

1 **Abstract**

2 Governments are struggling to limit global temperatures below the 2°C Paris target with
3 existing climate change policy approaches. This is because conventional climate policies have
4 been predominantly (inter)nationally top-down, which limits citizen agency in driving policy
5 change and influencing citizen behavior. Here we propose elevating Citizen *Social Science*
6 (CSS) to a new level across governments as an advanced collaborative approach of accelerating
7 climate action and policies that moves beyond conventional citizen science and participatory
8 approaches. Moving beyond the traditional science-policy model of the democratization of
9 science in enabling more inclusive climate policy change, we present examples of how CSS
10 can potentially transform citizen behavior and enable citizens to become key agents in driving
11 climate policy change. We also discuss the barriers that could impede the implementation of
12 CSS and offer solutions to these. In doing this, we articulate the implications of increased
13 citizen action through CSS in moving forward the broader normative and political program of
14 transdisciplinary and co-productive climate change research and policy.

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16 **Key words:** Citizen *Social Science*; climate policy and governance; science-policy; citizen
17 agency and behavior; co-production and co-learning

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26 **Introduction**

27 This paper examines why current forms of climate policy are not working and offers some
28 suggestions as to how to further increase citizen engagement in science and policy decisions at
29 different scales of governance that move beyond tokenistic forms of citizen participation. We
30 offer a framework for what we call ‘citizen social science’ (CSS), highlighting the various
31 social, political and institutional barriers that prevent greater citizen participation in climate
32 science and policy decisions. We then present some suggestions as to how CSS can potentially
33 be used to overcome these barriers to enable citizens to contribute more effectively and directly
34 to ambitious formal climate policy goals.

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36 **Citizen participatory issues with current forms of climate policy-making**

37 National governments make top-down climate policy decisions that often involve little input
38 from lay citizens. Consequently, when it is time for a policy to be implemented there can be
39 public resistance to it or lack of uptake. The challenge, therefore, is not only to make climate
40 policy more robust, but to also further democratize citizen involvement in policy formulation
41 to increase uptake. There have been repeated calls for increased citizen engagement,
42 understanding individual behavior, and greater channels of communication between different
43 stakeholders in both scientific and policy discourses related to climate change that move
44 beyond mere public acceptance of the physical evidence of climate change (Beniston, 2013;
45 Carvalho et al., 2017; Lassen et al., 2011; Schweizer et al., 2013; Sörqvist, 2016; Sprain and
46 Reinig, 2018; Swart et al., 2014). It is never more imperative that the forms and structures of
47 citizen engagement in climate science and policy decisions remain central to climate action
48 given that the Paris Agreement will afford non-state actors (e.g. private and third sector groups)
49 more influence in formal policy implementation (Kuyper et al., 2018; Van Asselt, 2016). The
50 latest Intergovernmental Panel on Climate Change (IPCC) Special Report (Global Warming of

51 1.5 °C an IPCC special report on the impacts of global warming of, 3) has emphasized how the
52 “strengthening of capacities for climate action of national and sub-national authorities, civil
53 society, the private sector, Indigenous peoples and local communities” is key to achieving
54 ambitious climate policy goals that will limit warming below 1.5°C by 2100. But citizens and
55 institutions must act together, now.

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57 However, democratically legitimizing increased citizen engagement within current
58 institutional structures is complex given how such structures demarcate lay citizens from
59 scientific experts and/or government (Miller and Rose, 2017). Even polycentric climate
60 governance systems that are supposed to incorporate private and third sector groups into policy
61 decisions suffer from orchestration from particular government (state) actors, resulting in
62 systematic governance experimentation and learning being stifled (Abbott, 2017).
63 Furthermore, communication practices often exist between citizens, scientific experts and/or
64 government that constrain increased citizen engagement in climate change policy formulation
65 and implementation (Carvalho et al., 2017). Hence, the governance crisis of the sustainability
66 paradigm continues (Peters, 2017), where states continue to dominate the international political
67 discourse of climate change through particular modes of governmentality and sovereignty
68 (Bäckstrand and Lövbrand, 2016; Kythreotis, 2012), ostracizing citizens within the climate
69 policy process and thus delimiting greater citizen participation in helping achieving ambitious
70 climate policy goals made by formal policy actors like the state.

