

1 **Long-term efficacy and safety of dasatinib in patients with chronic myeloid leukemia in**
2 **accelerated phase who are resistant to or intolerant of imatinib**

3 Oliver Ottmann¹, Giuseppe Saglio², Jane F. Apperley³, Christopher Arthur⁴, Eduardo Bullorsky⁵,
4 Aude Charbonnier⁶, John F. Dipersio⁷, Hagop Kantarjian⁸, Hanna Jean Khoury^{9†}, Dong-Wook
5 Kim¹⁰, Diane Healey¹¹, Lewis Strauss¹¹, Jorge E. Cortes⁸

6 ¹Cardiff University, Cardiff, Wales, United Kingdom; ²University of Turin, Turin, Italy; ³Imperial
7 College, London, United Kingdom; ⁴Royal North Shore Hospital, Sydney, New South Wales,
8 Australia; ⁵Hospital Britanico, Buenos Aires, Argentina; ⁶Institut Paoli-Calmettes, Marseille,
9 France; ⁷Washington University School of Medicine, St. Louis, Missouri, USA; ⁸The University of
10 Texas MD Anderson Cancer Center, Houston, Texas, USA; ⁹Winship Cancer Institute, Emory
11 University, Atlanta, Georgia, USA; ¹⁰Catholic Hematology Hospital, Seoul St. Mary's Hospital,
12 Leukemia Research Institute, The Catholic University of Korea, Seoul, South Korea; ¹¹Bristol-
13 Myers Squibb, Princeton, New Jersey, USA.

14 †Deceased May 22, 2017

15

16 **Correspondence:**

17 Oliver Ottmann, MD
18 Professor and Head of Haematology
19 Division of Cancer and Genetics
20 Cardiff University
21 UHW Main Building, Room 160
22 Cardiff CF10 3AT, Wales
23 United Kingdom

24 Phone: +44 (0)29 2074 2375

25 Fax: +44 (0)29 2074 4655

26 E-mail: ottmanno@cardiff.ac.uk

27

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30

31 **Conflict of interest**

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52 Treatment with a frontline BCR-ABL1 tyrosine kinase inhibitor (TKI; e.g., imatinib,
53 dasatinib, nilotinib) allows patients with chronic myeloid leukemia (CML) in chronic phase (CP)
54 to achieve a near normal life expectancy¹, whereas treatment for CML in accelerated phase
55 (AP) is more problematic. While reports describe outcomes for patients with CML-AP at initial
56 diagnosis^{2,3}, outcomes have been historically worse once CP disease has progressed to AP.
57 Approximately 50% of patients with CML-AP who receive imatinib as initial treatment develop
58 imatinib resistance⁴ and experience disease progression⁵. Second-generation TKIs are
59 indicated for patients with CML-CP or advanced CML resistant to/intolerant of prior therapy
60 (including imatinib)⁶. After initial approval of dasatinib twice a day (BID) for the treatment of
61 patients with CML resistant to/intolerant of imatinib in all stages, this phase 3 CA180-035 study
62 was developed to investigate once a day (QD) or twice a day (BID) dasatinib treatment in
63 patients with CML-AP, CML in blast phase, or Ph+ acute lymphoblastic leukemia resistant
64 to/intolerant of imatinib.

65 Patients were randomized to receive dasatinib at either 70 mg BID (the standard dose at
66 the time) or 140 mg QD. Comparison of major hematologic response (MaHR) between the
67 dosage arms was the primary objective; MaHR included either a complete hematologic
68 response (CHR) or no evidence of leukemia. Data from the 1-year and 2-year study reports
69 (patients with 6.5 and 15 months' median follow-up, respectively) noted that patients with CML-
70 AP in the QD or BID arms obtained similar MaHR and major cytogenetic responses^{4,7}. Patients
71 who did not progress, die, or withdraw consent were followed for at least 7 years from the study
72 start. Following the primary analysis after 2 years of the study, long-term safety outcomes in
73 patients with clinical benefit who remained on study became the primary objective.
74 Subsequently, efficacy, as defined by MaHR, was assessed 5 years after the study start, and
75 safety data and date/cause of death were collected out to 7 years. The majority of the patients

76 who remained on study at 2 years were in the CML-AP cohort (110/128; [86%]). Long-term
77 efficacy and safety data, beyond 2 years, had not previously been reported in these patients
78 with CML-AP, warranting additional follow-up.

