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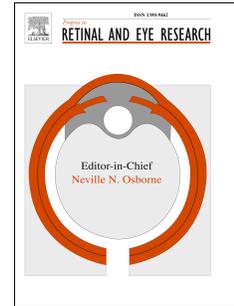
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## Extracellular vesicle therapy for retinal diseases

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**Author Statement**

Ben Mead (70%): Conceptualization, Methodology, Investigation, Formal Analysis Writing.

Stanislav Tomarev (30%): Conceptualization, Formal Analysis, Writing.

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**ABSTRACT**

Extracellular vesicles (EV), which include exosomes and microvesicles, are secreted from virtually every cell. EV contain mRNA, miRNA, lipids and proteins and can deliver this expansive cargo into nearby cells as well as over long distances *via* the blood stream. Great interest has been given to them for their role in cell to cell communication, disease progression, or as biomarkers, and more recent studies have interrogated their potential as a therapeutic that may replace paracrine-acting cell therapies. The retina is a conveniently accessible component of the central nervous system and the proposed paradigm for the testing of many cell therapies. Recently, several studies have been published demonstrating that the delivery of EV/exosomes into the eye can elicit significant therapeutic effects in several models of retinal disease. We summarize results from currently available studies, demonstrating their efficacy in multiple eye disease models as well as highlighting where future research efforts should be directed.

**Keywords:** Exosomes, Extracellular Vesicles, Retina, Mesenchymal Stem Cells, Glaucoma, Optic Nerve Crush

## 1 1. Introduction

2 The retina, due to its diencephalic origin, is part of the central nervous system (CNS)  
3 and converts photons into an electrochemical signal in a process known as  
4 phototransduction, allowing organisms to see. As typical with the CNS, damage,  
5 which can arise through a variety of traumatic and degenerative reasons, is  
6 permanent alongside the subsequent visual loss (Berry et al., 2019; Berry et al.,  
7 2008). Retinal diseases have multiple (non-mutually exclusive) theories explaining  
8 their cause and progression, owing to their complicated and multifactorial nature. It  
9 can be argued that a successful therapy must consider these multiple mechanisms  
10 rather than focusing on one pathway or molecule. In the example of glaucomatous  
11 damage, the majority of studies and pre-clinical therapies however target just one  
12 particular mechanism or signaling pathway e.g. glutamate-mediated excitotoxicity,  
13 tumor necrosis factor- $\alpha$  (TNF- $\alpha$ )-mediated inflammation, neurotrophic factor (NTF)  
14 deprivation etc. Several combinatorial therapies have been devised to address the  
15 multifactorial nature of retinal disease, from the literal combination and delivery of  
16 multiple NTF (Logan et al., 2006) to cellular therapy, whereby transplanted  
17 mesenchymal/neural stem cells (MSC/NSC) secrete a combination of said NTF  
18 (Flachsbarth et al., 2018; Johnson et al., 2014; Lu et al., 2013; Mead et al., 2015;  
19 Mead et al., 2014; Mesentier-Louro et al., 2014) and anti/pro-inflammatory cytokines  
20 (Millan-Rivero et al., 2018; Uccelli et al., 2008). Our earlier studies demonstrated the  
21 potential for MSC (bone marrow- and dental pulp-derived) transplantation in a model  
22 of glaucoma (Johnson et al., 2010a; Mead et al., 2016), with significant  
23 neuroprotection of degenerating retinal ganglion cells (RGC) observed. MSC  
24 remained in the vitreous with their therapeutic efficacy resulting from paracrine-  
25 mediated mechanisms (**Fig. 1**).

26 However, another secreted product of MSC that is suggested to mediate the  
27 paracrine benefit has recently gained a large amount of research interest. Known as  
28 extracellular vesicles (EV), their strong therapeutic potential derives from their  
29 expansive cargo and ability to deliver said cargo into cells and act on multiple  
30 signaling pathways (Kalluri and LeBleu, 2020). EV are becoming established  
31 signaling mediators between cells, including in the eye (Reviewed in Klingeborn et  
32 al., 2017a), but only recently are they gaining traction as a candidate treatment for  
33 ocular disease. This review discusses current progress in utilizing EV as a therapy  
34 for retinal diseases.

## 35 36 **2. Extracellular Vesicles**

37 EV is the collective term for secreted vesicles and includes exosomes,  
38 microvesicles, and apoptotic bodies. They have distinct biogenesis pathways (**Fig. 2**)  
39 and are often distinguished by their size, internal cargo, and surface proteins.

40 Exosomes form *via* the fusion of multivesicular bodies (an intracellular vesicular  
41 structure derived from endosomes) with the cell membrane and their subsequent  
42 release into the extracellular space (Mathieu et al., 2019; They et al., 2006).

43 Microvesicles are instead formed due to outward budding of the plasma membrane.

44 Exosomes are typically 30-150 nm whereas microvesicles are 100-1000 nm,  
45 although the exact values vary greatly between studies. Analysis of their size can be  
46 done using electron microscopy (Osteikoetxea et al., 2015) or a nanoparticle tracking

47 analysis instrument (**Fig. 3**). The third class of EV, apoptotic bodies, are >1000nm  
48 and are released through the membrane blebbing of cells undergoing apoptosis  
49 (Battistelli and Falcieri, 2020; Caruso and Poon, 2018; Jiang et al., 2017), although

50 smaller EV from apoptotic cells (termed apoptotic microvesicles) have also been  
51 suggested. Apoptotic bodies have so far not seen any therapeutic use in the eye and

52 appear to mainly function as signals to recruit macrophages to aid in cell debris  
53 clearing, as well as antigen presentation (Caruso and Poon, 2018). They do however  
54 contain miRNA and proteins as well as represent a heterogeneous population of  
55 subtypes and further study into their therapeutic and/or deleterious effects should be  
56 explored. In contrast, exosomes have shown remarkable therapeutic potential in  
57 many diseases throughout the body (Reviewed in Keshtkar et al., 2018) including  
58 Alzheimer's disease (de Godoy et al., 2018), spinal cord injury (Sun et al., 2018;  
59 Wang et al., 2018a) and stroke (Xin et al., 2013; Xin et al., 2017), amongst others.  
60 Despite these differing subpopulations of EV, much overlap exists in the literature,  
61 with the term "exosome" used interchangeably with "extracellular vesicle".  
62 Interestingly, "exosome" has been referenced more often than "extracellular vesicle"  
63 in published manuscripts over the last several decades, reflecting its popularity, yet  
64 this gap has narrowed significantly in 2019, perhaps reflecting research groups new  
65 to the field adopting the correct terminology (**Fig. 4**). The Minimum Information for  
66 Studies of Extracellular Vesicles (MISEV2018) (Théry and Witwer, 2018) are a  
67 recent published set of guidelines that, in summary, state that the term "EV" should  
68 be used exclusively unless they are confirmed to originate from the exosome  
69 biogenesis pathway (**Fig. 2**). While we agree with the above guidelines, given that  
70 we are reviewing past and present literature that has yet to take these into  
71 consideration, certain concessions were made. Thus, while many studies refer to  
72 their preparations as "exosomes" as opposed to EV, we will refer to them as EV  
73 unless they fulfill the definition of exosomes detailed recently (Klingeborn et al.,  
74 2017a) which are 30-150 nm vesicles loaded with at least some of the exosomal  
75 proteins CD63, CD9, CD81, syntenin-1, and TSG101. We also add a further  
76 requirement to the definition that is often included in most recent studies which is the

77 use of a 0.22  $\mu\text{m}$  filter, removing contaminating microvesicles (albeit at the cost of a  
78 reduced overall yield) that are often isolated with exosomes in most techniques such  
79 as ultracentrifugation or polyethylene glycol precipitation (Konoshenko et al., 2018;  
80 Ma et al., 2020; Mead and Tomarev, 2017; Pan et al., 2019). Isolation of exosomes  
81 with more intricate techniques such as sucrose gradients, relying on their buoyant  
82 density of about 1.10-1.19 g/ml, are also employed but this is largely restricted to  
83 specialized studies into vesicle mechanics and not therapeutic assessment (Shurtleff  
84 et al., 2016).

85 A key detail regarding EV and the reason for their great research interest is that their  
86 cargo is expansive, containing proteins, mRNA, miRNA, and lipids. Furthermore,  
87 following secretion from a cell, these vesicles act as mediators of cell signaling,  
88 delivering their cargo into recipient cells and in the case of mRNA/miRNA, lead to the  
89 translation of new proteins/modulation of gene expression, respectively (Ratajczak et  
90 al., 2006; Skog et al., 2008; Valadi et al., 2007). By delivering multiple proteins,  
91 mRNA and miRNA (all of which target multiple different mRNA), EV are intrinsically a  
92 multifactorial treatment.