71

72 Increased lay citizen participation in climate science and policy decisions can limit the
73 influence of institutional expertise in democratic spaces that often do not speak for the majority
74 of citizens (Sprain and Reinig, 2018). Given the limitations in citizen science translating to
75 effective climate action (Groulx et al., 2017), we argue that increased public engagement in the

76 ‘politics of science’ (Jasanoff, 2003) and what we call the ‘politics of policy’ (how policy is
77 politicized by governments) can help protect against public misinformation on climate change,
78 prevent particular forms of epistemic expertise dominating climate science-policy decisions,
79 producing more transparently public-engaged climate politics and policy. In this sense, citizens
80 can become active agents of policy change through their actions, rather than being just part of
81 a wider normative political participatory process dominated by state policy discourses that have
82 predominantly politicized climate science and policy (Bäckstrand and Lövbrand, 2016;
83 Lövbrand et al., 2015).

84

85 The Paris Agreement aims for an ambitious and transformational era of international climate
86 change policy (Kinley, 2016). However, limiting global temperatures to below 2°C by 2100 is
87 governed by techno-managerial language and policy responses to fossil fuel derived energy
88 production (Hoffert et al., 2002; Hoffmann, 2011) rather than identifying lay peoples’
89 concerns, values and goals for their communities. Framing the climate problem in this more
90 personal way can promote more transformational engagement and ownership in climate
91 decision-making (Leach et al., 2010; Nisbet, 2009), especially given that some research has
92 shown how non-specialists find it difficult to understand how physical climate risks can impact
93 their lives (Pidgeon and Fischhoff, 2011). Failure to consider citizens’ concerns undermines
94 the legitimacy of formal climate policy decisions, limiting the ability of citizens to play a more
95 influential role in instigating policy change through citizen action. Interdisciplinary co-
96 produced research is needed between citizens, scientists and policymakers to span knowledge
97 and spatial boundaries through wider citizen engagement and to produce research that speaks
98 to its end users (Editorial, 2018; Howarth et al., 2018; Kirchhoff et al., 2013; Lemos et al.,
99 2012; Turnhout et al., 2016). Yet, co-production has multiple meanings (Bremer and Meisch,
100 2017). However, for citizens to have more influential participation, they need to understand

101 how the current science-policy process works with respect to the roles of research and policy
102 actors. This could enable pro-environmental decisions, behavior and actions that complement
103 the science-policy process. With support from other state and non-state institutions, we argue
104 that citizens can become transformative agents of social and policy change with respect to
105 climate change through CSS.

106

107 New citizen-centred solutions are needed in climate politics for triggering deliberate social
108 transformations and for providing a deeper inquiry into the structures and processes within
109 society and science (O'Brien, 2012). Such solutions should be based upon social assemblages
110 (Gillard et al., 2016) and citizen agency (Dodman and Mitlin, 2013), rather than overreliance
111 on governments to catalyze transformational change. The withdrawal of the Trump
112 administration from the Paris Agreement in June 2017 has demonstrated how individual nation
113 states still hold the balance of power in determining policy outcomes for climate change
114 (Kythreotis, 2015). Societal transformations can be addressed to some extent by 'polycentric'
115 governance where non-state actors support global policy-making by working across policy
116 scales to redress the limitations of single scale (e.g. solely national) policies. However, national
117 and international climate politics continues to play a dominant role in the polycentric
118 governance systems and research has only just begun to distinguish between different types of
119 climate governance, rather than assessing their effectiveness in complementing or replacing
120 top-down, government dominated policy-making (Jordan et al., 2015). This all points to a need
121 for increased citizen engagement to act as a further check and balance to formal climate policy
122 decisions that are made in particular spaces dominated by epistemic actors like the state,
123 scientists or even the market (e.g. fossil fuel companies). This certainly will produce a more
124 reflexive 'knowledge politics' on climate change that can help circumvent uneven spaces of
125 climate decision-making (Mahony and Hulme, 2018).

126 **Elevating citizen engagement**

127 Whilst there is some evidence of successful government- and market-led policy transitions
128 towards cleaner energy and lower emissions (e.g. Obama, 2017), many citizens are left out of
129 this process highlighting an urgent need to engage citizens more closely with framing the
130 climate and energy debate, in addition to concentrating on private sector transitions through
131 market forces that then shape government policy. Rather than thinking about possible
132 economically sympathetic policy solutions for climate change originating from governments,
133 policy-makers and/or even the market, the citizen has to take a more active social role in driving
134 policy change and implementation for both mitigation (e.g. energy use) and adaptation (coping
135 with climate impacts). However, doing this successfully requires greater interaction between
136 climate researchers and citizens. This involves developing ways in which the everyday citizen
137 can understand the way in which climate policy is constructed within and by governments
138 through the traditional science-policy model where truth (e.g. science) speaks to power (e.g.
139 policy choice) (Jasanoff and Wynne, 1998). Enlightening the citizen to how climate research
140 is conducted (and why) firstly gives them an ideal platform from which to react to and then
141 drive new government policies that could meet the speed of transitional change needed to limit
142 global temperatures and avoid dangerous climate impacts. For example, research on climate
143 adaptation has shown the importance of joint-problem framing and knowledge production,
144 especially in contexts where scientific knowledge – whether social, economic, political or
145 environmental – is limited or scarce (Huggel et al., 2015; Swart et al., 2014).

