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1       **Identification of DHX36 as a tumour suppressor through modulating the**  
2       **activities of the stress-associated proteins and cyclin-dependent kinases in**  
3       **breast cancer**

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27  
28      **Statement of conflict of interest**

29      No potential conflicts of interest were disclosed.

30  
31      **Running Title:** DEAH-box nucleic acid helicase DHX36 in breast cancer

32  
33      **Abstract**

34      The nucleic acid guanine-quadruplex structures (G4s) are involved in many aspects of cancer  
35      progression. The DEAH-box polypeptide 36 (DHX36) has been identified as a dominant nucleic  
36      acid helicase which targets and disrupts DNA and RNA G4s in an ATP-dependent manner.  
37      However, the actual role of DHX36 in breast cancer remains unknown. In this study, we observed  
38      that the gene expression of DHX36 was positively associated with patient survival in breast cancer.  
39      The abundance of DHX36 is also linked with pathologic conditions and the stage of breast cancer.

40 By using the xenograft mouse model, we demonstrated that the stable knockdown of DHX36 via  
41 lentivirus in breast cancer cells significantly promoted tumour growth. We also found that, after  
42 the DHX36 knockdown (KD), the invasion of triple-negative breast cancer cells was enhanced. In  
43 addition, we found a significant increase in the number of cells in the S-phase and a reduction of  
44 apoptosis with the response to cisplatin. DHX36 KD also desensitized the cytotoxic cellular  
45 response to paclitaxel and cisplatin. Transcriptomic profiling analysis by RNA sequencing  
46 indicated that DHX36 altered gene expression profile through the upstream activation of TNF,  
47 IFN $\gamma$ , NF $\kappa$ b and TGF $\beta$ 1. High throughput signalling analysis showed that one cluster of stress-  
48 associated kinase proteins including p53, ROCK1 and JNK were suppressed, while the mitotic  
49 checkpoint protein-serine kinases CDK1 and CDK2 were activated, as a consequence of the  
50 DHX36 knockdown. Our study reveals that DHX36 functions as a tumour suppressor and may be  
51 considered as a potential therapeutic target in breast cancer.

52 **Keywords:** DHX36, breast cancer, progression, stress-associated protein, CDK.

53

## 54 **Introduction**

55 Breast carcinoma is one of the most common malignancies in women. Approximately 2.1 million  
56 new cases are diagnosed every year worldwide, which accounts for 25% of all the new female  
57 cancer cases, whereas 0.6 million deaths occur with a 5 year-survival range from 1-37% [1, 2].  
58 The incidence, mortality rates and survival of breast cancer vary considerably, depending on  
59 complicated risk factors, subtype and stage. For instance, the triple-negative breast cancer (TNBC)  
60 that is characterized by a lack of expression of estrogen receptor (ER), progesterone receptor (PR),  
61 or human epidermal growth factor receptor 2 (HER2), is the most aggressive subtype of the breast  
62 cancer, with the highest rate of relapse and metastasis and the worst overall prognosis than other  
63 breast cancer subtypes. Hormone receptor-positive tumours like luminal A and luminal B can be  
64 treated with endocrine therapy, while a HER2-targeted therapy is usually used when HER2 is  
65 overexpressed. However, there is currently no targeted therapy available for the TNBC, and  
66 chemotherapy is still the main treatment despite high frequencies of resistance. Therefore, novel  
67 biomarkers are needed for a more efficient treatment of some breast cancer subtypes such as TNBC.

68 DNA and RNA guanine-quadruplex structures (G4s) are often over-represented in gene promoter  
69 regions, regulatory regions of the human genome and untranslated regions of mRNAs. For  
70 example, G4s have been found in the gene promoters of proto-oncogenes including *MYC*, *KRAS*,  
71 *BCL-2* and *MLL*. The G4s are also enriched in the mRNAs of retinoblastoma protein 1 (*RBI*),  
72 *TP53*, vascular endothelial growth factor (*VEGF*), hypoxia-inducible factor 1 $\alpha$  (*HIF1 $\alpha$* ), the  
73 transcription factor *MYB*, platelet-derived growth factor  $\alpha$  polypeptide (*PDGFA*), PDGF receptor  
74  $\beta$  polypeptide (*PDGFR $\beta$* ), and human telomerase reverse transcriptase (*TERT*). Therefore altered  
75 G4s have been implicated in cancer development and progression through mediating gene  
76 promotor activity or translation process [3].