93 While the different types of EV are distinct, another variable that defines them is the  
94 source that the EV is secreted from. For example, we recently sequenced miRNA of  
95 exosomes from human BMSC and fibroblasts and identified over 40 candidates that  
96 were distinct between the two samples (Mead et al., 2018b). Several studies have  
97 been published detailing the miRNA within exosomes from multiple MSC types  
98 (**Table. 1**). While many of the most abundant miRNA are consistent between studies,  
99 it is apparent that some variability even from the same cell type exists,  
100 demonstrating the heterogeneity of MSC cultures as well as the variability between  
101 miRNA library construction/analysis methodologies. Regarding the proteome and

102 lipidome, distinct differences have been observed between exosomes isolated for  
103 U87 glioblastoma cells, Huh7 hepatocellular carcinoma cells, and BMSC (Haraszti et  
104 al., 2016). Exosomes from distinct retinal cells such as retinal astrocytes  
105 (Hajrasouliha et al., 2013), retinal pigment epithelium (RPE) cells (Klingeborn et al.,  
106 2017b), and retinal progenitor cells (Zhou et al., 2018) also contain distinct  
107 proteomes and this is reflected in their therapeutic efficacy (discussed below). It has  
108 equally been shown that cells secrete exosomes with variable cargo depending on  
109 the stage of differentiation they are in. For example, osteogenic differentiation of  
110 MSC leads to exosome secretion with extracellular matrix mineralization properties,  
111 but only in the late, not early phase of differentiation (Wang et al., 2018b). Even cell  
112 passage has been shown to have an effect on the neuroprotective efficacy of MSC  
113 exosomes, with it diminishing with increasing cell passage of the source (Venugopal  
114 et al., 2017). With the cargo of EV varying depending on their cellular origin, it could  
115 be thus assumed that EV cargo is just a sample of the cellular cytoplasm. However,  
116 proteomic analysis of adipose-derived MSC and EV demonstrated that over 100  
117 proteins were more abundant in EV in comparison to the cell, suggesting that the  
118 loading of EV involves active and specific trafficking (Eirin et al., 2016). Interestingly,  
119 when comparing exosomes to microvesicles, it appears that this specific trafficking  
120 mechanism is more evident in exosomes as their protein population is more distinct  
121 from the host cell's than the protein population of microvesicles (Haraszti et al.,  
122 2016). Comparison of mRNA, miRNA, and transfer RNA abundance between  
123 adipose-derived MSC/T cells and their EV leads to similar conclusions, select mRNA  
124 and miRNA enriched in EV in comparison to the cell (Chiou et al., 2018; Eirin et al.,  
125 2014). Likewise, exosomes isolated from HEK293 cells contain selectively packaged  
126 miRNAs compared with HEK293 cells and it was found that the RNA-binding Y-box

127 protein (YBX1) binds to and is required for the sorting of certain miRNAs (mir-223) in  
128 exosomes (Shurtleff et al., 2016).

129 Along with their cargo, the number of exosomes released by cells can also vary  
130 greatly. In a comparison of several cell types including myoblasts and HEK cells,  
131 MSC secreted a significantly greater number (>10-fold) of exosomes (Yeo et al.,  
132 2013). Finally, along with the differences between the three types of EV, within each  
133 type they may be further divided into subtypes. Exosomal surface proteins can be  
134 analyzed using flow cytometry and antibody-bead conjugates which, although cannot  
135 quantify exosomes due to an inability in knowing how many exosomes have bound  
136 to each bead, does demonstrate considerable differences between sub populations  
137 that possess or lack exosomal proteins such as CD63 (Mead and Tomarev, 2017).  
138 To analyse these subtypes, more homogeneous population of exosomes are  
139 obtained through the inclusion of additional purification steps such as a flotation of  
140 exosomes to an interface between 20 and 40% sucrose and immunoprecipitation  
141 with CD63 antibody-immobilized beads (Shurtleff et al., 2016) or high-resolution  
142 iodixanol density gradient fractionation (Jeppesen et al., 2019). A distinct difference  
143 in the RNA cargo between the “high density” and “low density” exosomes (Jeppesen  
144 et al., 2019; Shurtleff et al., 2016) and distinct functional differences between them  
145 (Willms et al., 2016) has been reported, yet it remains to be seen if these distinctions  
146 are relevant when it comes to developing potential therapies for the eye. These  
147 additional purification and subtype separation techniques are not typically employed  
148 in research outside exosome-focused research groups and thus their therapeutic  
149 and biological relevance is largely unexplored.

150 One considerable benefit EV offer over cellular therapy as a treatment is their  
151 storage properties (Kusuma et al., 2018). EV RNA quality showed little to no

152 deterioration after storage for 5 years at -20°C in comparison to fresh EV while  
153 storage at 4°C for 2 weeks led to significant degradation in some RNA (Ge et al.,  
154 2014). This can be partly explained by their bi-lipid membranes, which protects the  
155 cargo from enzymatic/chemical degradation. Another benefit is the safety in  
156 comparison to injections of dividing/differentiating cells into the eye. A recent report  
157 detailed three patients who received intravitreal injections of adipose-derived MSC  
158 as a treatment for age-related macular degeneration (AMD). Unfortunately, these  
159 patients subsequently went blind due to a variety of complications associated with  
160 the stem cell transplant including retinal detachment and hemorrhage (Kuriyan et al.,  
161 2017). The formation of a monolayer of cells on the inner limiting membrane of the  
162 retina is heavily involved in the pathology of retinal detachment and proliferative  
163 vitreoretinopathy (Yang et al., 2015) and these cells originate from the epithelial-  
164 mesenchymal transition of RPE cells. Given that transplanted MSC adhere and  
165 cluster to the inner limiting membrane (Mead et al., 2016), it is possible that these  
166 transplanted cells formed epiretinal membranes as observed in one patient receiving  
167 MSC (Kim et al., 2017). A more recent study transplanting MSC into the vitreous of  
168 rats also demonstrated significant vascular damage alongside glial activation and an  
169 inflammatory response (Huang et al., 2019). Cell therapy is also compounded by an  
170 unknown division rate and rate of death after transplantation, meaning a known  
171 number of cells quickly becomes unknown after administration. While the above  
172 does not mean cellular therapy is unfeasible, these complications are avoided by  
173 purifying the active secreted compound, believed to be EV (as well as neurotrophic  
174 proteins), and administering this in the place of the cells. It can be argued that EV  
175 therapy is more controlled regarding its dose since there is no risk of division  
176 occurring post-transplantation. However, both cells and EV share a dosing problem

177 that is intrinsic to their role as deliverers of a multifactorial cargo. Given that this  
178 cargo varies between passages (Mead et al., 2014) and donors (**Table. 1**), using the  
179 same number of cells/EV does not guarantee that the therapeutic cargo is being  
180 correctly dosed. Finally, a large disadvantage with retinal cell therapy is the lack of  
181 integration of transplanted cells into the retina (Emre et al., 2015; Johnson et al.,  
182 2010a; Mead et al., 2013) unless further measures are taken such as digestion of  
183 the inner limiting membrane and modulation of retinal glial activity (Johnson et al.,  
184 2010b), which may itself damage the retina. EV therapy avoids this complication and  
185 can pass through the inner limiting membrane with ease (Mead and Tomarev, 2017).

### 186 **3. Retinal Disease**

187 EV are a strong candidate as a cell free therapy and below we discuss current  
188 evidence for their use in various diseases affecting the retina.

#### 189 **3.1. Optic Nerve Crush**

190 Optic nerve crush is a model of traumatic optic neuropathy, a severe acute condition  
191 in which the delicate optic nerve, on its path from the retina to the lateral geniculate  
192 nucleus/superior colliculus, is physically injured. Crushing of the optic nerve in mice  
193 and rats leads to a 50% loss of RGC by 7 days and 90% loss by 14 days (Berkelaar  
194 et al., 1994; Leung et al., 2008; Rodriguez et al., 2014). Not only is it characterized  
195 by the selective loss of RGC but also the Wallerian degeneration of RGC axons that  
196 fail to regenerate (Berry et al., 2008). Finally, the optic nerve crush model appears to  
197 selectively kill certain RGC subtypes while largely preserving others, and in  
198 particular,  $\alpha$ -RGC and melanopsin-expressing M1-RGC demonstrating robust  
199 survival in comparison to other RGC subtypes (Duan et al., 2015; Tran et al., 2019).  
200 Recently we transplanted exosomes derived from BMSC into the vitreous of rats  
201 after optic nerve crush (Mead and Tomarev, 2017). Exosomes delivered their cargo

202 into RGC, as shown by preloading the exosomes with a fluorescent marker, and  
203 provided significant neuroprotection and functional preservation, whereas long-  
204 distance axon regeneration was not observed. Fibroblast exosomes, which were  
205 used as control exosomes, provided no therapeutic effects. BMSC exosomes also  
206 preserved RGC function by over 50%, as measured by electroretinography. Since  
207 preventing RGC death does not inherently mean a prevention of RGC dysfunction  
208 (Fry et al., 2018), this result suggests exosomes work through multiple pathways to  
209 not only protect RGC but also distinctly preserve their function. Interestingly,  
210 exosomes appeared to be the therapeutically efficacious EV whereas microvesicles  
211 were not and were even toxic to RGC at higher concentrations (Mead and Tomarev,  
212 2017) (**Fig. 5b**), an observation seen also in a retinal ischemic model (discussed  
213 below, van der Merwe et al., 2019) as well as in cortical neuron cultures (Lopez-  
214 Verrilli et al., 2016). The mechanism of action was determined to be, at least  
215 partially, due to the miRNA evident by the ablation of therapeutic efficacy if AGO2 is  
216 knocked down in BMSC. AGO2 is a protein that forms part of the miRNA complex  
217 and is necessary for their ability to inhibit mRNA translation. Knocking down AGO2 in  
218 cells prior to EV isolation leads to EV lacking in mature miRNA (Lv et al., 2014;  
219 Zhang et al., 2016). We can speculate that differences between the cargo packaged  
220 in exosomes and microvesicles (e.g. proteins and/or RNA) is the reason for their  
221 opposing effects on neurons but further investigations into the mechanism of action  
222 are needed before this can be corroborated.

223 Further studies by Pan and coauthors transplanted exosomes derived from umbilical  
224 cord MSC into the vitreous of rats after optic nerve crush (Pan et al., 2019). As we  
225 had previously defined, by removing microvesicles through the use of a 0.22 $\mu$ m filter,  
226 significant RGC neuroprotection was achieved and similarly, RGC axon regeneration

227 was not. Authors also demonstrated significant glial activation. Interestingly the effect  
228 was not as significant as seen with BMSC exosomes and authors reasoned that this  
229 is due to the reported differences in exosomal miRNA between those isolated from  
230 BMSC (Baglio et al., 2015; Mead et al., 2018b) and UMSC (Fang et al., 2016).