79 As previously described, patients were diagnosed with CML-AP based on the standard
80 definition (i.e., hematologic criteria⁴ or clonal evolution); patients with progression of a prior
81 CML-AP diagnosis after achieving a hematologic response were also eligible⁴. Clonal evolution
82 included additional chromosomal abnormalities besides the Ph chromosome (e.g., +8, +19,
83 iso17q)⁸. After 2 years, patients who experienced specific AEs (e.g., any-grade recurring fluid
84 retention, including pleural and/or pericardial effusion, or any-grade gastrointestinal bleed
85 despite dose reduction by one level) were allowed to switch from BID to QD dosing, but data
86 were analyzed based on the initial randomization arm. After 2 years, 28 of 57 patients with
87 CML-AP switched from BID to QD dosing and 6 patients switched from QD to BID dosing
88 (Supplementary Table 1), limiting comparisons between the dosage arms made after 2 years.

89 Of 611 randomized patients, 317 (52%) were diagnosed with CML-AP at baseline and
90 form the focus of this report. Forty (13%) patients continued to receive treatment beyond 5
91 years. The most common reasons for discontinuation were study-drug toxicity (QD: 29%; BID:
92 36%) or disease progression (QD: 27%; BID: 23%). The median duration of dasatinib for
93 patients with CML-AP was 15 months (QD) or 13 months (BID) at the 5-year cutoff (Table 1). At
94 7 years, the average daily dose of dasatinib was similar for patients enrolled based on
95 hematologic criteria, clonal evolution, or prior diagnosis of CML-AP (Table 1).

96 The rate of MaHR by 5 years (QD: 67%; BID: 69%) was consistent with the 15-month
97 report⁴, suggesting that most patients may have reached maximum response by 15 months.
98 The median duration of response in patients with MaHR was 54 months at 5 years for the QD
99 arm and 55 months for the BID arm (Fig. 1). Progression due to loss of hematologic response

100 slightly increased in both the QD and BID dosage arms from 12% and 14%, respectively, at 2
101 years to 15% in both arms at 5 years. The best response of CHR at any time within 5 years was
102 reached in 51% and 54% of patients in the QD and BID arms, respectively.

103 Dose schedule did not appear to affect 5-year rates of progression-free survival (PFS) in
104 patients with CML-AP (Table 1 and Fig. 1). Patients with clonal evolution at enrollment had a
105 numerically higher 5-year PFS rate (42% in both arms) compared to patients diagnosed based
106 on hematologic status (21% in both arms) or having a prior diagnosis of CML-AP (QD: 31%;
107 BID: 24%) (Table 1). Five-year overall survival (OS) rates were numerically higher in patients
108 who were assigned BID versus QD dosing (Fig. 1) whether diagnosis was based on
109 hematologic status (46% vs. 33%), clonal evolution (68% vs. 53%), or prior diagnosis of AP
110 (60% vs. 54%) (Table 1). Although 5-year OS rates were higher for the BID versus QD arm, the
111 hazard ratio (HR; 1.37 [confidence interval: 0.99-1.90]) suggests comparable effects.

112 After 7 years of follow-up, 83 of 157 (53%) and 67 of 160 (42%) patients had died in the
113 QD and BID arms, respectively, 25 (15%) and 17 (11%) within 30 days of their last dasatinib
114 dose. Only one patient died due to study drug toxicity; the patient was enrolled into the QD arm
115 and died <2 years on study.

116 Data relating to the presence or absence of mutations at baseline and at the end of
117 treatment/disease progression were available for a total of 61 (QD) and 58 (BID) patients at 5
118 years. The treatment arms had a similar proportion of patients with no identified mutations at
119 baseline (QD: 59% BID: 52%) and at the end of treatment (QD: 49%; BID: 50%). Over the
120 course of 5 years, 16 patients (QD: 10; BID: 6) with no mutation at baseline had a new mutation
121 identified. The most common acquired mutations were T315I (QD: 9; BID: 10) and F317L (QD:
122 5; BID: 4). Conversely, of patients with an identified baseline mutation (QD: 25; BID: 28), the

123 mutation was no longer detected at 5 years in nine patients (QD: 4; BID: 5). The most frequently
124 lost mutations were E255K in the BID arm ($n = 5$) and M351T in the QD arm ($n = 4$).