146

147 Although increased citizen engagement with climate research is not a full alternative to top-
148 down political agreements or technological change, it can certainly catalyze the speed and
149 ambition of the technological, social, political and economic changes required to meet
150 collective climate commitments regarding mitigation *and* adaptation. Policy-makers and

151 scientists have a duty to create local spaces where citizens can more fully participate further in
152 related climate decision-making processes as a form of power brokerage (Howarth et al., 2018;
153 Pielke, 2007). Yet, communicating climate research and policy to the general public has many
154 challenges (Bernauer and McGrath, 2016; Hollin and Pearce, 2015). It is possible to perceive
155 climate information without any values affecting it (i.e., bias is always there) (Corner et al.,
156 2012). Greater citizen involvement in climate decisions within the more science-policy process
157 could help ameliorate climate misinformation dominating political discourses on climate
158 change. Recent research has shown how key scientific experts have a central role in utilizing
159 knowledge networks within the formal science-policy process to catalyze climate adaptation
160 action (Kettle et al., 2017), so by making their role more open to the everyday citizen, climate
161 scientists (experts) can augment greater co-production practices between citizens, scientists
162 and government policy-makers.

163

164 More integrative and effective climate action and policy can come about when citizens and the
165 public are fully cognizant of the implications of their actions and behavior towards their (local)
166 environment when presented with how both the science on climate change is generated by
167 experts and used by policy-makers. This reduces miscommunication and confusion of climate
168 science and creates the conditions where the relationship between citizen behavior, science and
169 policies are fully transparent. This could trigger an inclination of citizens, scientists and policy-
170 makers to want to foster integrative change rather than the current often benign, top-down and
171 apolitical reactions to climate policy change as merely a government/state responsibility.
172 Citizens can then act as political agents of change by increasing pressure on their elected
173 representatives to help enable such policy change at higher state levels, rather than citizens just
174 being used by policy-makers through tokenistic consultation (Carvalho et al., 2016).

175

176 **Citizen *Social Science*: moving beyond Citizen Science**

177 Citizen Science (CS) as a methodological tool for understanding large scale processes has
178 burgeoned, arguably as a reaction to the use of particular forms of epistemic expertise that have
179 traditionally and unilaterally contributed to policy decisions (Haas, 1992), rather than
180 consideration of more diverse, but contextual knowledges and forms of social knowing (Irwin,
181 1995). Citizens can be utilized to obtain larger datasets that enable researchers to assist policy-
182 making practice, democratizing expertise into more formal policy processes (Fischer, 1993).
183 **To December 2018 there are 57 active and searchable CS projects related to climate change**
184 **that are listed on the Scistarter website (Scistarter, 2018). All of these projects involve citizens**
185 **observing and collecting data, rather than formulating the CS research methods, analysing and**
186 **interpreting the data as a means to instigate climate policy action.** By acting as volunteers,
187 citizens are important for data collection to inform climate research (Bonney et al., 2014; Lahoz
188 and Schneider, 2014) as a means to understand trends, causes, impacts, and responses to,
189 climate change (Savo et al., 2016). Climate research, however, requires complex tools, such as
190 models, remote sensing, and ice core and soil analyses to better inform broader policy, and
191 such skills are often beyond the capabilities of lay citizens. Broader policy actors have
192 attempted to further engage citizens more. For example, the United Nations Framework
193 Convention on Climate Change (UNFCCC) Secretariat through collective nations, have
194 recognized the potential of CS and have assisted in initiating and implementing large citizen
195 consultations on climate change (Bedsted et al., 2015). However, there is also a need to
196 engender links between local policy actors and the communities they serve, particularly with
197 respect to climate adaptation (Vogel and Henstra, 2015). So, a question remains whether CS
198 could be used more effectively to further engage different citizens and communities for more
199 tailored local climate policy beyond crowdsourcing to obtain large(r) data sets? There is
200 evidence of governments and municipalities working better to *include* traditional and local