231 A separate study utilized exosomes isolated from L-cells, a fibroblast cell line  
232 (Tassew et al., 2017). It is worth noting that in this study, authors did not filter their  
233 EV or fully define their preparation. Thus, their preparation is more accurately  
234 referred to as EV, a mixture of exosomes and microvesicles. Authors did not observe  
235 any significant neuroprotection of RGC but interestingly, observed significant  
236 regeneration of RGC axons. The mechanism of action appears to be due to the  
237 recruitment of Wnt10b to lipid rafts and subsequent activation of the axogenic mTOR  
238 pathway *via* GSK3 $\beta$ . This distinction between L cell exosomes/EV and MSC  
239 exosomes in the axogenic effect is likely due to a difference in their internal cargo.

240 We recently sequenced L cell exosome miRNA and performed a comparison  
241 between them and BMSC/fibroblast exosomes. (**Fig. 6**). Their miRNA profile is  
242 shown with the majority distinct from that found in BMSC exosomes, although some  
243 similarities were observed (**Figure. 6b**). The difference in RGC neuroprotection  
244 observed could also be explained by the exosome isolation technique. Microvesicles  
245 were included in the authors preparation (i.e. not filtered out), and our observation of  
246 their toxicity on RGC (Mead and Tomarev, 2017) suggests L cell exosomes may  
247 indeed be neuroprotective but is obfuscated by microvesicle-induced RGC death.

248 In the above studies, BMSC (Mead and Tomarev, 2017) and umbilical cord MSC  
249 (Pan et al., 2019) exosomes both promoted neuroprotection without axon  
250 regeneration whereas L-cell exosomes (Tassew et al., 2017) did the opposite. This  
251 confirms the distinction between the pathways involved in neuroprotection and those

252 for axon regeneration. It has been shown that Sox11 expression promotes axonal  
253 regeneration for some RGC subtypes yet for some subtypes promotes their death  
254 (Norsworthy et al., 2017). It is possible that despite the expansive cargo of MSC  
255 exosomes, they do not properly activate regeneration pathways which also include  
256 *pten/socs3* modulation (Sun et al., 2011) and induction of neural activity (Lim et al.,  
257 2016). This may represent a benefit of cell therapy over EV as MSC have been  
258 demonstrated to reliably stimulate both regeneration and survival (Mesentier-Louro  
259 et al., 2019; Mesentier-Louro et al., 2014; Tan et al., 2015).

### 260 **3.2. Glaucoma**

261 Glaucoma bears some similarities to optic nerve crush in that it is also characterized  
262 by the selective death of RGC (Almasieh et al., 2012). In contrast, the death is a  
263 slow, progressive degeneration as opposed to acute loss and thus, is a more sinister  
264 condition. The principle risk factor is an elevation in intraocular pressure (IOP) which  
265 is believed to cause compression of the optic nerve at the lamina cribrosa. IOP is  
266 only a risk factor not a cause however, owing to the fact glaucoma can occur with  
267 normal IOP values (Coleman and Miglior, 2008). The mechanism by which RGC die  
268 in glaucoma is still not fully understood and studies demonstrate a myriad of  
269 processes responsible including NTF deprivation, excitotoxicity, inflammation,  
270 oxidative stress, and antero/retrograde axon transport dysfunction (Reviewed in  
271 Almasieh et al., 2012; Syc-Mazurek and Libby, 2019). For a treatment to be effective  
272 in preventing RGC death and dysfunction it must be equally multifactorial to address  
273 these injury processes. Previous success has been found through transplantation of  
274 MSC (**Fig. 1**) which secrete of a multitude of beneficial factors (Emre et al., 2015;  
275 Harrell et al., 2019; Johnson et al., 2010a; Mead et al., 2013; Mesentier-Louro et al.,  
276 2019; Mesentier-Louro et al., 2014).

277 We recently transplanted BMSC exosomes into the vitreous of three separate animal  
278 models of glaucoma: laser and microbead rat models (Mead et al., 2018b), and a  
279 genetic DBA/2J mouse model (Mead et al., 2018a). In all three models, BMSC  
280 exosomes promoted significant survival of RGC along with preventing their functional  
281 decline that is characteristic of glaucoma models. In the DBA/2J model, we also  
282 observed a protective effect on RGC axons. As with the optic nerve crush model, we  
283 used fibroblast exosomes as a negative control as they elicited no therapeutic effect  
284 in these three models of glaucoma. One interesting finding was that the efficacy of  
285 exosomes was maintained even when delivered on a monthly basis but failed to elicit  
286 neuroprotection if the treatment was delivered more infrequently. The DBA/2J mice  
287 are a 12-month model of glaucoma and exosomes were still efficacious over this  
288 time period.

289 The mechanism of action appeared to be, as before, due to the miRNA cargo they  
290 delivered into RGC. This was confirmed through AGO2 knockdown and the ablation  
291 of neuroprotection (Mead et al., 2018b). To determine which miRNA were  
292 responsible for these therapeutic effects, miRNAseq was performed, comparing  
293 miRNA in the efficacious MSC exosomes to the ineffective fibroblast exosomes.  
294 Previous studies have already profiled MSC EV/exosomes and mapped out the most  
295 abundant miRNA (Ferguson et al., 2018; Qian et al., 2016; Sun et al., 2017) (**Table**  
296 **1**) and we identified 43 miRNA that were abundant in BMSC exosomes in  
297 comparison to fibroblast exosomes (Mead et al., 2018b). Given that miRNA target a  
298 great many different mRNA, it is difficult to determine which molecules and pathways  
299 are responsible for the therapeutic effects observed. Many of these targets are still  
300 only predictions with only a fraction tested and experimentally observed. Within  
301 these targets however, well known instigators of RGC death including the *bcl2* family

302 (Maes et al., 2017), *tnf* (Tezel, 2008), and *pten/mTOR* (Morgan-Warren et al., 2016)  
303 exist and further study will determine to what extent exosome-derived miRNA is  
304 acting through these pathways.

305 This data suggests that exosomes may serve as a suitable neuroprotective strategy,  
306 both in glaucoma that is not amenable to IOP lowering therapies, or as an adjunctive  
307 treatment. Another important conclusion is that long-term exosome treatment could  
308 be developed that requires only a monthly injection, as is done with anti-vascular  
309 endothelial growth factor (VEGF) treatments for AMD. This is likely based on a  
310 combination of the stability of exosomes as well as miRNA whose stability is  
311 reported to be over several days (Bartel, 2018).

312 In an effort to determine if the therapeutic effects we and others have observed is  
313 also applicable to human retina, we tested exosomes in a human *in vitro* retinal  
314 culture (Sluch et al., 2017; Sluch et al., 2015). Human embryonic stem cell lines  
315 were differentiated into retinal cells, which included RGC, and were injured using the  
316 microtubule poison colchicine (Mead et al., 2020). Delivery of BMSC-derived  
317 exosomes provided significant neuroprotection of human RGC (**Fig. 7**). While we  
318 would certainly not argue that this *in vitro* system models glaucoma, it does provide  
319 evidence that the efficacy we are seeing in animal models may indeed be  
320 translatable to the human condition. More studies are needed using human tissue to  
321 strengthen this argument.

### 322 **3.3. Retinal Ischemia**

323 Retinal ischemia, such as due to occlusion of the retinal artery or detachment of the  
324 retina, causes significant and irreversible damage. As with glaucoma, transplantation  
325 of MSC has shown efficacy at preventing retinal cell loss and dysfunction (Dreixler et  
326 al., 2014) and also, as with glaucoma, exosomes isolated from BMSC were able to

327 recapitulate the effects of BMSC when transplanted into the vitreous of retinal  
328 ischemic mice, induced by hyperoxic conditioning (Moisseiev et al., 2017). These  
329 therapeutic effects included a significant reduction in retinal thinning and  
330 neovascularization and were present 14 days after the treatment. The ability of  
331 exosomes to prevent neovascularization is also seen in the choroid following delivery  
332 of retinal astrocyte-derived exosomes but is not seen when using RPE-derived  
333 exosomes (Hajrasouliha et al., 2013), again demonstrating the importance of the  
334 exosome source.

335 A more recent study utilized a brief elevation in IOP (15 to 150mmHg for 60 minutes)  
336 to induce retinal ischemia in rats (van der Merwe et al., 2019). EV were isolated from  
337 bioscaffolds and in particular, decellularized porcine urinary bladder matrix. These  
338 EV, known as matrix bound nanovesicles are similar to exosomes in that they are  
339 lipid membrane bound, containing protein and RNA, although their exact cargo  
340 profile may differ. The characterization of these matrix bound nanovesicles, including  
341 size or RNA/protein abundance was however not shown and thus it is unknown if  
342 these matrix bound nanovesicles are indeed just EV that have become associated  
343 with the scaffold following secretion. Evidence for this is shown when RGC in  
344 cultures are treated with membrane bound nanovesicles, which promoted  
345 neuritogenesis with increasing dosage, but a bi-phasic effect was observed with the  
346 neuritogenic effect dissipating at very high doses (**Fig. 5c**). This observation mirrored  
347 what we observed whereby MSC EV promoted neuritogenesis of RGC in a bi-phasic  
348 dose responsive manner (Mead and Tomarev, 2017). We had confirmed that this  
349 negative effect at increasing doses was due to microvesicles, and their removal from  
350 the EV sample, leaving just exosomes, ablated the bi-phasic dose response effect  
351 (**Fig. 5b**). Lopez-Verrilli and coauthors (**Fig. 5a**) also demonstrated a similar effect

352 on cortical neurons with exosomes eliciting neuritogenesis while microvesicles did  
353 not (Lopez-Verrilli et al., 2016). Thus, it is possible microvesicles were present in the  
354 authors preparation. Despite this, authors demonstrated that EV treatment prevented  
355 microglia/astrocyte activation-induced release of the pro-inflammatory cytokines  
356 interleukin (IL)-1 $\beta$ , IL-6, and TNF- $\alpha$ , significantly reducing subsequent RGC  
357 degeneration *in vitro* and *in vivo* (van der Merwe et al., 2019). Finally, authors also  
358 demonstrated that the intravitreal delivery of these EV reduced loss of cholera toxin  
359 b-subunit<sup>+</sup> RGC axons as well as dysfunction in RGC, as measured by the photopic  
360 negative response.