77 Nucleic acid helicases are a large group of essential enzymes involved in a wide range of major  
78 DNA/RNA processing events, including DNA replication, RNA splicing, mRNA stability,  
79 ribosomal RNA maturation, microRNA processing, ribonucleoprotein (RNP) complex  
80 remodelling and RNA trafficking. The roles of some helicases (e.g. DDX1, DDX3, DDX5, DHX9,  
81 DDX41 and DDX43) in cancer have been well documented. For example, they can regulate  
82 tumourigenesis through the interaction with genes including *BRCA1*, *p53*, *c-Myc*, *Snail* and *E-*

83 *cadherin*, and the modulation of some signalling pathways such as Wnt/ $\beta$ -Catenin, L1TD1-RHA-  
84 LIN28 and NF- $\kappa$ B signalling pathways [4, 5]. The DEAH-box polypeptide 36 (DHX36) was  
85 originally identified as a dominant ATP-dependent DEAH-box helicase highly specific for DNA  
86 and RNA G4s, and is also termed RNA helicase associated with AU-rich RNA element (RHAU)  
87 or G4 resolvase-1 (G4R1) [6].

88 DHX36 specifically binds and unwinds the G4-quadruplex motif with its ATPase and resolving  
89 activity. DHX36 has been considered as the major source of RNA G4-resolving activity in HeLa  
90 cell lysate. The depletion of DHX36 protein in HeLa cells causes a dramatic reduction in G4-  
91 DNA- and G4-RNA-resolving process. DHX36 contributes to genomic integrity and helps the  
92 transcription and the translation process by unwinding the secondary structures of certain nucleic  
93 acids. DHX36 also modulates some genes containing the G-quadruple forming regions, such as  
94 *p53*, *PITX*, *YY1*, *VEGF* and *ESR1* [7, 8]. For instance, DHX36 regulates *p53* pre-mRNA 3'-end  
95 processing following UV-induced DNA Damage. PITX1 protein acts as a tumour suppressor, and  
96 a reduction in its expression is associated with poor overall survival in lung cancer patients [9].  
97 YY1 and VEGF proteins play a multifunctional regulatory role in breast cancer, while ESR1 is a  
98 predictor of clinical response to neoadjuvant hormonal therapy in breast cancer [10-12]. DHX36  
99 can also interact with the pre-miR-134 terminal loop thus reduces the biosynthesis of miR-134 in  
100 neuronal dendrites [13]. Interestingly, miR-134 is implicated as a possible regulator in some  
101 cancer types and this may reinforce the role of DH36 in tumours [14, 15]. It has also been reported  
102 that a long non-coding RNA gene G-Quadruplex Forming Sequence Containing lncRNA (GSEC)  
103 can antagonize DHX36 of its G-quadruplex unwinding activity which subsequently enhances the  
104 migration of colon cancer cells [16]. Despite the scattered findings above, the role of DHX36 in  
105 breast cancer has not been determined. Therefore in this study, we aimed to investigate the  
106 functions of DHX36 in breast cancer cells and its carcinogenesis *in vivo*.

107

## 108 **Materials and Methods**

### 109 **Cell lines and culture conditions**

110 All the breast cancer cell lines were purchased from the American Type Culture Collection (ATCC)  
111 and maintained at low passage (less than 20). Cells were cultured at 37°C in a humidified incubator  
112 supplied with 5% CO<sub>2</sub>. The breast cancer cell lines were cultured in Dulbecco's modified Eagle's  
113 medium/F12K (Sigma-Aldrich, Dorset, UK) supplemented with 10% foetal calf serum (FCS, PAA  
114 Laboratories Ltd., Somerset, UK), penicillin (100U/ml), and streptomycin (100mg/ml) (Sigma-  
115 Aldrich).

### 116 **Lentiviral infection with DHX36 shRNA**

117 Lentiviral vectors containing short hairpin RNAs (shRNA) specific for DHX36 and the control  
118 shRNA (Scr control) were obtained from VectorBuilder (Santa Clara, CA, USA). The vectors were  
119 assembled with EGFP as a reporter and neomycin resistant gene for selection. HEK293T  
120 packaging cells were transduced with viral packaging (psPAX2), viral envelope (pMD2G) and  
121 lentiviral plasmid vectors using FuGENE 6 transfection reagent (Promega, Southampton, UK) in  
122 serum-free OPTI-MEM (Invitrogen, Carlsbad, CA, USA). Four and five days after transfection,  
123 the supernatant containing the packaged viral particles was collected and filtered through a 0.45um  
124 filter. MDA-MB-231 and BT549 breast cancer cells were then infected using the lentiviral  
125 supernatant in the presence of 8  $\mu$ g/ml Polybrene (Sigma-Aldrich). After 48 hours, the cells were

126 selected with 1.2 mg/ml G418 for 7 days, and maintained in a growth medium with 300ug/ml  
127 G418. After selection, the stable breast cancer cell lines spontaneously expressed GFP which could  
128 be visualized under a fluorescence microscope.