201 knowledge into their governance systems (Leonard et al., 2013) but more work is needed to
 202 further integrate citizen action and climate policy-making.
 203
 204 CS has also been traditionally classified into various types, the most relevant for this paper
 205 being Haklay’s distinction below in Table 1 between: crowdsourcing (level 1), distributed
 206 intelligence (level 2), participatory science (level 3) and extreme CS (level 4) (Haklay, 2013).
 207 Haklay’s distinctions show CS as a collaborative and participatory framework that enables
 208 citizens to assist in big data collection for scientific purposes. CS therefore, has many
 209 advantages for climate mitigation and adaptation practice and policy (Ford et al., 2016b; Larsen
 210 and Gunnarsson-Östling, 2009). Yet we argue for a new platform (see level 5) whereby citizens
 211 have increased influence within conventional science-policy and participatory frameworks in
 212 shaping climate policy, alongside the necessary technical (e.g. negative emissions technology)
 213 and policy (a shift from the ‘green growth’ paradigm) changes that are required (Anderson,
 214 2015).

215 **Table 1: Levels of Participation and Engagement (adapted from Haklay, (2013))**

Increasing levels of citizen participation and engagement 	(Level 5)	‘Citizen Social Science’	<ul style="list-style-type: none"> • Citizens as key agents of research, action AND policy change at ALL levels of engagement and scales of the decision-making process
	Level 4	‘Extreme Citizen Science’	<ul style="list-style-type: none"> • Collaborative science – problem definition, data collection and analysis
	Level 3	‘Participatory Science’	<ul style="list-style-type: none"> • Participation in problem definition and data collection
	Level 2	‘Distributed Intelligence’	<ul style="list-style-type: none"> • Citizens as basic interpreters • Volunteered thinking
	Level 1	‘Crowdsourcing’	<ul style="list-style-type: none"> • Citizens as sensors • Volunteered computing

216
 217 Though the term ‘citizen social science’ has been previously used in the literature (Purdam,
 218 2014), the way in which it has been explained has remained confined within the paradigm of

219 using CS to create large data sets for policy-making. We define CSS further as representing
220 new methodological and theoretical territory that resonates with more diverse and
221 heterogeneous forms of social knowing, values and cultures of citizens beyond CS (Castree et
222 al., 2014). While CS uses citizens as policy passive objects for research in conducting
223 measurements for big data sets, our proposed CSS framework makes citizens co-learners within
224 the research process by actively enabling them to explore transformatively changing
225 institutionalized research and policy systems. CSS embraces the principles of a ‘Two-Eyed
226 Seeing’ approach in an Indigenous and scientific knowledge systems context; where a co-
227 learning journey (where citizens take a lead, often over government/policymakers, in making
228 decisions about how best to formulate policy) is encouraged for more transdisciplinary research
229 and to bring together different ways of knowing (Bartlett et al., 2012). One way of
230 differentiating CSS from CS is therefore to consider this ‘two-eyed seeing’ approach that
231 repositions citizens as central co-learners that can widen the climate science evidence-base to
232 a more holistic understanding of perspectives for the benefit of all. Recent research has
233 illustrated how blending scientific and traditional knowledges through citizen co-learning
234 highlighted key environmental stressors under uncertainty (Mantyka-Pringle et al., 2017).
235 Hence, this demonstrates the difference between citizens getting involved in public
236 engagement exercises within formal policy processes and apparatus, and citizens being
237 catalysts and drivers of climate policy transformation. With public engagement, participants
238 often work within pre-conceived state ideas and traditional governance structures that are
239 institutionally entrenched in top-down power dynamics (e.g. a particular policy standpoint
240 based on ideology) (Morrison et al., 2017) designed to protect the political economy status-
241 quo. Co-production or co-learning through CSS moves beyond conventional public
242 engagement and makes the citizens initiate action and policy responses based on their specific
243 forms of social knowing and values. This organic form of bottom-up collaborative knowledge-

244 making can help to eliminate any cultural issues and insensitivities that may emerge upscale
245 when formulating policies. It can also catalyze transformative change through the eyes of
246 everyday citizens by allowing them to be exposed to climate policy decisions that they would
247 not normally be involved or interested in. Therefore, CSS is underpinned by multiple
248 disciplines and methods of co-production enabling citizens to make more context specific,
249 transparent and explicit contribution to climate policy-making and action.

250 **Barriers and (potential) solutions to implementing CSS**

251 While we argue that implementing CSS at a larger scale is key for achieving Paris climate
252 commitments, there are a number of barriers to successfully implementing CSS effectively.
253 The following is a suggested approach to begin dealing with such barriers. Working towards
254 more integrative and effective climate change solutions between citizens and policy-makers
255 involves developing a profound understanding of the complex interactions between those
256 different actors with the physical, social, economic and political world that leads to decisional
257 conflict and policy inertia over climate change. This requires changing the ‘decision
258 environment’ as a means to circumvent or at least ameliorate some of these institutionalized
259 barriers (Howden et al., 2007).