361 Retinal ischemia can also occur when the retina becomes detached from the  
362 choroid, from which it depends on for its blood supply. In a rat model of retinal  
363 detachment, injection of BMSC-derived exosomes reduced the expression of pro  
364 inflammatory cytokines such as TNF- $\alpha$  while upregulating autophagy (Ma et al.,  
365 2020). Authors demonstrated a subsequent neuroprotective effect on  
366 photoreceptors, reducing cell loss despite the detached retina. While a mechanism  
367 of action was not deduced, authors did note the abundance of exosomal proteins  
368 with neuroprotective and anti-inflammatory properties.

#### 369 **3.4. Retinal Laser Injury**

370 A separate model of retinal injury utilizes a laser, not to burn the outflow pathways  
371 like in glaucoma but to directly burn the retina. Several laser burn spots are delivered  
372 to the retina, which initiates indiscriminate rather than specific cellular degeneration  
373 alongside inflammation.

374 Delivery of MSC EV (unfiltered exosomes) into cultures of retinal cells after heat  
375 induced injury, or into the vitreous of mice after laser injury provided significant  
376 neuroprotection of retinal cells to the same efficacy as the MSC themselves (Yu et

377 al., 2016). Along with a reduction in TUNEL<sup>+</sup> retinal cells/thinning of retinal layers,  
378 MSC EV also prevented declines in A- and B-wave amplitudes, suggesting a  
379 preservation of photoreceptor and bipolar cell function, respectively. MSC EV  
380 diffused throughout the retina and RPE within one hour. One mechanism identified  
381 by the authors was the exosome-induced down-regulation of MCP-1 retinal  
382 expression, whose upregulation is usually a consequence of retinal injury. MCP-1 is  
383 a chemotactic cytokine that attracts macrophages and microglial cells into the injury  
384 site, leading to further damage and degeneration. MSC EV reduced MCP-1  
385 expression *in vitro* and *in vivo*, reducing macrophage infiltration and this effect was  
386 abolished if MCP-1 was delivered into animals. This study reveals another  
387 mechanism of action for EV, an anti-inflammatory one, yet did not determine if the  
388 down-regulation of MCP-1 was due to the EV protein or RNA cargo.

389 A separate study focused on the effects of laser damage to RPE, which causes  
390 choroidal neovascularization, a characteristic feature of wet AMD (He et al., 2018). *In*  
391 *vitro*, laser damage to RPE cells induced the production of VEGF, the principal  
392 growth factor responsible for the neo-vascularization and the basis for the anti-VEGF  
393 drugs used in the clinic. Treatment of laser-injured RPE cells with umbilical cord  
394 MSC-derived EV reduced the transcription and translation of VEGF whereas *in vivo*,  
395 delivery of EV did the same while reducing retinal damage as measured by fundus  
396 fluorescein angiography.

397 In the same *in vivo* model of choroidal neovascularization, Hajrasouliha and  
398 coauthors demonstrated that exosomes from retinal astrocytes can inhibit the  
399 formation of new blood vessels as well as suppress retinal vascular leakage  
400 (Hajrasouliha et al., 2013). Similar to other studies, a 0.22µm filter was employed to  
401 filter out microvesicles. The authors state that the mechanism of action is likely

402 through the inhibition of macrophage migration which is a major source of  
403 inflammatory cytokines as well as VEGF. Interestingly, authors attributed the  
404 therapeutic effects to the protein content of exosomes and identified several anti-  
405 angiogenic candidates abundantly found in retinal astrocyte-derived exosomes. By  
406 inhibiting the MMP-induced production of endostatin and utilizing the subsequently  
407 generated endostatin-free exosomes, suppression of vascular leakage was no  
408 longer observed, demonstrating a role for exosome-delivered endostatin.

### 409 **3.5. Autoimmune Uveitis**

410 Uveitis is an inflammatory condition of the eye requiring immunosuppressive  
411 treatment. Since long-term use of immunosuppression comes with several side  
412 effects, there is still a need for new treatments. Interphotoreceptor retinol-binding  
413 protein immunization induces experimental autoimmune uveitis, and the  
414 inflammatory cell retinal infiltration (granulocytes, natural killer cells, macrophages,  
415 and T cells) is ameliorated after treatment with umbilical cord MSC exosomes  
416 (filtered and characterized) (Bai et al., 2017). MSC exosomes also prevented a loss  
417 in A- and B-wave amplitude, suggesting photoreceptor and bipolar cell function was  
418 preserved. Authors found that the MSC exosomes anti-inflammatory effects were  
419 specifically on T cell migration and not proliferation/apoptosis. While the study did  
420 not determine their mechanism of action, previous studies have shown that MSC  
421 exosomes inhibit macrophage activation through miRNA-mediated down-regulation  
422 of the toll-like receptor and nuclear factor kappa B (NF- $\kappa$ B) pathway (Phinney et al.,  
423 2015). Other mechanisms such as the polarization of CD4<sup>+</sup> T cells to regulatory T  
424 cells has also been described (Bin et al., 2014).

425 A separate study testing the effects of MSC exosomes in experimental autoimmune  
426 uveitis delivered exosomes into the tail vein (Shigemoto-Kuroda et al., 2017).

427 Exosomes were isolated by column fractionation and characterized using exosomal  
428 markers CD63 and CD81. MSC exosomes performed just as well as MSC in  
429 preventing photoreceptor layer disruption and inflammatory cell infiltration.  
430 Interestingly, only a single injection was administered at the beginning of the 21 day  
431 study, corroborating our own reports of MSC exosomes remaining efficacious for up  
432 to 1 month in the eye (Mead et al., 2018a; Mead et al., 2018b). MSC exosomes/MS  
433 also reduced the transcription of many pro-inflammatory cytokines including  
434 interferon gamma (IFN-g), IL-17A, IL-2, IL-1b, IL-6, and IL-12A (Shigemoto-Kuroda  
435 et al., 2017). Unlike the previous study however, authors demonstrated that MSC  
436 exosomes suppressed T cell proliferation. One possible explanation for this  
437 discrepancy is that authors cultured their MSC in serum free medium designed to  
438 activate/prime the MSC prior to exosomes isolation, which would likely have  
439 changed their internal cargo and thus, therapeutic action.

440 The anti-inflammatory properties of EV have also been demonstrated by RPE,  
441 whose secreted EV provide immunomodulatory effects on monocytes and even  
442 induce their death (Knickerbein et al., 2016). Currently however, they have not been  
443 utilized as a potential therapeutic.

444 These studies suggest that MSC EV and in particular exosomes have potential as a  
445 treatment in inflammatory diseases of the eye. Further studies on their long-term  
446 efficacy, dose and ideal source of said exosomes are needed to improve the  
447 treatment. One exciting observation is MSC EV efficacy is still present when  
448 delivered into the blood stream rather than the eye, suggesting that they can home  
449 into an injured environment (Shigemoto-Kuroda et al., 2017). While this would be a  
450 more ideal route of administration from the patient's perspective, the potential for off-  
451 target effects with pernicious consequences would need to be considered. The anti-

452 inflammatory properties of EV are not just relevant to uveitis but also the retinal injury  
453 models discussed above. Retinal/optic nerve injury is followed by a polarization of  
454 microglia to a M1 pro-inflammatory phenotype, which secrete various inflammatory  
455 cytokines including TNF- $\alpha$ . These can not only directly induce the neurodegeneration  
456 of RGC (Tezel, 2008) but polarize astrocytes to a neurotoxic A1 phenotype which  
457 itself leads to RGC neurodegeneration (Liddelow et al., 2017). Further studies are  
458 required to determine if these anti-inflammatory effects are a relevant mechanism  
459 behind the EV-mediated neuroprotection previously discussed.

### 460 **3.6. Diabetic Retinopathy**

461 Diabetic retinopathy, a consequence of diabetes mellitus that involves inflammation,  
462 microaneurysms, vasculature damage and subsequent neo-vascularization (Stitt et  
463 al., 2016) has also shown preliminary promise as an eye disease amenable to EV  
464 therapy. Delivery of MSC (adipose-derived) EV into the eye, either subconjunctival or  
465 intravitreal (but not intravenous) prevented significant retinal degeneration (Safwat  
466 et al., 2018) in a streptozotocin-induced model of diabetic retinopathy. Authors  
467 demonstrated that exosomes delivered miRNA-222 into the retina and restored  
468 falling levels typically associated with diabetic retinopathy. The discrepancy between  
469 this study's inability to obtain a clinical effect after intravenous administration, and  
470 the positive effects seen in the above study (Shigemoto-Kuroda et al., 2017)  
471 emphasize the need for further investigation on this potential route of administration.

472 A separate study utilized the same model and delivered umbilical cord MSC  
473 exosomes intravitreally (Zhang et al., 2019). Hyperglycemia-induced inflammation is  
474 ameliorated by MSC exosomes in comparison to fibroblast exosomes, as measured  
475 by ELISA for the inflammatory markers IL-1 $\beta$ , IL-18, and caspase-1 in the vitreous.  
476 The mechanism of action appears to be miR-126-mediated inhibition of the high

477 mobility group box 1 (HMGB1) signaling pathway. Diabetic retinopathy is associated  
478 with decreased miR-126 and over expression of miR-126 in MSC exosomes further  
479 augmented the therapeutic efficacy.

### 480 **3.7. Clinical Trials**

481 As of this review 148 clinical trials have been listed looking at “exosomes” and 39  
482 mentioning “extracellular vesicles”. However, very few are utilizing them as a therapy  
483 with the rest mostly focusing on the use of exosomes as biomarkers of disease.

484 Two of these clinical trials testing MSC EV therapies that have been published  
485 include in steroid refractory graft-versus-host disease (Kordelas et al., 2014) and in  
486 chronic kidney disease (Nassar et al., 2016). MSC EV reduced pro-inflammatory  
487 cytokine secretions including TNF- $\alpha$ , increased anti-inflammatory cytokines  
488 secretions including TGF- $\beta$ , and improved patient recovery and kidney function.

