,5$\text{I}_1\text{NO}_2$) or an O-bound NO$_2$ (structures 3,5$\text{I}_1^*$); see Figure 6. For both sets of oxidants we calculated the hydrogen atom abstraction from ethylbenzene followed by NO$_2$ and OH rebound to form 1-
nitro-1-phenylethane and 1-phenyl-1-ethanol products. In addition, we calculated the isomerization of the NO₂ and OH groups to form structures 3,5-2* and their rebound processes. Figure 6 displays the mechanism obtained for the reaction of ethylbenzene with 3,5-1*. Although the reactive triplet spin state is the ground state, the hydrogen atom abstraction barrier on the quintet spin state is well lower than that found for the triplet spin state: 10.7 vs 18.2 kcal mol⁻¹. In analogy with the work reported above the barrier in the triplet spin state is of 3TS₁,σ type, whereas the quintet spin barrier is of 5TS₁,π type. Therefore, the triplet-quintet splitting is enlarged with oxygen coordination and the quintet spin pathway is the lowest in energy. Moreover, the barriers are competitive with those seen in Figure 2 above and hence we can expect multistate reactivity patterns through several isomeric structures on close-lying electronic and spin state surfaces. Structurally, the transition states look similar to those reported above.

For the rebound process, the nitrogenous product is favored over substrate hydroxylation on both the triplet and quintet spin states for 3,5-1* as an oxidant. Note that these structures are isooenergetic to those described above in Figure 2, where the nitrogen atom binds to iron and hence they may be in equilibrium where both isomers exist next to each other. On both the triplet and quintet spin state surfaces the NO₂ rebound pathway is favored over OH rebound, which implies that little alcohol products can be expected. As such, oxidants 3,5-1NO₂ and 3,5-1NO₃ are expected to give different products in a reaction with ethylbenzene, whereby 3,5-1NO₂ gives 1-phenyl-1-ethanol as the dominant product, whereas 1-phenyl-1-nitroethane is expected from a reaction of 3,5-1NO₃. The energies of the individual isomers are close and therefore in experiment a mixture of oxidants is expected, resulting then in a mixture of products from the reaction with substrate.

**Isomerization of Iron(III)—Hydroxo.** Even though the chemoselectivity preference of the reaction starting from 3,5-Iₓ₁ appears to be similar to that of 3,5-Iₓ₂, we did for completeness calculate their isomerization barriers TS₁,₁ₓ. Geometry scans were conducted following a protocol similar to that reported above for the TS₁,₁ₓ however, now the HO–Fe-Nₒᵥₐₙ₆ angle was varied. We calculated isomerization barriers from 3,5-Iₓ₁ to 3,5-Iₓ₂ of 15.9, 15.9, and 17.2 kcal mol⁻¹ for X = NO₂, N₃, and Cl, respectively (see the Supporting Information, Tables S35–S38). Therefore, the 5TS₁,₁ₓ NO₂ energies are consistently higher than the lowest energy OH or X rebound barriers shown in Figure 5. As such, for the iron(III)–hydroxo complexes it appears unlikely an isomerization of the hydroxo intermediates will take place. Consequently, isomerization is not expected to play an important role in the reactivity profiles of these complexes. Full details of the isomerization structures and reactivity patterns with respect to the oxidant from Figure 2 are given in the Supporting Information.

### DISCUSSION

As shown in this work, the chemoselectivity of ethylbenzene activation by [FeIV(O)(TPA)X]⁺ with X = NO₂, N₃, or Cl⁻ varies with the nature of the cis-ligand. In particular, the complex with X = NO₂ gives dominant 1-phenylethanol products, whereas with X = N₃ we expect azide transfer to the substrate. Bollinger and co-workers previously experimentally studied an engineered SyrB2 structure for the N₃ and NO₂ transfer to substrates. Interestingly, this bioengineered halogenase gives larger amounts of NO₂ than N₃ transfer. The difference in reactivity between our biomimetic model and the engineered SyrB2 structure most probably stems from differences in substrate-binding position. Thus, earlier work on nonheme iron halogenases and hydroxylases showed that substrate positioning determines the regio- and chemoselectivity of substrate activation, and engineering the active site of a protein can result in changes of product distributions. In order to understand these distinct differences in reactivity patterns, we did a detailed analysis of the electronic and thermochemical properties of reactants and intermediates and devised a valence bond model for group-transfer reactivity by iron(IV)–oxo complexes.