### 129 **Drug cytotoxicity assay**

130 Breast cancer cells at a density of 8000 cells/well were seeded into 96-well plates and starved using  
131 a medium containing 2% FCS. Cells were then treated with a serial dilution of cisplatin (Tocris  
132 Cookson Ltd., Bristol, UK), paclitaxel (Tocris) and flavopiridol (Cambridge Bioscience,  
133 Cambridge, UK), respectively. The vehicle control of cisplatin was ddH<sub>2</sub>O, while the vehicle  
134 control of paclitaxel and flavopiridol was DMSO. After treatment for 24 and 48 hours, the cells  
135 were stained with Alamar Blue (Bio-Rad, Cambridge, MA, USA) following the manufacturer's  
136 instruction. The fluorescence was read with an excitation wavelength of 530 nm and the emission  
137 at 590 nm using a Glomax Multi Detection System (Promega).

### 138 **Cell-matrix adhesion assay**

139 Tissue culture plates (96-well black-well) were pre-coated with 3 mg/ml of Matrigel Matrix in  
140 serum-free medium (BD Biosciences, San Diego, CA, USA) and left overnight at 37°C. Cells at a  
141 density of 10,000 cells/well were seeded onto the pre-coated plates. Following incubation for 1  
142 hour, the non-adherent cells were washed off with PBS. The adherent cells were stained with 1  
143 μM of Calcein AM (eBioscience, Hatfield, UK) for 30 minutes at 37°C. The fluorescence which  
144 is proportional to the number of the adhesive cells was read with an excitation wavelength of 485  
145 nm and the emission at 520 nm using a Glomax Multi Detection System (Promega Wisconsin  
146 USA).

### 147 **Electric cell-substrate impedance sensing (ECIS)**

148 The migration ability of breast cancer cell lines was monitored using the ECIS system. Briefly,  
149 MDA-MB-231 and BT549 cells at a density of  $2.5 \times 10^4$  cell/well were seeded onto ECIS 96W1E  
150 array plates (Applied Biophysics Inc. NY, USA). And the electrical resistance, due to the  
151 interaction of cells and gold-coated electrodes, was recorded. Once a confluent monolayer was  
152 formed, the cells were subjected to an electric wound at 2800 μA, 60 kHz for 20 seconds and the  
153 rate of change in impedance, as cells migrated onto the electrode sensing area, was subsequently  
154 monitored and analysed.

### 155 **Transwell invasion assay**

156 The membrane of 24-well inserts with an 8 μm pore size (Greiner Bio-one, Frickenhausen,  
157 Germany) was pre-coated with 300 μg/ml of Matrigel for 2 hours at 37°C.  $1 \times 10^5$  cells were then  
158 seeded onto the top chamber in 400 μl of serum-free medium, and 600 μl of the same medium  
159 containing 10% FCS was added to the lower chamber. After incubation for 24 hours, the invaded  
160 cells were detached with 400 μl of HyQtase Dissociation solution (HyClone, Logan, UT, USA)  
161 and stained with 1 μM calcein AM for 1 h. The cell solution was then transferred to a 96-well  
162 black-well plate at a volume of 100 μl/well for 3 wells per group. The fluorescence of invaded  
163 cells was measured using the Glomax Multi Detection System.