489 Two clinical trials are listed using exosomes as a treatment for eye disease, one in  
490 diabetic retinopathy which is not yet recruiting (ClinicalTrials.gov Identifier:  
491 NCT03264976) and another for the treatment of macular holes which is still  
492 recruiting and has already published preliminary results (ClinicalTrials.gov Identifier:  
493 NCT03437759). Five patients with large and refractory macular holes were treated  
494 with an intravitreal delivery of MSC-derived exosomes (Zhang et al., 2018).  
495 Exosomal presence was confirmed using western blot, staining for exosome markers  
496 such as CD63, CD9 and CD81. Since no size exclusion (e.g. 0.22 $\mu$ m filter) was  
497 utilized, the preparation undoubtedly also included microvesicles and is thus more  
498 accurately described as MSC EV. Results of the study suggest that MSC EV  
499 stimulate the closure of macular holes although the mechanism of action was not  
500 elucidated, and control groups not included. The intravitreal MSC EV therapy was

501 well tolerated with only one patient experiencing an inflammatory reaction which was  
502 not present when the dose was reduced.

503 As more studies demonstrate that EV have an active and potentially therapeutic role  
504 in the body, as opposed to only a passive one (Joo et al., 2020; Tieu et al., 2019), it  
505 is anticipated that there will be more clinical trials focusing on their clinical potential  
506 rather than their role solely as biomarkers.

#### 507 **4. Future Considerations**

508 While EV show great promise, many questions still remain unanswered.

##### 509 **4.1. Toxicology and Dosing**

510 While no evidence exists for any complications arising from delivery of EV into the  
511 eye, extensive toxicology studies are still needed. Some *in vitro* (Maji et al., 2017)  
512 and *in vivo* (Zhu et al., 2017) toxicology reports have been published detailing their  
513 safety after culture treatment or systemic delivery, but how true this is for ocular  
514 delivery is still not known. They also report toxicological differences between  
515 different cellular sources of EV which although is unsurprising given what we know,  
516 emphasizes the importance of treating EV from different cells as distinct agents.

517 Secondly, the large-scale production of clinical-grade EV represents a significant  
518 barrier to moving this experimental treatment into the clinic. Issues such as ensuring  
519 the batch-to-batch variability remains minimal as well as the detection of any viruses  
520 that will likely be enriched alongside EV remains paramount when moving forward  
521 (Rohde et al., 2019). Variations in the length of time in culture may also affect the  
522 cells and subsequently, the EV, increasing variability. For EV to be effectively dosed,  
523 it is not enough to simply consider their quantity but instead to dose for their cargo,  
524 ensuring that a controlled amount of the therapeutically efficacious elements are  
525 delivered irrespective of the number of EV particles. It is also important to consider

526 that the therapeutically efficacious component of the isolate may indeed be an EV  
527 subtype that can be further purified, however techniques to achieve this are still  
528 lacking (Greening and Simpson, 2018) and the benefits would need to be balanced  
529 against the added cost. Along with the EV subtype, the subtypes of the target cells  
530 should also be taken into consideration. Using RGC as an example, just as different  
531 injuries affect different RGC subtype, it is also possible that EV treatment only  
532 protects specific RGC subtypes and given that over 40 subtypes have been  
533 identified (Reviewed in Sanes and Masland, 2015; Tran et al., 2019), these potential  
534 differential effects warrant investigation. Regarding large scale EV production, one  
535 research focus has been to target the MSC themselves, modifying them in such a  
536 way as to improve the isolated EV yield and efficacy (Phan et al., 2018).

#### 537 **4.2. Targeting EV to Cells**

538 For EV to exert their effects on the injured retina, they must be targeted to the  
539 correct cells and subsequently internalized. The above studies have demonstrated  
540 that EV deliver cargo into a whole range of retinal cells including RGC (Mead and  
541 Tomarev, 2017), microglia, astrocytes (van der Merwe et al., 2019), and RPE cells  
542 (He et al., 2018). However, many studies do not interrogate the exact cellular target,  
543 only referencing global changes in retinal expression, function, or morphology.  
544 Future studies should pay special attention to this aspect of EV, particularly as it is  
545 becoming apparent EV can preferentially bind to specific cells based on their protein  
546 cargo (Murphy et al., 2019). Thus, particular EV can be selected depending on the  
547 desired retinal cell target.

#### 548 **4.3. Mechanisms of Action and the Discovery of Novel Pathways**

549 It is clear that EV contain an expansive cargo while unclear which of this cargo is  
550 responsible for the therapeutic effects observed in the above retinal diseases. It is

551 tempting, and perhaps more feasible, to focus on clearly established pathways and  
552 delineate from this which of the EV cargo is likely responsible. However, EV also  
553 represent an opportunity to discover novel targets, particularly given most miRNA  
554 targets are untested and remain predicted rather than observed (Mead et al., 2018b).  
555 Research has often used EV in a cross-species manner, in particular, human-  
556 derived EV in rodent models. It is unclear what interactions and effects are being  
557 excluded due to, for example, particular human-miRNA being incompatible with  
558 rodent mRNA. More studies using human EV on human cells may help refine the  
559 mechanisms or yield new candidates. If the mechanism of action can be limited to  
560 just a select few miRNA/mRNA/proteins, the treatment could be further simplified just  
561 using these particular candidates. Finally, it is currently unknown what the miRNA  
562 landscape of RGC (and their subtypes) is, as well as other specific retinal cells. This  
563 is important information considering the delivery of miRNA is one important  
564 mechanism of EV. It would be equally important to know the retinal mRNA/miRNA  
565 changes before and after EV treatment as well as under different injury conditions.  
566 Additionally, knowing the EV signaling that occurs to maintain eye homeostasis will  
567 help shape future EV therapies.

#### 568 **4.4. EV Modification, Priming, and Loading**

569 While it is clear EV are therapeutically efficacious in several disease models, how  
570 this effect can be improved further is of strong interest and may allow lower doses or  
571 less frequent administrations to be utilized. Modifying EV to better target cells of  
572 interest is one such approach and is demonstrated in a previous study involving the  
573 fusion of the exosomal protein lysosome-associated membrane protein 2 (Lamp2b)  
574 with the brain targeting peptide rabies viral glycoprotein peptide (Alvarez-Erviti et al.,  
575 2011). Subsequently generated EV were able to selectively target neurons,

576 microglia, and oligodendrocytes in the brain after systemic administration. Priming or  
577 modifying the EV is another approach and we have recently demonstrated that by  
578 exposing MSC to the inflammatory cytokine TNF- $\alpha$ , the EV they release are more  
579 efficacious in the context of retinal neuroprotection (Mead et al., 2020) (**Fig. 7**).  
580 These “primed” EV warrant further investigation as it is expected that a cocktail of  
581 factors is required to maximally prime MSC and their EV. EV themselves can be also  
582 be modified directly, such as loaded with an abundance of a particular miRNA to  
583 increase their efficacy. This was achieved in a study described above, loading EV  
584 with miR-126 and increasing their efficacy further in a model of diabetic retinopathy  
585 (Zhang et al., 2019).

## 586 **5. Conclusions**

587 Exosomes/EV are strong candidates as a treatment for the injured retina. They  
588 circumnavigate the risk factors associated with delivering dividing cells into the eye  
589 while still possessing their multifactorial mechanism of action due to their expansive  
590 cargo. Further work is needed to characterize their mechanism of action including  
591 the mRNA, miRNA and proteins responsible alongside the myriad of therapeutic  
592 targets.

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973 **Fig. 1.** Retinal ganglion cell (RGC) counts in a rat model of glaucoma after  
974 mesenchymal stem cell (MSC) treatment. Glaucoma was modeled through  
975 intracameral injections of TGF- $\beta$  for 35d. Treatments consisted of intravitreal  
976 transplantation of dental pulp stem cells (DPSC), bone marrow MSC (BMSC),  
977 adipose-derived stem cells (ADSC) and dead DPSC (sham-treated; A). Retinae were  
978 stained with the phenotypic RGC marker BRN3A (red) and the nuclear marker DAPI  
979 (blue; scale bar: 50 $\mu$ m). In (B), GFP<sup>+</sup> MSC stained for the MSC marker STRO1 are  
980 identified in the vitreous, adhering to the inner limiting membrane. In (C), the mean  
981 number of BRN3A<sup>+</sup> RGC in a 1mm region of retina either side of the optic nerve  
982 head is shown from each of the above groups. Note the significant neuroprotective  
983 effect elicited by the transplanted MSC. Black lines indicate significant difference  
984 between groups ( $p < 0.01$ ). Modified Fig.4 from Mead et al., 2016, re-used under the  
985 Creative Commons Attribution 4.0 International (CCBY4.0) licence.

986 **Fig. 2.** Schematic diagram detailing exosomal treatment of the retina. Exosomes and  
987 microvesicles are isolated through ultracentrifugation of culture medium, conditioned  
988 by the proposed cell source. Lower speeds of centrifugation can be used in protocols  
989 that utilize polyethylene glycol while other techniques such as passing through a  
990 sucrose gradient are employed to further specify the vesicle size obtained. To purify  
991 the 30-150nm exosomes from the 100-1000nm microvesicles, passage through a  
992 0.22 $\mu$ m filter is utilized. Following purification, exosome identity can be confirmed  
993 with Nanoparticle Tracking Analysis and Western blot before injection into the eye  
994 (vitreous or subretinal).

995 **Fig. 3.** Electron microscopy images of exosomes before and after filtration through a  
996 0.22 $\mu$ m filter along with corresponding Nanosight/Nanoparticle Tracking Analysis of  
997 quantity and size. Modified Fig.2 from Mead et al., 2018 and Mead et al., 2017, re-  
998 used under the Creative Commons Attribution 4.0 International (CCBY4.0) licence.  
999 The figure inset shows a higher quality electron microscopy image of an exosome  
1000 (EXO), microvesicle (MV), and apoptotic body (APO). Reused from Osteikoetxea et  
1001 al., 2015 with permission under the Creative Commons Attribution 4.0 International  
1002 (CCBY4.0) licence.