Previously, it was proposed that efficient halogenation by nonheme iron biomimetic models requires an iron(III)–hydroxo(halide) intermediate with a long lifetime. The lifetime of radical intermediates, like NO₂ and OR⁺ structures above, is competitive with those seen in Figure 2 above and hence in experiment a mixture of oxidants is expected, resulting then in a mixture of products from the reaction with substrate.
by a barrierless rebound on the doublet spin state and a small rebound barrier on the high-spin state. As such, the radical intermediate in the P450s has a short lifetime and often rebound the oxygen-containing group to the substrate. By contrast, nonheme iron hydroxylases and their biomimetic models tend to have higher rebound barriers and sometimes even give nonrebound pathways where the radical dissipates from the reaction center. This is the result of differences in electronic configuration of heme and nonheme complexes of these radical intermediates.

In this work, we find several reaction pathways for rebound steps that are virtually barrierless, e.g., azide transfer from the metal 3d-system coupled to a substrate radical, this implies an electron transfer from substrate into the lowest 3d iron orbital. In this work, we present a thermodynamic analysis of the hydrogen atom abstraction step. Thus, the hydrogen atom abstraction by [Fe(III)(OH)(TPA)]+ from substrate (SubH) can be described by eq 1.

\[
\text{[Fe}^{\text{IV}}(\text{O})(\text{TPA})\text{]}^+ + \text{Sub}^\bullet \rightarrow \text{[Fe}^{\text{III}}(\text{OH})(\text{TPA})\text{]}^+ + \text{Sub}^\bullet + \text{H}^+ - \text{BDE}_{\text{CH}}
\]

(1)

The reaction enthalpy (\(\Delta H_{\text{p,HAT}}\)) for eq 1 is equal to the difference in bond dissociation energy (BDE) of the C–H bond of the substrate (as defined in eq 2) and the O–H bond of the iron(III)–hydroxo complex (as defined in eq 3); see eq 4, 43, 49.

\[
\text{SubH} \rightarrow \text{Sub}^\bullet + \text{H}^+ - \text{BDE}_{\text{CH}}
\]

(2)

\[
\text{[Fe}^{\text{III}}(\text{OH})(\text{TPA})\text{]}^+ \rightarrow \text{[Fe}^{\text{IV}}(\text{O})(\text{TPA})\text{]}^+ + \text{H}^+ - \text{BDE}_{\text{OH}}
\]

(3)

\[
\Delta H_{\text{p,HAT}} = \text{BDE}_{\text{CH}} - \text{BDE}_{\text{OH}}
\]

(4)

We calculate a BDE of the \(\alpha\)-position of ethylbenzene (\(\Delta E + \text{ZPE} + E_{\text{eub}}\)) of 81.4 kcal mol\(^{-1}\), which is in good agreement with the experimentally reported value. Using eq 3, BDEOH values of 81.5, 81.7, and 95.7 kcal mol\(^{-1}\) were obtained for 1(NO2), 1(N3), and 1(Cl), respectively. As such, we predict a \(\Delta H_{\text{p}}\) of the reaction between ethylbenzene with 1(NO2) of 0.1 kcal mol\(^{-1}\), which agrees well with the driving force for the hydrogen atom abstraction seen in Figure 2. Similarly, a driving force of 0.3 kcal mol\(^{-1}\) is obtained from the difference in BDECH and BDEOH(1(N3)).

In the next stage, the reaction between [Fe(III)(OH)(TPA)]+ and Sub\(^\bullet\) was considered to lead to alcohol and X-group-transfer processes. Scheme 4 gives the thermochemical predictions based on bond energies of the isolated [Fe(III)(OH)]-(TPA)X\(^+\) and substrate species of the bonds that are broken and formed in the process.

**Scheme 4. Driving Forces for Bifurcation Pathways for X versus OH Transfer**

Driving forces were calculated at UB3LYP/BS2//UB3LYP/BS1+ ZPE, where the Fe=OH and Fe=0 values refer to diabatic values only. The dissociation energies of SubX into Sub\(^+\) + X\(^-\) were calculated for X = Cl, N3, and NO2, and values of 62.7, 48.3, and 39.6 kcal mol\(^{-1}\) were found. These values imply that if the binding strength of X to the metal center is the same for all three reactions then halogenation should be the most exothermic reaction mechanism and dominant over azidation and nitration.