### 164 **Western blotting**

165 Cultured cells were washed twice in PBS and lysed in a RIPA buffer containing 50 mM Tris-HCl,  
166 2% SDS, 5% glycerol, 5 mM EDTA, 1 mM NaF, 10 mM β-glycerophosphate, 1 mM PMSF, 1  
167 mM Na<sub>3</sub>VO<sub>4</sub> and EDTA-free Protease Inhibitor Cocktail (Roche, Mannheim, Germany). Protein

168 concentration was determined by the Pierce BCA protein assay (Thermo Scientific, Colchester,  
169 UK). After normalization, proteins were separated by sodium dodecyl sulphate-polyacrylamide  
170 gel electrophoresis (SDS-PAGE) and transferred with a semi-dry fast transfer apparatus onto a  
171 PVDF membrane (Merck Millipore Inc., Billerica, USA). The membranes were blocked with 5%  
172 non-fat dried milk (Marvel, Premier Beverages, Stafford, UK) in PBST solution (0.05% Tween-  
173 20 in PBS) for 1 h at room temperature. The membranes were then incubated with the primary  
174 antibodies diluted in 5% milk and left overnight at 4°C. Following wash three times with PBST,  
175 the membranes were incubated with a diluted HRP-conjugated secondary antibody for 1 h at room  
176 temperature. The primary antibodies were anti-JNK (diluted 1:1000. Sc-571, Santa Cruz  
177 Biotechnology, Santa Cruz, CA, USA), anti-pJNK<sup>Thr 183/Tyr 185</sup> (diluted 1:1000. sc-6254, Santa  
178 Cruz), DHX36 (diluted 1:1000. GTX131179, GeneTex, San Antonio, TX, USA) and  $\beta$ -actin  
179 (diluted 1:5000. sc-53142, Santa Cruz). The HRP-secondary antibodies (A5278, Anti-Mouse IgG;  
180 A0545, Anti-Rabbit IgG) were diluted at 1:2000 (Sigma-Aldrich, Dorset, UK). Protein detection  
181 was performed using an EZ-ECL chemiluminescence kit (Biological Industries USA, Inc.,  
182 Cromwell, CT, USA). Immunoreactive bands were visualized and quantified by densitometry  
183 using the Syngene G: BOX chemiluminescence imaging system and Gene Tools 4.03 (Syngene  
184 Europe, Cambridge, UK).

#### 185 **Reverse transcription (RT) and real-time PCR analysis**

186 RNA was extracted from the cultured cells at the 60-80% confluency in T25 flasks using TRI  
187 Reagent (Sigma-Aldrich, Dorset, UK). Total RNA (500 ng) was reverse-transcribed to  
188 complementary DNA (cDNA) using Goscript Reverse Transcription mix (Promega). Following  
189 dilution of cDNA at a ratio of 1:8, the quantitative real-time PCR was performed based on an  
190 Amplifluor™ technology, in which a 6-carboxy-fluorescein-tagged Uniprimer™ (Biosearch  
191 Technologies, Inc., Petaluma, CA, USA.) was used as a probe along with a pair of specific primers  
192 with an addition of a Z-sequence (actgaacctgacctaca) to the 5'-end of the reverse primer [17]. The  
193 primer sequences for qPCR were: DHX36 forward primer, GTTTAAATCAGTTAACCAGACAC;  
194 DHX36 reverse primer, ACTGAACCTGACCGTACACGCAATGTTGGTAGCAATTA; JNK  
195 forward primer, CTACAAGGAAAACGTTGACA; JNK reverse primer,  
196 ACTGAACCTGACCGTACAGAACAAAACACCACCTTTGA;  $\beta$ -actin forward primer,  
197 CATTAAAGGAGAAGCTGTGCT;  $\beta$ -actin reverse primer, ACTGAACCTGACCGTACA  
198 GCTCGTAGCTCTTCTCCAG. The qPCR assays were run in a StepOnePlus system (Thermo  
199 Fisher Scientific, Waltham, MA, USA) and normalized by the corresponding threshold cycle (CT)  
200 values of  $\beta$ -actin mRNA.

#### 201 **Xenograft Tumour Model**

202 BALB/c female nude mice (6–8-week old) were purchased from Beijing Vital River Laboratory  
203 Animal Technology Co., Ltd (Beijing 100107, China) and bred in a specific pathogen-free (SPF)  
204 animal house at approximately 28 °C in an environment with approximately 50% humidity. They  
205 were randomly assigned to two groups with 10 mice/group.  $3 \times 10^6$  of stable MDA-MB-231 cell  
206 lines contains either Scr control or DHX36 shRNA were harvested, resuspended in 0.1 mL of PBS,  
207 and subcutaneously transplanted into mammary fat pads of the allocated mice. Each mouse  
208 received one injection. The Tumour size was measured with a calliper every 3-4 days and  
209 calculated in  $\text{mm}^3$  using the formula for a prolate spheroid ( $\text{width}^2 \times \text{length} \times 0.523$ ). When the  
210 tumour mass reached the maximally allowed size (16 mm in diameter), the mice were imaged  
211 using an IVIS imaging system (Perkin Elmer, Santa Clara, CA, USA) following the manufacturer's

212 instruction. The mice were then sacrificed and the tumours were excised, photographed and  
213 weighted. The freshly dissected tumours were fixed in 10% formalin overnight and embedded in  
214 paraffin. All the animal experiments were approved by the Institutional Animal Care and Use  
215 Committee of Sun Yat-Sen University Cancer Centre.