260

261 **1. *Reframing the climate change problem***

262 Most citizens often feel disengaged and unable to influence policy, including climate change
263 policy, or to significantly change their lifestyles to tackle climate change for a range of
264 institutional, social and psychological reasons (Hoppner and Whitmarsh, 2010). As a collective
265 problem, climate change can feel overwhelming and individuals lack self-efficacy to act
266 (Koletsou and Mancy, 2012). Prevailing social norms to consume and lack of trust in
267 governments or other people to take action also erodes motivation to act (Whitmarsh et al.,
268 2010). For many, climate change (policy) also threatens assumptions about quality of life,

269 fairness, progress and individual freedom, leading to political and ideological division in
270 responding to the issue. However, citizen engagement in policy and behavioral change is more
271 likely to occur if issues are framed around audience values and more local and tangible
272 concerns; and if individuals believe their actions make a difference (Whitmarsh et al., 2010).
273 For example, at the individual level, giving people feedback on their energy use via energy
274 displays can encourage energy conservation behavior (Darby, 2006); while acting as an
275 organization, community or city can give people a sense of collective efficacy to address global
276 problems like climate change (Sweetman and Whitmarsh, 2016). Framing climate change as a
277 local issue may help engage individual citizens if they feel a sense of place attachment
278 (Devine-Wright, 2013), although this might also undermine the perceived severity of the issue
279 (Brügger et al., 2015). Framing climate change in terms of impacts and adaptation is less likely
280 to threaten citizens (including those on the right-of-centre) than mitigation messages, which
281 tend to imply individual sacrifice (e.g., reducing energy use (Howell et al., 2016); while other
282 frames (e.g., reducing waste) and focussing on co-benefits of action (e.g., health, social
283 cohesion) may also be more engaging across the political spectrum (Whitmarsh and Corner,
284 2017).

285

286 Reframing the problem also requires a need to reconsider the role of gender and cultural
287 equality. Climate change is more likely to adversely impact Indigenous people and women due
288 to their increased vulnerability (Halton, 2018; IPCC, 2014). For instance, we know that climate
289 change is having disproportionate effects on the human health of Indigenous people globally
290 (Ford et al., 2010; Green et al., 2009). Calls have also been made for better representation of
291 Indigenous knowledge and Indigenous issues in IPCC assessments and other global climate
292 policy (Ford et al., 2016a, 2016c; Mantyka-Pringle et al., 2015). There are obvious gender
293 differences in environmental concerns and attitudes and impacts (McCright, 2010),

294 particularly in developing countries. For example, two-thirds of the female labour force
295 dependent on agricultural work in developing countries are adversely affected by poor harvest,
296 which leads to food, income and health security issues (UN WomenWatch). To address the
297 current imbalances, more cultural and gender sensitive responses are required to create the
298 social and political conditions needed to address climate-related problems. The most obvious
299 way to catalyze equality is by creating scientific and policy pathways that enable increased
300 involvement of Indigenous people and women in the science-policy realm. This needs to be
301 addressed from the local to international scale (Gay-Antaki and Liverman, 2018). The values
302 innate to CSS promotes gender and cultural equality in climate change by providing an
303 inclusive and integrative framework by which women and Indigenous people are supported to
304 engage with climate research, policy and taking relevant action. However, there needs to be a
305 degree of top-down support from the science-policy realm to normalize such local gender and
306 cultural equality in climate decisions through CSS. If scientific and policy expert communities
307 do not reflect on gender and cultural imbalances, then how would we expect women and
308 Indigenous citizens to take a lead on climate action in their own communities through CSS? If
309 this two-way process is facilitated, financially supported, and mainstreamed then there would
310 be a greater chance of women and Indigenous citizens being more empowered to
311 transformatively act on their own behalf through CSS (Alston, 2014).

312

313 Transformative responses through CSS do not assume a particular scientific approach and
314 therefore must begin with a discussion of participants' values, based on their moral, aesthetic,
315 experiential, spiritual knowledge concerns and aspirations rather than policy being solely
316 foisted upon citizens in a top-down way. Yet there must be a heterogeneity of climate responses
317 from all areas of society – governments, scientists and citizens who have been previously
318 apathetic to climate change. This process leads to a recognition that there are communal values

319 held by citizens that can serve as a bridge towards an overarching global climate policy goal,
320 like the 2°C Paris target. Discussions about fears and hopes for the future can provide a ‘lens’
321 through which to discuss climate change research and to explore different narratives and
322 pathways for public engagement that move beyond current techno-managerial and gender
323 imbalanced science-policy approaches.