125 With respect to treatment-related AEs after 5 years of follow-up (Supplementary Fig. 1),
126 any-grade treatment-related fluid retention events occurred more frequently in the BID (53%)
127 versus QD (40%) arm. Treatment-related pleural effusion events of any grade slightly increased
128 in incidence between 2 years (QD: 31 [20%]; BID: 62 [39%]) and 5 years (QD: 43 [27%]; BID:
129 71 [44%]); grade 3–5 pleural effusion occurred in 14 (9%) and 15 (9%) patients in the QD and
130 BID arms at 5 years, respectively. While the incidence of pleural effusion was unchanged
131 between 5 and 7 years in this study population, others have reported a continuing risk over time
132 after years of dasatinib treatment⁹.

133 At 5 years, there were four cases (two grade 3–5) of drug-related congestive heart
134 failure/cardiac dysfunction in patients in the BID arm. Drug-related pulmonary hypertension was
135 diagnosed by echocardiogram in three patients and indicated by x-ray in one case; it was
136 observed in one (grade 3–5) and three (grade 1–2) patients in the QD and BID arms,
137 respectively, within 5 years. The case in the QD arm was diagnosed on study day 944 and the
138 cases in the BID arm were diagnosed on study days 36, 463, and 838. There were no cases of
139 pulmonary arterial hypertension (PAH); however, right heart catheterization is required to
140 confirm PAH, and only one procedure was performed.

141 The frequency of hematologic and biochemical AEs were similar between the two
142 dosage groups and were consistent with the earlier study report⁴. No new safety signals were
143 identified in patients who remained on treatment after the 5-year cutoff.

144 Data from the CA180-035 trial represent the largest study to date, with the longest
145 follow-up, of dasatinib-treated patients with CML-AP. After 5 years of treatment, rates of MaHR,
146 PFS, and OS in the QD and BID dosing arms suggest comparable efficacy with either regimen.

147 Maintenance of MaHR suggests that some patients with CML-AP, who are responding well to
148 second-line dasatinib therapy and are at high risk for transplant-related mortality may not need
149 to seek a stem cell transplant. More AEs, specifically fluid-retention events (e.g., pleural
150 effusion), occurred in patients with CML-AP in the BID versus QD arm. The reason for higher
151 OS in the BID arm is unclear. However, because there is no significant difference in survival
152 between these dosages, BID dosing has a more complicated safety profile, and patients with
153 CML-AP who respond to and tolerate dasatinib treatment can maintain long-term responses, the
154 data reinforce the current QD dose indication for treatment of patients with advanced CML.
155 Overall, the 5-year efficacy and 7-year safety data in dasatinib-treated patients after imatinib
156 intolerance/resistance presented here support use of dasatinib for long-term treatment of
157 patients diagnosed with CML-AP.

158

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165

166 **Author contributions**

167 All authors provided feedback and guidance on the analysis and interpretation of the results,
168 critically reviewed and provided revisions to the manuscript, and approved the final draft for
169 submission.

170

171 **Supplementary information** The online version of this article contains supplementary material.

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201 **Table 1 Dosages and duration of therapy at 7 years and survival outcomes at 5 years based on CML-AP diagnosis**