We then looked into the binding strength of X and OH in the [Fe(III)(OH)(TPA)]+ complex. We find little variation in the Fe=X bond strength for the three complexes, and values of 36.6 (Cl), 28.5 (N3), and 36.8 (NO2) kcal mol\(^{-1}\) are, respectively. By contrast, the Fe=OH bond strength varies dramatically from 37.4 kcal mol\(^{-1}\) for X = Cl to 54.4 kcal mol\(^{-1}\) for X = NO2 and 70.0 kcal mol\(^{-1}\) for X = N3. As a result of this, the azide pathway has a highly exothermic N3 transfer, whereas the energetics are reversed for X = NO2, which gives preferential OH rebound. As follows from the calculated driving forces, for X = NO2, the most exothermic reaction pathway is OH transfer to give the alcohol products (P(1(OH)) by −17.4 kcal mol\(^{-1}\)). By contrast, the NO2 transfer only releases −2.8 kcal mol\(^{-1}\) in energy and hence will be thermodynamically less likely to happen than OH rebound. Indeed, that is seen from the relative barrier heights and exothermicities in Figure 5 above.

Interestingly, for X = N3, the most favorable reaction pathway is N3 rebound to form azide product P(1(N3)) by −19.7 kcal mol\(^{-1}\). By comparison, the OH rebound is much less exothermic with a value of −1.7 kcal mol\(^{-1}\). These exothermicities are in excellent agreement with the density functional theory (DFT) calculations that predict a chemo-selective N3 rebound over OH rebound. Nevertheless, since the product distributions are strongly dependent on the binding strength of the ligand X to the metal center, different product distributions may be expected from alternative oxidants. Indeed, the bioengineered SyrB2 system of Bollinger...
This equation contains the resonance energy \( \Delta E_{r} \) to account for the free energy of activation of the hydrogen atom abstraction was found. Furthermore, the VB models propene hydroxylation with the free energy of hydrogen atom abstraction reactions by heme and nonheme iron oxidants. Previously, we used valence bond modeling to rationalize the origin of the rate constant of the bifurcation pathways.

Valence Bond Rationalization of Group Transfer versus Hydroxyl Rebound. Previously, we used valence bond modeling to rationalize the origin of the rate constant of hydrogen atom abstraction reactions by heme and nonheme iron oxidants.

The work proposed a linear correlation between the strength of the C–H of the substrate that is broken (BDECH) and the free energy of activation of the hydrogen atom abstraction reaction. In a similar vein, a correlation with the strength of the O–H bond that is formed of a series of metal–oxo oxidants for propene hydroxylation with the free energy of hydrogen atom abstraction was found. Furthermore, the VB models predicted the origin of chemoselectivity patterns and explained bifurcation patterns.

Figure 7 displays a valence bond diagram that describes the bifurcation patterns and electronic changes during the OH and X rebound processes from \( ^{3}H_{X,r} \). The top part of Figure 7 gives the valence bond curves for \( ^{3}H_{X,r} \) and the product (either \( ^{3}P_{O1r} \) or \( ^{3}P_{1y} \)) described as parabolic functions that cross at a reaction coordinate of \( x = 1/2 \).

It was shown that the barrier height (\( \Delta E_{VB} \)) can be predicted from the energy difference (\( E_{FC} \)) between the two curves in \( x = 0 \), i.e., the geometry of \( ^{3}H_{X,r} \) and the driving force for the reaction from \( ^{3}H_{X,r} \) to products (\( \Delta E_{rp} \)), eq 5.

\[
\Delta E_{VB} = \frac{1}{4} E_{FC} + \frac{3}{4} \Delta E_{rp} + B
\]

Thus, the driving force \( \Delta E_{rp} \) is taken as the energy for the breaking of the Fe–OH/Fe–X on the one hand and the formation of the C–OH/C–X on the other hand. Adiabatic bond dissociation energies for the C–OH/C–X bond in 1-phenylethan and 1-phenyl-chloroethane of 71.7 and 62.7 kcal mol\(^{-1}\) were calculated.

The value \( E_{FC} \) is dependent on the bonds that are broken and formed in the transition states. Figure 7b shows the electron migration and bond breaking/forming patterns in \( ^{3}TS_{OH} \) and \( ^{3}TS_{1x} \) as a comparison. In particular, the transfer of the OH group (rebound) to the radical leads to the breaking of the Fe–OH/Fe–X on the one hand and the formation of the C–OH/C–X on the other hand.