324

325 **2. ‘Conflicts of interest’.**

326

327 Whilst we recognise that conflicts of interest will endure between different stakeholders in
328 making appropriate climate decisions, it is nevertheless omnipresent in the current climate
329 science-policy process. There are a number of existing groups of citizens with conflicts of
330 interest related to climate change science. The most obvious are climate deniers and citizens
331 that benefit from or are dependent on the fossil fuel industry for their livelihood. It is possible
332 that these groups may choose to be involved in CSS to impose their views or advance their
333 standpoint to reaching their political objectives such as undermining or misrepresenting the
334 science behind climate change (Editorial, 2015). In the application of CS, it has been reported
335 that there remain limitations in CS enabling local climate actions (Groulx et al., 2017). These
336 types of conflicts of interest may also be experienced in CSS and need to be taken into account
337 or at the very least acknowledged so that the process will not be skewed in favor of one
338 standpoint, and therefore remain representative. **However, we are cognizant that any policy
339 decisions should not be taken on the basis of the equal representation of all views (as some
340 views are obviously extreme in ideology, anarchic and/or reject observed scientific facts) but
341 on the basis of the scientific knowledge which solves or mitigates the real problem.**

342

343 Less obvious conflicts of interest also exist in some cultural contexts (e.g. different ways of
344 understanding and valuing the environment), inequality (e.g. class) and ethno-national

345 diversity conflicts (e.g. land ownership conflicts with Indigenous vs non-Indigenous groups).
346 A potential solution is to ensure that there is a representative sample of the population in the
347 process and citizens' backgrounds are respectfully vetted beforehand. Where there are
348 conflicts of interest between people, the process of CSS acts as a mediation channel to bridge
349 polarized views through a common purpose. It enables new narratives to be explored as
350 different viewpoints are represented and considered within the co-production environment.

351

352

353

354 **3. *CSS cannot be implemented as a 'one size fits all' knowledge framework***

355

356 We are cognizant that CSS can only work if the knowledge domain of citizens is germane to
357 their everyday life. For example, rural farmers should not find themselves working or co-
358 researching on urban transport issues and urban citizens should not find themselves working
359 on agricultural issues unless they hold *real* knowledge in that area to enable more effective
360 action and policy. Apart from the intimate knowledge that some citizens have with their natural
361 surroundings (e.g. Indigenous traditional knowledge), much of modern life involves epistemic
362 dependence on trained experts. The limits of lay knowledge (and particularly lay expertise) in
363 matters of climate change are therefore restricted (Dunlap and McCright, 2015). Low carbon
364 housing and civil engineering projects are classic examples because the majority of citizens
365 are users of pre-made structures they could not design without being trained in engineering
366 science. So there is a caveat about how far CSS can extend in a 'rule of experts' context. The
367 uniqueness of the CSS framework is within the way in which infrastructure is used through
368 our behavioral patterns, which is predicated upon how citizens make sense of different forms
369 of knowledges to inform their actions as a means to make real transformative change. Greater
370 government acceptance of citizen potential is needed to quell those hidden assumptions of

371 people not mattering, or not being educated enough to make informed decisions. CSS can
372 expose and ameliorate these hidden assumptions. This is where allying of citizens with
373 knowledge-brokers plays a significant role. The Climate Knowledge Brokers Group (Climate
374 Knowledge Brokers, 2017) is an excellent example of how citizens can get further involved in
375 understanding the causes and consequences of climatic change and to create a focal point for
376 diverse citizen voices to be heard regarding climate change. In this sense there is a need to
377 move beyond scientists merely having to consider the types of idealized roles they have to play
378 in public policy and politics beyond the science-policy process (Pielke, 2007). Scientists and
379 experts have to consider what role they can play in more openly engaging with citizens as a
380 means to help citizens determine the types of knowledge that can inform policy decisions made
381 by government (and vice versa). This will then more democratically legitimize citizen
382 involvement in policy-making by placing citizens at the centre of new policy formulations,
383 rather than politicizing the role of science and scientists in public policy and politics.

384

385 **4. *Uneven power relationships***

386 Making citizens more central within the science-policy process is inevitably constrained by
387 pre-existing uneven power relationships between politicians and citizens, scientists and
388 citizens, and scientists and politicians. These silo relationships are often defined through
389 different vested interests, rigid funding and reporting structures, lack of communication skills
390 among researchers and their (subconscious) beliefs about the lack of skills and critical
391 awareness of 'the masses' (Burgess et al., 2017). The barriers to greater citizen involvement
392 because of lack of voice, visibility or opportunity are often formidable and there needs to be
393 more active integration between lay citizens, climate researchers and policy-makers.
394 Currently, important political arenas for climate policy decisions like UNFCCC Conference
395 of the Parties have been dominated by national governments and closed-off to the lay citizen.