	Hematologic status		Clonal evolution		Prior AP diagnosis		All patients diagnosed with CML-AP	
	QD (n = 67)	BID (n = 64)	QD (n = 55)	BID (n = 61)	QD (n = 34)	BID (n = 31)	QD (n = 157)	BID (n = 160)
Average daily dose, mg (range)	119 (26–216)	108 (13–178)	94 (20–162)	97 (22–171)	106 (34–174)	87 (21–206)	NR	NR
Median duration of therapy, months (range)	8.3 (0.0–90.1)	9.2 (0.5–86.1)	21.9 (0.5–92.9)	20.7 (0.4–84.6)	16.9 (0.12–93.2)	20.3 (1.6–83.8)	15.4 ^a (0.00–67.0)	12.5 ^a (0.4–66.3)
Median duration of therapy excluding interruption, months (range)	7.4 (<0.1–89.1)	8.3 (0.5–85.4)	20.9 (0.5–92.9)	16.7 (0.3–83.7)	15.4 (0.2–91.4)	17.3 (1.6–81.7)	NR	NR
PFS, % (95% CI)	20.7 (11.5–31.9)	21.3 (10.2–35.2)	42.1 (27.4–56.1)	41.5 (25.0–57.2)	31.1 (15.8–47.8)	24.0 (7.1–46.1)	30.5 (22.6–38.5)	30.3 (20.9–39.6)
OS, % (95% CI)	32.8 (21.1–44.9)	45.6 (32.3–58.0)	52.6 (37.9–65.3)	67.9 (53.5–78.7)	54.3 (35.9–69.4)	60.2 (40.4–75.2)	45.0 (36.8–53.2)	57.6 (49.5–65.7)

202 *AP* accelerated phase, *BID* twice a day, *CI* confidence interval; CML, chronic myeloid leukemia; NR, not reported; OS, overall
 203 survival; PFS, progression-free survival; QD, once a day

204 ^aDuration of therapy for entire CML-AP population calculated at 5 years

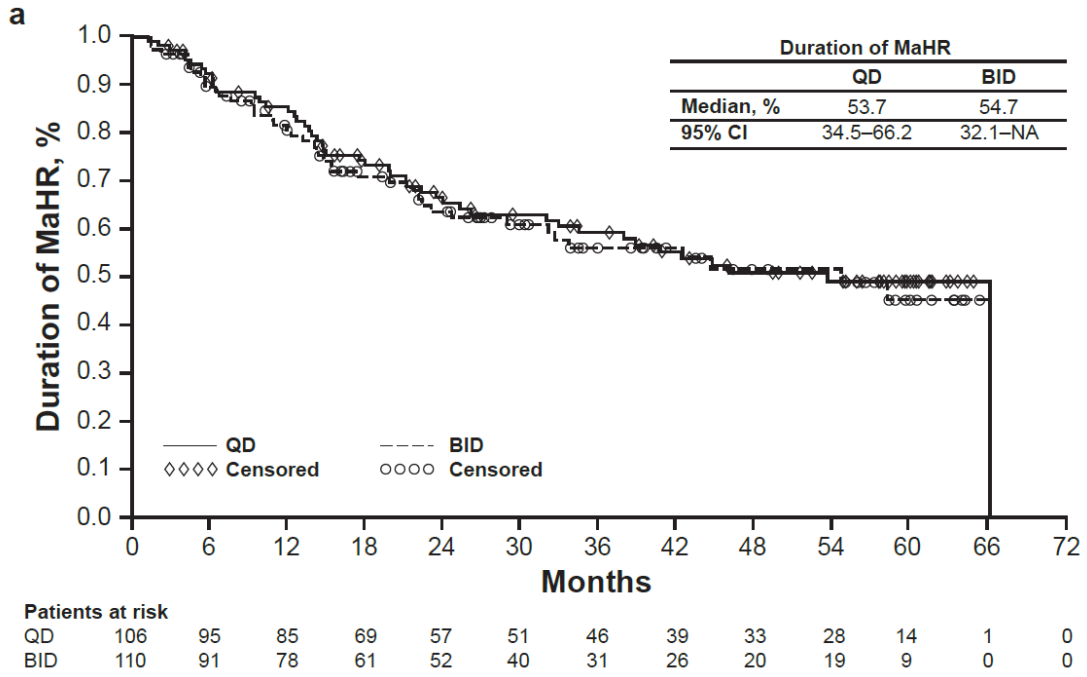
1 **Figure legend**

2

3 **Fig. 1 Efficacy outcomes for patients with CML-AP by dosing schedule.** **a** Duration of
4 MaHR for patients who achieved MaHR, **b** PFS, and **c** OS are shown for the QD (solid lines)
5 and BID (dashed lines) dosing groups. Efficacy analyses were conducted on the intention-to-
6 treat population of patients. *BID* twice a day, *CI* confidence interval, *MaHR* major hematologic
7 response, *NA* not available, *OS* overall survival, *PFS* progression-free survival, QD, once a day