1003 **Fig. 4.** Publications with the keyword “exosome” or “extracellular vesicle” in the  
1004 abstract/title from Jan 1<sup>st</sup> 1980 – Jan 1<sup>st</sup> 2020. Note the exponential rise in  
1005 publications referencing exosomes along with the historical popularity of “exosome”  
1006 over “extracellular vesicle”, with the gap narrowing significantly in 2019.

1007 **Fig. 5.** Differential effects of exosomes and microvesicles on retinal ganglion cells  
1008 (RGC)/neurons. In three separate studies, one in cortical neurons (A) and 2 in RGC  
1009 (B/C), exosomes demonstrated a neuritogenic/neuroprotective effect with  
1010 microvesicles exerting the opposite. The first study (A) showed that exosomes were  
1011 neuritogenic whereas the effect of microvesicles was worse than untreated controls.  
1012 The second (B) demonstrated the efficacy of extracellular vesicles diminished at  
1013 higher doses and this was due to the contamination of microvesicles. A third study  
1014 (C) showed the same but did not confirm the effect was due to contaminating  
1015 microvesicles. Modified Fig.3 from Lopez-Verrilli et al., 2016 (A), Fig.3 from Mead  
1016 et al., 2017 (B), and Fig.1 from van der Merwe et al., 2019, re-used under the  
1017 Creative Commons Attribution 4.0 International (CCBY4.0) licence.

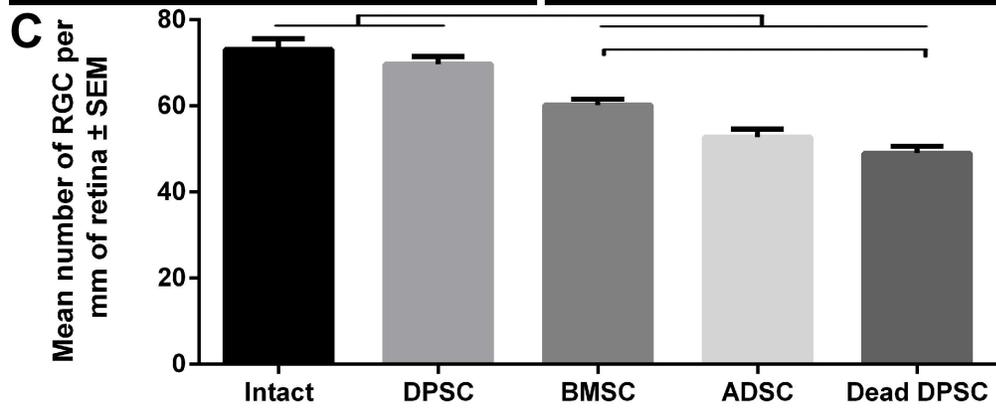
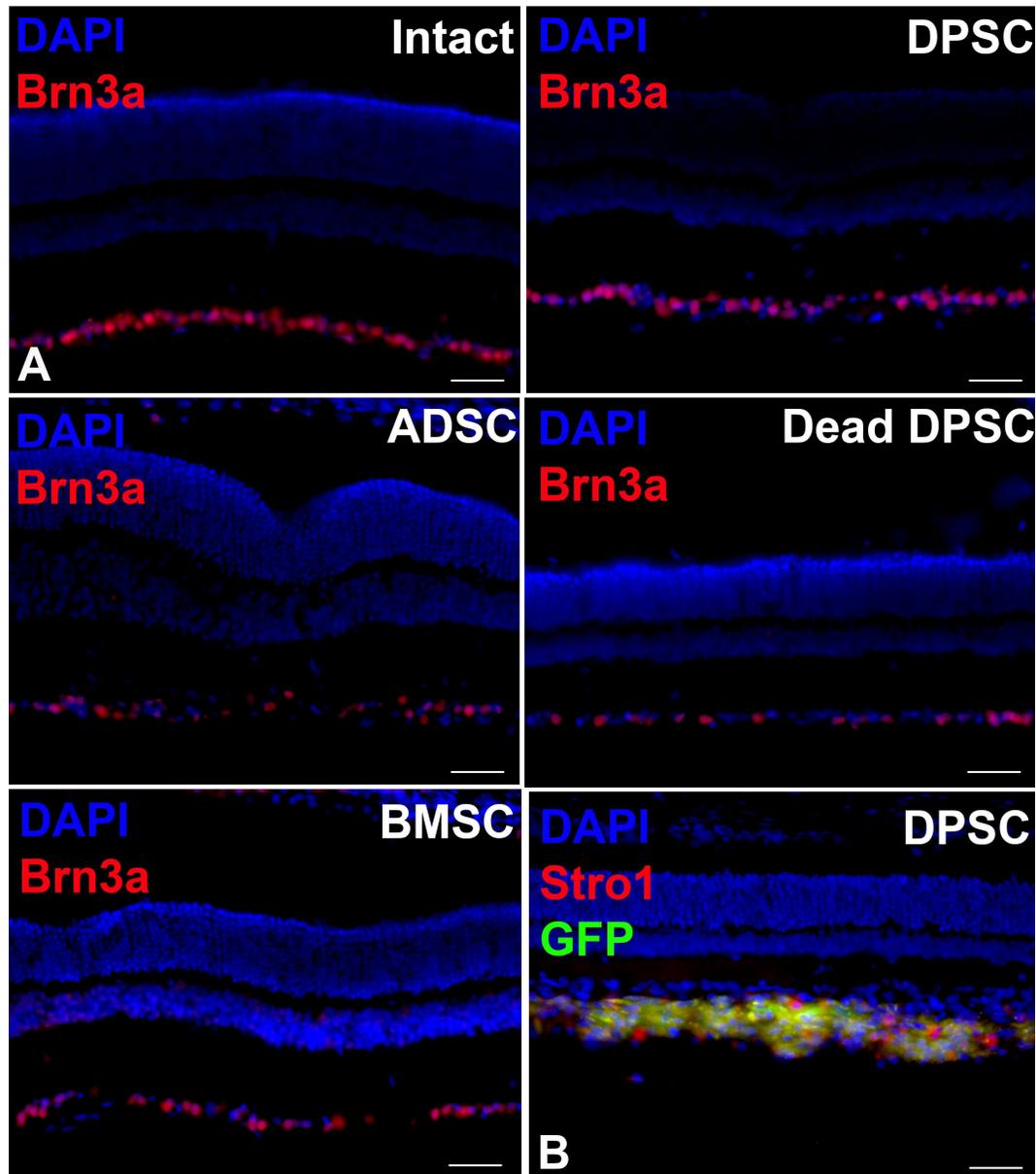
1018 **Fig. 6.** miRNA in L cell exosomes. miRNAseq was performed on exosomes derived  
1019 from L cells with those detected displayed (A) as mean estimated abundance

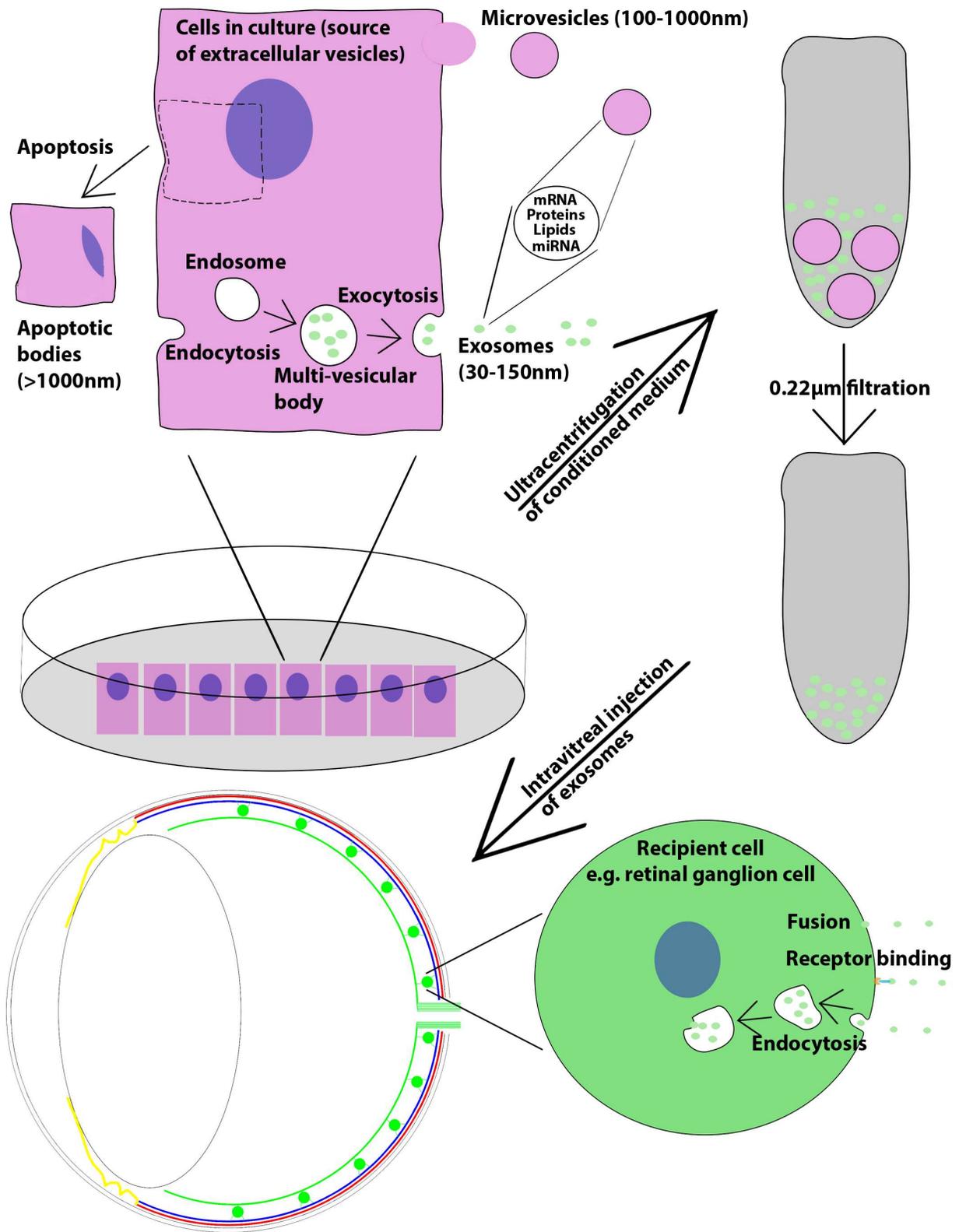
1020 (derived from the reads)  $\pm$  standard error mean (SEM). Mouse L cell exosome  
1021 miRNA that are homologues to their human miRNA counterpart were selected and  
1022 compared to human bone marrow mesenchymal stem cell (BMSC) and dermal  
1023 fibroblast exosome miRNA. Those miRNA also shown to be abundant in BMSC  
1024 exosomes and L cell exosomes in comparison to fibroblast exosomes are displayed  
1025 (B) as mean estimated abundance (derived from the reads)  $\pm$  SEM. Comparative  
1026 data for miRNA expression in BMSC exosomes/fibroblast exosomes is from a  
1027 previous publication (Mead et al., 2018b).