396 While lay citizens often (rightfully) demonstrate at such climate negotiations, there remains a
397 physical and political barrier between where state-led political decisions are made and the
398 equitable and just contribution that citizens should make to the climate change political
399 process, as recent research on the Paris Conference of the Parties has shown (Weisser and
400 Müller-Mahn, 2016).

401

402 Uneven power relationships also exist between scientists and citizens. Scientists are
403 constrained by how they can approach their research methodology and data collection based
404 on rigid reporting structures of their institutions and funding bodies. Although research bodies
405 such as Research Councils UK and the National Science Foundation (US) now require research
406 projects to demonstrate the impact of their work to beneficiaries outside of academia (NSF;
407 RCUK, 2014) and data/publications of their research as Open Access (NSF, 2015; RCUK,
408 2017), there are no specific requirements to involve citizens directly in the co-production of
409 research (even though we are cognizant that more theoretical science may not require co-
410 production research with citizens). However, climate research certainly does given that the
411 anthropogenic climate change problem can be reduced to human behaviour (Karl and
412 Trenberth, 2003). Additionally, scientists' biases have been demonstrated in citizen science
413 projects where certain data sources are favored over others (e.g. based on the
414 background/education level of the data collectors). This suggests a belief that citizens do not
415 possess the necessary knowledge or data collection skills to perform robust science to the
416 standards of scientific 'experts' (Burgess et al., 2017).

417

418 There are also uneven power relationships between scientists and governments.
419 Notwithstanding the systemic problem of not enough research professionals and academics
420 being able to work with government regardless of discipline to engender evidence-based policy

421 (Lawrence et al., 2016), with respect to climate change research, many of the broader science-
422 policy arenas in which scientists can contribute to climate action and/or policy-making (albeit
423 in a policy-neutral way) like in the IPCC Assessment Reports, continues to be tainted with a
424 ‘closed club’ syndrome for many academics (Hulme and Mahony, 2010; Shackley, 1997), a
425 pronounced gender imbalance in IPCC membership (Gay-Antaki and Liverman, 2018), and
426 scientist contributions to Summary for Policy-makers (SPM) reports have to go through a final
427 review of government approval of the SPM line (IPCC, 2015). Equally important, certain
428 academics continue to be ostracized in IPCC decisions and processes where the impacts of
429 climate change are felt most (e.g. developing countries) leading to a science-policy information
430 deficit and institutionalized epistemic communities, even though there have been attempts to
431 make the IPCC more ‘user-friendly’ (Corbera et al., 2016; Petersen et al., 2015).

432

433 More resources and institutional support are essential to help engage citizens in bottom-up
434 processes that complement and inspire change through existing research and political
435 institutions. CSS needs to be achieved through strategies targeted to different value systems
436 that are not typically related to climate change like biospheric ones (Howell and Allen, 2017).
437 This will have positive economic and social spillover effects beyond environmental benefits,
438 especially in vulnerable communities (e.g. added skills and cash incentives). Governments
439 stand to gain from increased citizen engagement in research in times of austerity (Dickinson et
440 al., 2012) and the private sector can enhance their corporate social responsibility by supporting
441 employees in CSS projects. There is a need to move climate research towards a more
442 collaborative role in which it is co-produced by citizens, industry, decision-makers and
443 scientists so that the research has stronger outreach and generates more effective policies
444 (Pearce et al., 2009). But which sectors are best placed to catalyze CSS projects? The public,
445 private and/or third sectors? A possible starting point we argue is that maybe universities are

446 best placed to initiate and facilitate such CSS projects. They are not so much impartial as
447 designed, in principle, to serve the interests of publics. Rather, they provide a range of
448 knowledge and invention that pluralizes options and speaks to an array of cognitive, moral,
449 aesthetic and spiritual positions existing in the world. Research has shown that those with a
450 higher education share more cultural commonalities over global climate change (Crona et al.,
451 2013). Whilst we are aware of the new instrumentalism in universities linked to business and
452 government control, we feel universities could be best placed to initiate and facilitate CSS in
453 comparison to the private, public and/or third sectors.