Based on the orbital energy levels in \( [\text{Fe}^{III}(\text{OH})(\text{TPA})]^{+} \) we determined values for the \( E_{r,\sigma_{xy}} \) and \( E_{r,\sigma_{yz}} \) as well as the excitation energies that happen during X/OH transfer. With the \( \Delta E_{rp} \) values for these processes from schemes 4, we determine a VB predicted OH rebound barrier of \( \Delta E_{VB,OH} = 5.6 \) kcal mol\(^{-1}\), which compares well with the DFT-calculated rebound barrier from Figure 5. By contrast, an NO\(_2\)-rebound barrier of \( \Delta E_{VB,NO2} = 21.6 \) kcal mol\(^{-1}\) is predicted from empirical orbital and thermochemical values.
Indeed, the orbital changes predict preferential OH rebound over NO₂ rebound as also observed from the full DFT studies reported above. In a similar vein, we estimated the $E_{\sigma/\pi_{yz}}$ and $E_{\sigma/\pi_{x^2-y^2}}$ values from the molecular orbitals of the [Fe²⁺(OH)(TPA)-N₃]⁺ complex and in combination with the $\Delta E_{\text{op}}$ values from Scheme 4 predicted rebound barriers of $\Delta E_{\text{VR,OH}} = 6.2$ kcal mol⁻¹ and $\Delta E_{\text{VR,N₃}} = 4.6$ kcal mol⁻¹. Therefore, the nonheme iron complex with N₃ in the cis-position should preferentially give N₃ rebound over OH rebound as indeed observed from the DFT calculations depicted above. Clearly, the chemoselectivity switch from substrate hydroxylation for [FeIV(O)(TPA)X]⁺ to azidation for [FeIV(O)(TPA)N₃]⁺ results from molecular orbital changes. In particular, the $\pi_{xz}/\pi_{yz}$ energy gap in [Fe³⁺(OH)(TPA)X]⁺ widens from 80.0 kcal mol⁻¹ for X = NO₂ to 108.5 kcal mol⁻¹ for X = N₃. At the same time, the strong electron-withdrawing substituents affect the energy levels of the $\sigma_{x^2-y^2}$ and $\sigma_{x^2-y^2}^*$ orbitals, and their energy gap is decreased from 103.0 kcal mol⁻¹ for X = NO₂ to 76.9 kcal mol⁻¹ for X = N₃. The combination of the widening of the $\pi_{xz}/\pi_{yz}$ energy gap with the decrease of the $\sigma_{x^2-y^2}/\sigma_{x^2-y^2}^*$ energy gap leads to a chemoselectivity change for substrate hydroxylation to azidation.

The valence-bond model indicates, therefore, that an electron-donating cis-substituent, such as N₃, will affect the orbital energies of the $\pi_{xz}/\pi_{yz}$ and $\sigma_{x^2-y^2}/\sigma_{x^2-y^2}^*$ couples and, therefore, favor ligand transfer. This may be the reason that in nature nonheme iron dioxygenases usually have a 2-His/1-Asp facial ligand coordination system with the carboxyate located in the cis-position. Consequently, iron(IV)-oxo complexes with a carboxylate group in the cis-position may be more reactive in ligand-transfer reactions than the pyridine-type ligand system with four coordinating nitrogen atoms. However, future studies will need to establish this.

### CONCLUSIONS

In this work, we present a detailed and in-depth study into the preference for group-transfer versus hydroxylation of ethylbenzene by [Fe²⁺(O)(TPA)X]⁺, X = NO₂⁻, N₃⁻, or Cl⁻. A series of density functional theory calculations reveal mechanisms that start with hydrogen atom abstraction from substrate by the oxo group. Subsequent pathways for OH/X isomerization, OH rebound, and X-transfer were investigated and compared. The reaction mechanism proceeds via a multistate reactivity pattern with close-lying triplet and quintet spin states, whereby several electronically different pathways were considered for hydrogen atom abstraction via 3,5-$\sigma$- and 3,5-$\pi$-paths. The ordering and relative energies of these 3,5-$\sigma$ and 3,5-$\pi$-paths are dependent on the electronic configuration of the metal center, i.e., its coordination environment, the electron-withdrawing and -donating abilities of the ligand, and the spin-state ordering. The same is true for the mechanism for isomerization of the OH and X groups, the X-transfer, and OH rebound barrier heights, which change in ordering depending on subtle environmental perturbations. As such, we predict a variety of possible reaction pathways leading to a mixture of products for the complexes. The full reaction mechanism and the origin of the reactivity differences is explained with thermochemical models, valence bond diagrams and molecular orbital discussions. It is very well possible that an analogous reaction can be catalyzed by nonheme iron halogenases by supplying the system with NO₂/N₃ groups rather than halides. However, this will have to await further experimental verification. It would be interesting to see how nonheme iron halogenases and biomimetic models can perform these group-transfer reactions.

### ASSOCIATED CONTENT

#### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acscatal.8b01673. Tables with absolute and relative energies, group spin densities and charges as well as Cartesian coordinates of all structures (PDF)

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#### Notes

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