1028 **Fig. 7.** Exosome treatment of human retina. Heterogeneous retinal cultures were  
1029 generated from a human embryonic stem cell line expressing a fluorescent marker  
1030 under the *brn3b* (retinal ganglion cell (RGC) specific) promoter. To induce RGC  
1031 degeneration, colchicine, a microtubule poison, was added to cultures (B) and led to  
1032 significant RGC loss compared to uninjured controls (A). Ciliary neurotrophic factor  
1033 (CNTF) led to significant neuroprotection of RGC (positive control, C), as did  
1034 mesenchymal stem cell (MSC) exosomes (D), and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ )  
1035 primed MSC exosomes (E; *scale bar: 250 $\mu$ m). The quantified number of BRN3B<sup>+</sup>  
1036 RGC is shown in F. Fig.2 from Mead et al., 2020 re-used under the Creative  
1037 Commons Attribution 4.0 International (CCBY4.0) licence.*

1038  
1039 **Table 1:** The ten most abundant miRNA in exosomes isolated from human  
1040 mesenchymal stem cells (bone marrow-derived mesenchymal stem cells, BMSC;  
1041 umbilical cord blood-derived mesenchymal stem cells, UCMSC; adipose-derived  
1042 stem cells, ADSC).

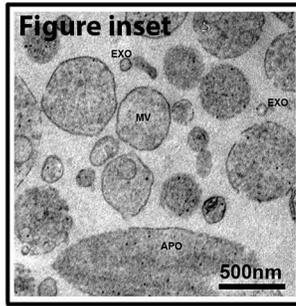
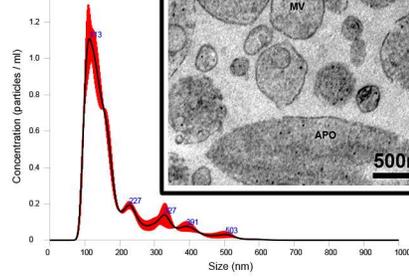
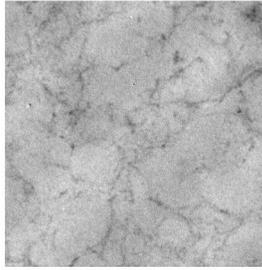
Study	Mead et al., 2018b	Ferguson et al., 2018	Baglio et al., 2015	Wang et al., 2018	Sun et al., 2017	Qian et al., 2016	Fang et al., 2016	Baglio et al., 2015
Source of exosomes (human)	BMSC	BMSC	BMSC	BMSC	UCMSC	UCMSC	UCMSC	ADSC
Ten most abundant miRNA	miR-221-3p	miR-1246	miR-143-3p	miR-21-5p	miR-125b-5p	miR-21	miR-21-5p	miR-486-5p
	let-7a-5p	miR-23a-3p	miR-10b-5p	miR-125b-5p	miR-21-5p	miR-125b	miR-125b-5p	miR-10a-5p
	miR-21-5p	miR-451a	miR-486-5p	miR-221-3p	miR-24-5p	miR-23a	miR-23a-3p	miR-10b-5p
	miR-320a	miR-125b-5p	miR-22-3p	miR-16-5p	miR-16-5p	miR-100	miR-100-5p	miR-191-5p
	miR-486-5p	miR-199a/b-3p	miR-21-5p	let-7a-5p	miR-92a-3p	let-7f-5p	miR-145-5p	miR-222-3p
	miR-423-5p	let-7a-5p	miR-222-3p	miR-23a-3p	miR-100-5p	let-7a-5p	let-7f-5p	miR-22-3p
	miR-21-5p	miR-4454/7975	miR-191-5p	miR-100-5p	miR-106a-5p	miR-145	let-7a-5p	let-7a-5p
	miR-1246	miR-21-5p	miR-100-5p	miR-142-3p	miR-19b-3p	miR-1260b	miR-1260a	miR-21-5p
	miR-122-5p	let-7b-5p	let-7a-5p	miR-222-3p	miR-145-5p	miR-1260a	miR-1260b	miR-127-3p
	miR-92a-3p	miR-100-5p	miR-99b-5p	miR-24-3p	miR-25-3p	miR-199a	miR-199a-3p	miR-143-3p



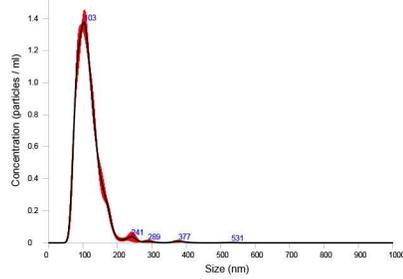
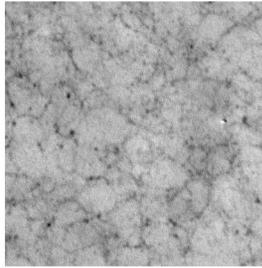


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### Exosomes + Microvesicles