454

455 ***5. Differences across and within countries (scale)***

456 The deployment of CSS will face varied challenges across different countries and within the
457 scalar jurisdictions of each country. CSS is plausibly easier to deploy in democratic political
458 systems that have a commitment and track record of fostering public participation in
459 environmental and other areas of decision-making. For example, commitments to broad
460 principles supporting the CSS deployment have been made under the Aarhus Convention of
461 1998 and in the European Union Directives on Public Participation (2003/35/EC) and Access
462 to Environmental Information (2003/4/EC) as well as many other more specific directives such
463 as the Water Framework Directive (2000/60/EC). However, the prospects of CSS deployment
464 are less obvious in non-democratic countries where political leadership is not representative or
465 accountable, public participation is not legitimate or encouraged, and where political freedoms
466 are curtailed, and civil society organizations do not welcome freedom of speech.

467

468 There are also challenges for CSS within countries at different scalar jurisdictions depending
469 on the system of government and the various powers attributed to government at different
470 jurisdictional scales. Levels of political autonomy and a willingness to embrace more

471 networked and polycentric governance with respect to climate change can result in a failure of
472 knowledge upscaling if citizens are not equipped to deal with the ‘politics of scale’. This
473 especially comes into play when citizens with only their local experience(s) are asked to speak
474 as ‘researchers’ on national or global issues. But CSS would not exclude these diverse
475 knowledges, but rather warn cautiousness during implementation and acknowledge that there
476 are caveats about how far CSS can extend in a ‘rule of experts’ context across different spatial
477 jurisdictions. This has been acknowledged in the literature regarding the problems of political
478 power and scale when trying to implement more networked forms of climate governance
479 (Morrison et al., 2017) through social knowing.

480

481 One possible solution is to give local citizens greater participatory influence in local processes
482 of governance and policy-making and forming stronger links between communities and local
483 policy-makers. For making local climate decisions, one example could be to employ an opinion
484 poll company to choose the citizens to participate in local consultation processes, much like is
485 done with citizen juries. By providing selected citizens with knowledge on climate research
486 and how policy is constructed, citizens become more actively engaged in policy and can
487 deliberate the type of policies to be utilized by local/national governments through ‘mini
488 publics’. This has proved successful in Ireland, where a nationwide exercise in deliberative
489 democracy demonstrated that citizens with limited initial knowledge become ‘enlightened’
490 (Suiter et al., 2016) and more likely to change their minds on salient issues based on the best
491 available evidence. Other novel ways of eliciting public opinion and engaging them include
492 citizen awards/incentives for new ideas that promote climate action within the community, and
493 citizen draws that allow citizens time off work to volunteer in local and state council meetings
494 related to climate change (similar to jury duty, but without the legal powers). With citizens
495 enjoying a more central role in helping to determine formal policy, government still has an

496 important enabling role to play by investing more in financial and human resources. This can
497 then more clearly align the roles that citizens and government authorities play in the policy
498 process, promoting a more transparent bottom-up approach to climate-related co-planning
499 issues (Mees et al., 2017). This further bridges the gap between how climate change is governed
500 by citizens, governments and the market across international, national and local jurisdictions.
501 **Particularly at the local scale though, CSS could provide more active engagement by bringing**
502 **citizens into the pre-consultation phase during policy development, rather than citizens just**
503 **being used in a tokenistic way within the initial stages of policy planning by governments.**

504

505 **Conclusion**

506 This paper has examined how CSS can offer a fruitful way of contributing to more integrative
507 and effective climate action and policy that moves beyond the traditional science-policy
508 model. We have discussed a framework for CSS followed by potential barriers and solutions.
509 With respect to the barriers mentioned above, a sub-set of citizens and policy-makers across
510 the board will have to work hard to create arenas where existing power asymmetries can be
511 suspended through institutions, venues and gatherings that permit a rough ‘communicative
512 equality’.

513

514 We argue that the barriers to CSS are not insurmountable and CSS can truly catalyze
515 transformative change if citizens and policy-makers can become more aligned through
516 processes of social knowing, especially at the local scale. By breaking down the tension
517 between expertise and lay knowledge, experts and citizens can collaboratively explore
518 alternative social contexts outside of traditional science (Blue, 2015). This could then catalyze
519 the co-production of alternative policies between citizens, scientists and policy-makers that
520 address emerging climate issues in specific communities. Bridging citizens, scientists and

521 governments through a CSS narrative framework that increases recognition of human qualities
522 and needs would help reconfigure formal climate policy-making through the democratic
523 systems already in place. Taking this transformative pathway places greater responsibility for
524 tackling climate change in the hands of citizens, consistent with the principles of democratic
525 governance and democratic legitimacy. However, governments, the private sector, the IPCC,
526 and the UNFCCC still have critically important roles in helping facilitate this citizen
527 transformation.

528

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