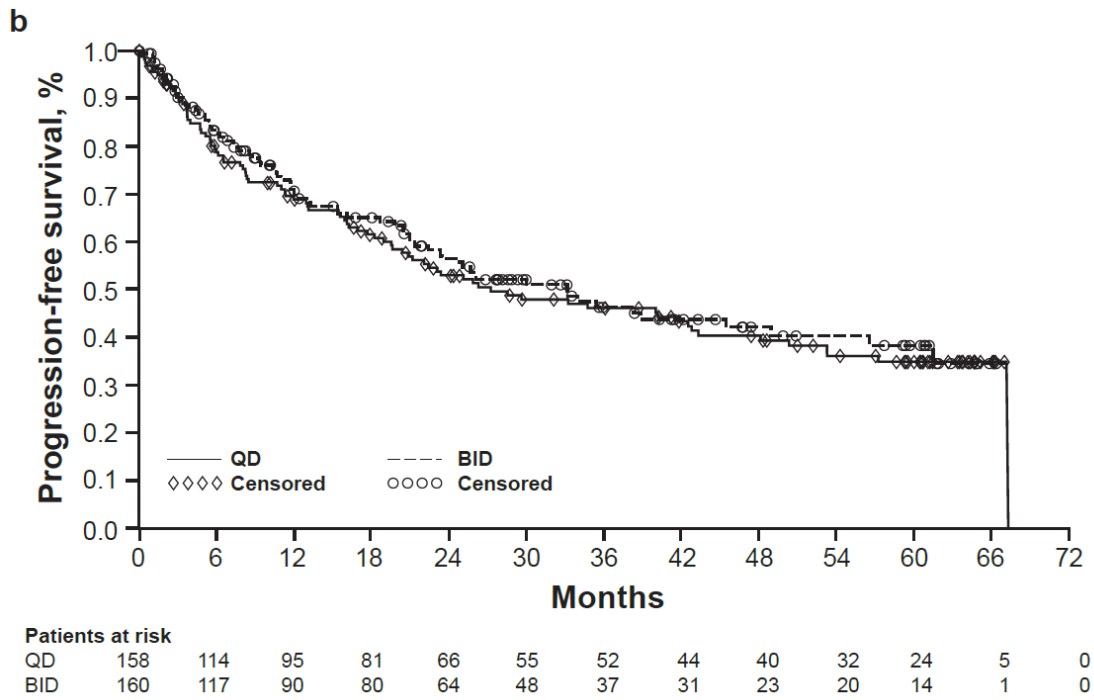
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1 **Figure 1.**



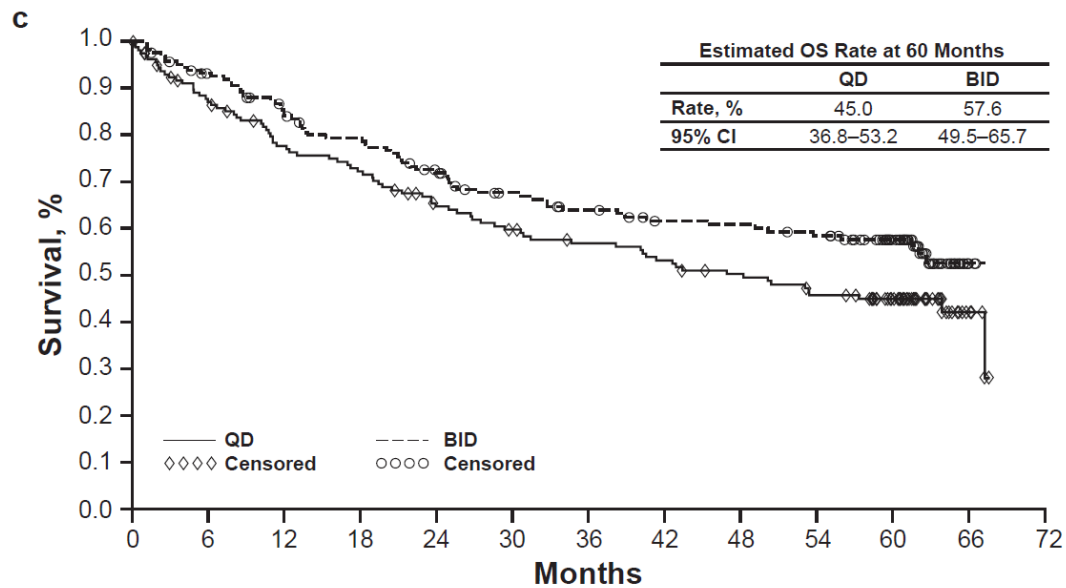
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Patients at risk													
QD	158	132	115	107	92	84	78	73	67	60	46	7	0
BID	160	144	128	118	104	92	85	78	77	73	54	2	0