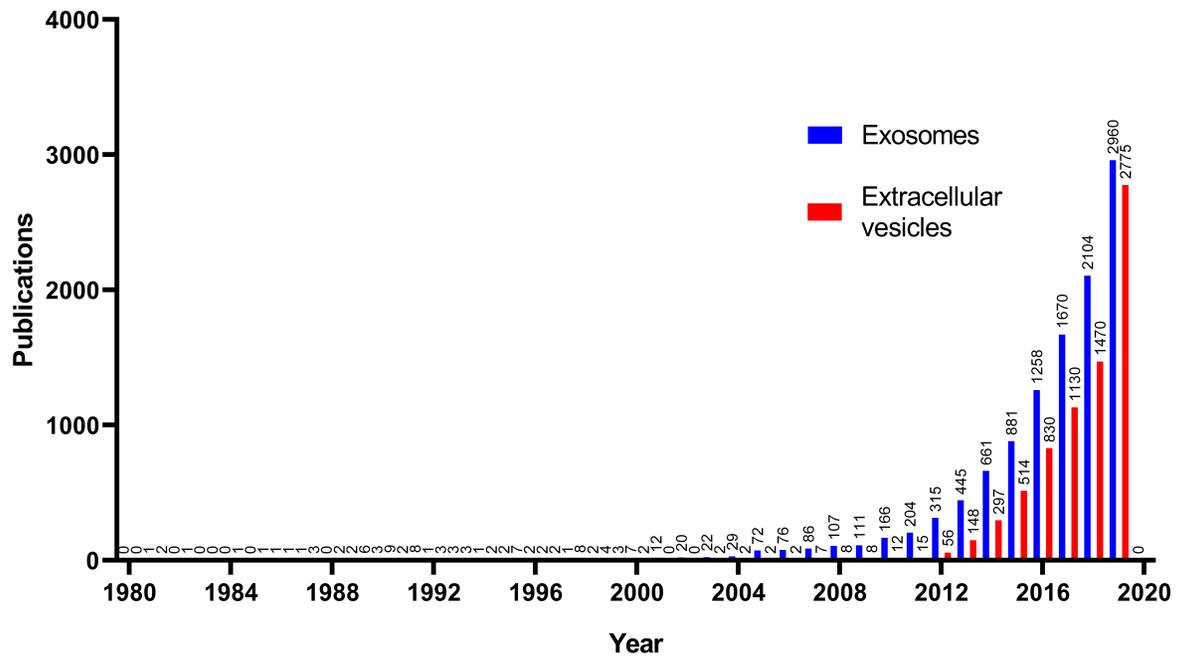


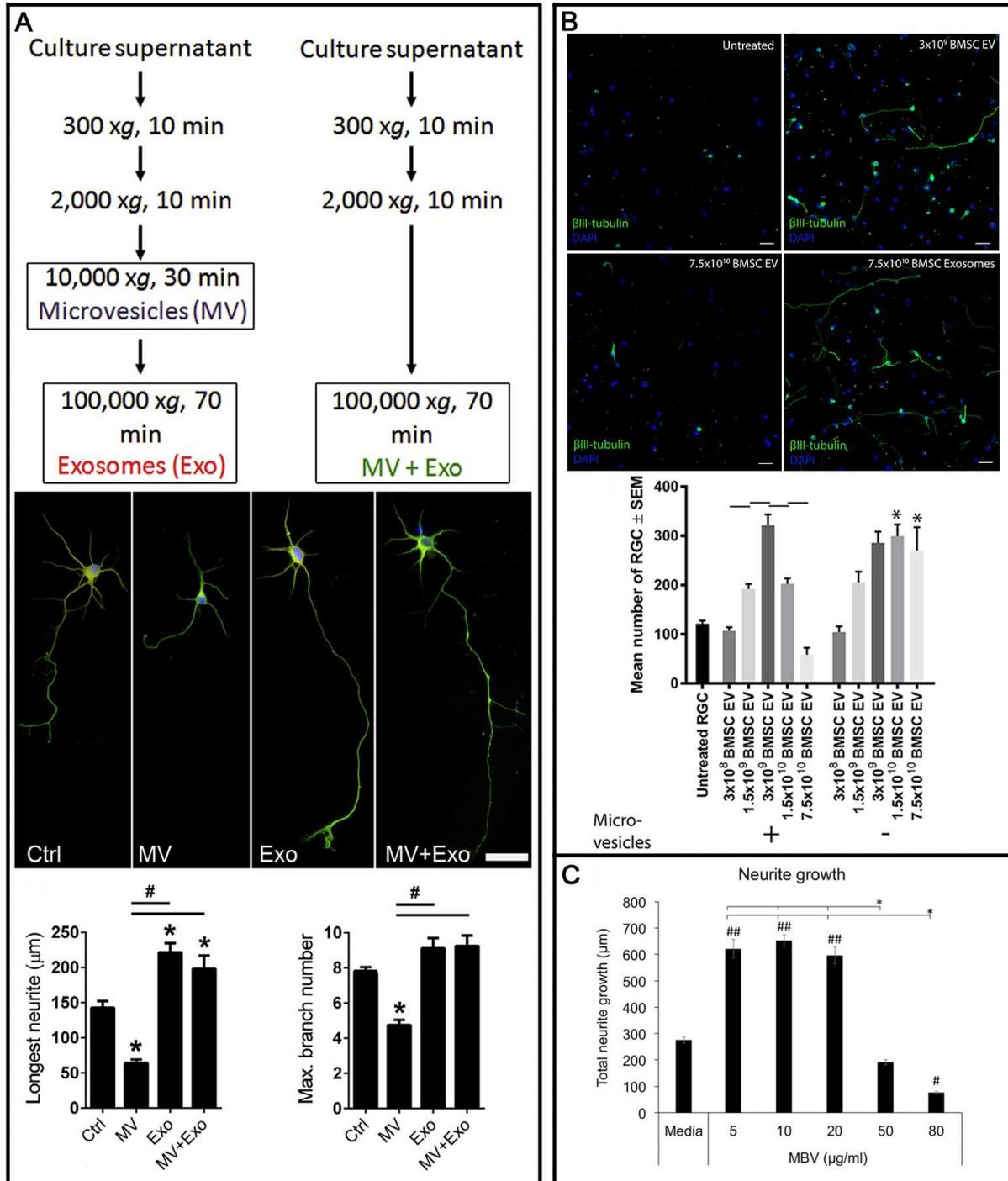
### Exosomes

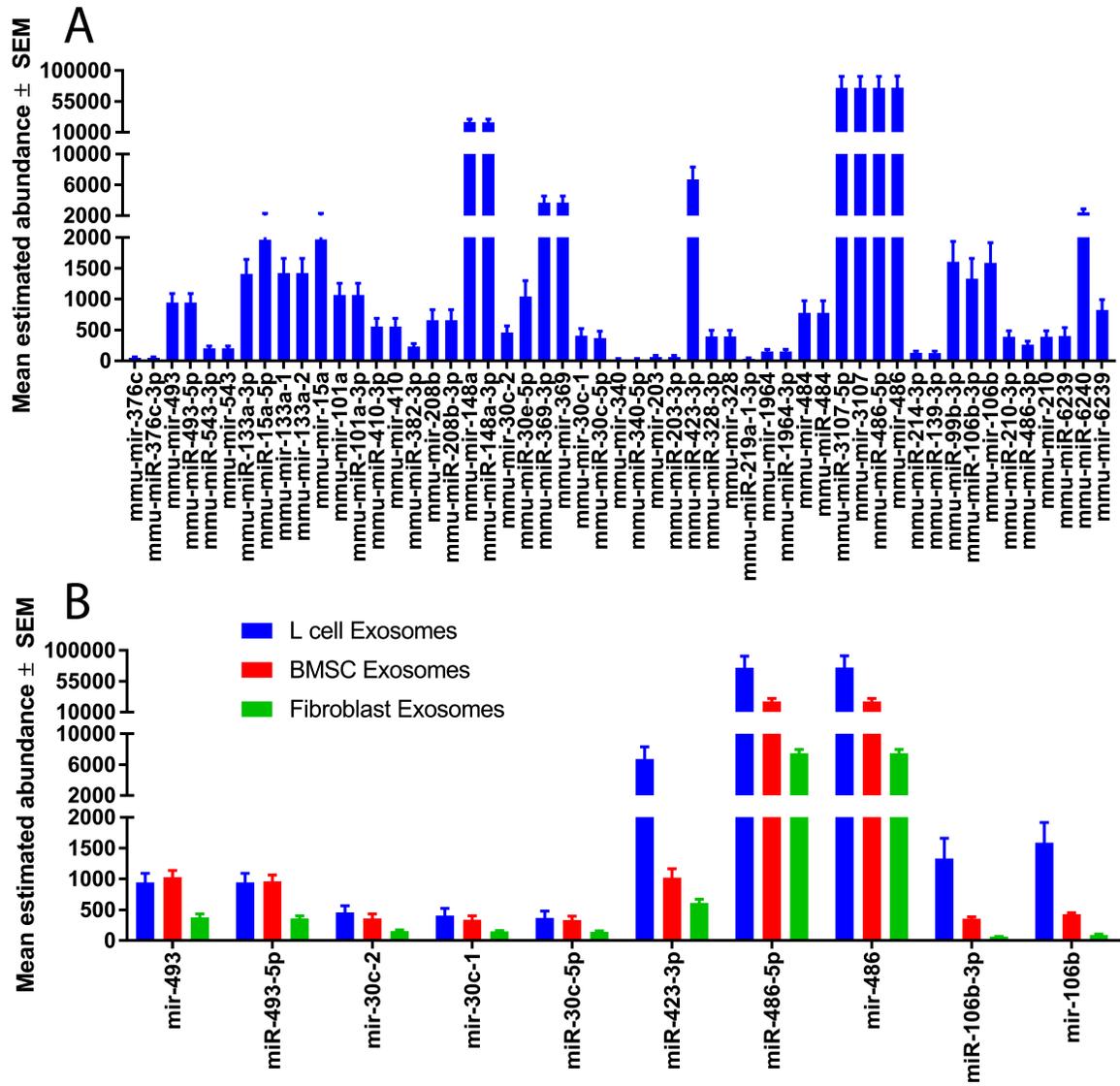


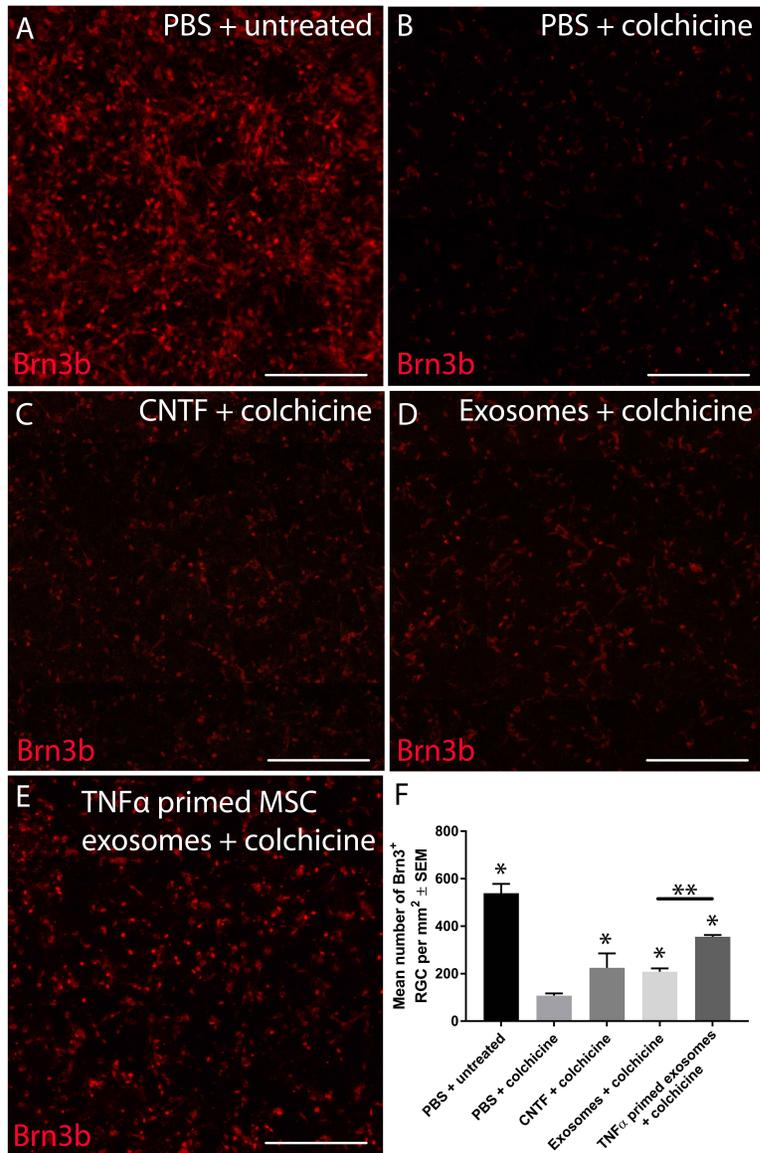
500 nm

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- Extracellular vesicles/exosomes are small membrane-bound particles containing mRNA, miRNA and protein
- Their role in intercellular signalling lends them potential as candidate therapies in the eye
- EV have demonstrated efficacy in multiple retinal disease models, acting on a variety of cell types and through a variety of mechanisms
- These mechanisms are still poorly understood, as is the most efficacious EV formulation for any particular retinal disease

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