2

1 **Supplementary Table 1. Patients switching dosing arms between 2 and 7 years and last**
 2 **dose received**

	Schedule at randomization	
	Patients on study following the switch amendment (n = 114)	
Patients, n (%)	QD (n = 57)	BID (n = 57)
Schedule at last dose		
QD	51 (45)	28 (25)
BID	6 (5)	29 (25)
Last total daily dose, mg		
QD		
<80	8 (7)	16 (14)
80	7 (6)	3 (3)
90	0	4 (4)
100	12 (11)	3 (3)
140	15 (13)	2 (2)
>140	9 (8)	0
BID		
<80	1 (1)	5 (4)
80	2 (2)	7 (6)
100	0	8 (7)
140	1 (1)	4 (4)
>140	2 (2)	5 (4)

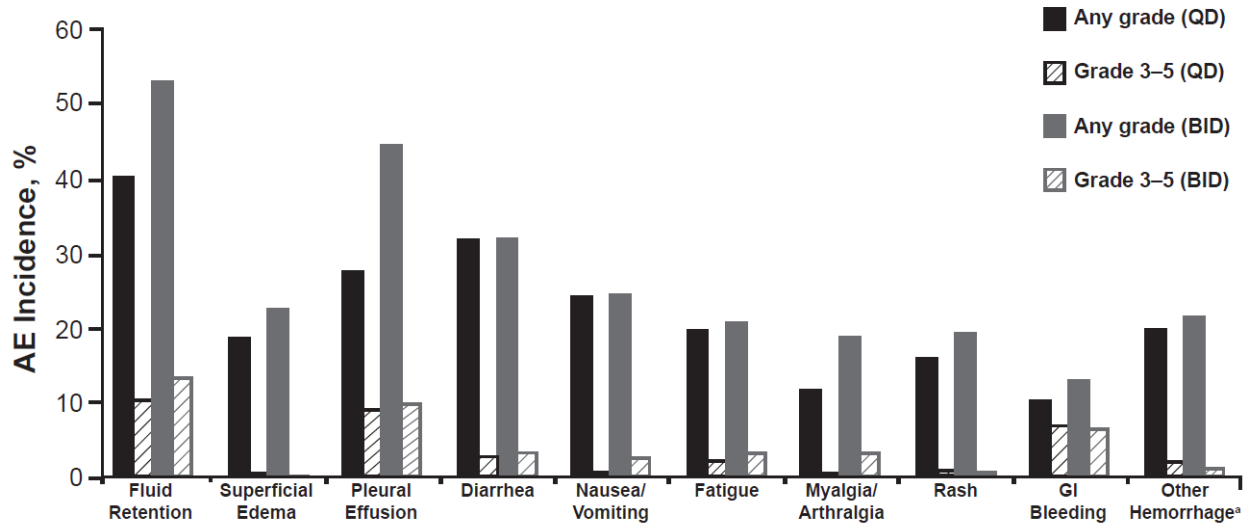
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1 **Supplementary Fig. 1 Incidence of treatment-related AEs of special interest occurring in**
2 **≥10% of patients with CML-AP at 5 years.** Any-grade (solid) and grade 3–5 (patterned) AEs
3 are displayed for both the QD (black) and BID (gray) dosage groups. *AE* adverse event, *BID*
4 twice a day, *GI* gastrointestinal, *QD* once a day.

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1 **Supplementary Fig. 1**



^aOther hemorrhage includes bleeding other than GI bleeding or central nervous system bleeding

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