#### 216 **Immunohistochemistry (IHC) of tissue microarray**

217 The breast cancer tissue microarrays were purchased from US Biomax Inc. (BR1921b, HBre-  
218 Duc140Sur-01 and BR1503e. Rockville, MD, USA). The standard indirect biotin-avidin  
219 immunohistochemical analysis was used to evaluate the DHX36 protein expression. Briefly, the  
220 microarray slides were placed in an oven with 50 °C for 1 day to facilitate the adhesion of tissue  
221 sections to the slides. The tissue microarrays were then dewaxed and rehydrated by sequential  
222 treatment (5 min per step) with xylene, xylene/ethanol, a serial dilution of ethanol (100%, 90%,  
223 70%, 50%), distilled H<sub>2</sub>O and Tris-buffered saline (TBS) buffer. Antigen retrieval was performed  
224 by placing the slides in a plastic container, covered with 0.01 M sodium citrate buffer (pH6.0)  
225 antigen retrieval buffer, and heated in a microwave on full power for 20 minutes. Endogenous  
226 peroxide activity was blocked by incubating the sections with 3% hydrogen peroxide for 10  
227 minutes. After 1 hour of pre-incubation in 5% normal goat serum to block nonspecific staining,  
228 the sections were incubated with 7.5 µg/ml of the DHX36 antibody (GTX131179. GeneTex)  
229 overnight at 4°C. The slides were then washed four times with TBS, and incubated with a universal  
230 biotinylated secondary antibody (ABC Elite Kit, Vectastain Universal, PK-6200, Vector  
231 Laboratories, CA, USA) for 30 minutes. Following washing with TBS, the sections were incubated  
232 with avidin-biotin-peroxidase complex (ABC) for 30 minutes. The 3, 3'-diamino-benzidine (DAB)  
233 substrate (5 mg/ml) was used to develop the final reaction product. The sections were then rinsed  
234 in water, counterstained with Gill's hematoxylin (Vector Laboratories), and dehydrated through a  
235 series of graded alcohols, cleared in xylene and mounted in DPX/Histomount (Merck Millipore,  
236 UK). Images were captured using an EVOS FL Auto 2 Cell Imaging System (ThermoFisher  
237 Scientific). All IHC images were manually evaluated and scored by two pathologists  
238 independently who were blinded to the clinical information. The immunochemical score was  
239 calculated based on intensity plus the percentage of tumour staining. The cut-off value was set as  
240 an upper quarter of the score divided into a high and low expression of DHX36 protein.

#### 241 **Flow cytometry**

242 Cultured cells were detached with trypsin/EDTA and fixed with the IC fixation buffer  
243 (ThermoFisher Scientific) for 1 h at room temperature, then resuspended in ice-cold 100%  
244 methanol, and incubated overnight at -20 °C. Cells were then washed twice in FACS buffer (2 mM  
245 EDTA in PBS, pH 7.4), blocked with 1% bovine serum albumin (BSA) in PBS with 0.1% Tween  
246 for 1 hour. For the staining with antibodies, cells were incubated with diluted primary antibodies  
247 (1:100) including normal mouse IgG (14-4714-82, ThermoFisher Scientific), cleaved poly (ADP-  
248 ribose) polymerase (PARP) (14-6668-82, ThermoFisher Scientific), JNK and p-JNK, respectively,  
249 for 1 hour at room temperature. Cells were then incubated with Alexa Fluor 647-conjugated goat  
250 anti-mouse IgG antibodies (1:1000; A21235, ThermoFisher Scientific) for 30 minutes at room  
251 temperature. For cell cycle analysis, cells were harvested and blocked as described above, and then  
252 directly incubated with Hoechst 33342 (10 µg/ml. H3570, ThermoFisher Scientific) for 1 hour at  
253 37°C in the dark. Following the final wash with FACS buffer, FACS was performed using BD  
254 FACS Canto II flow cytometer equipped with FACS Diva Software (version 6.1.2. BD

255 Biosciences, San Jose, CA, USA). FACS data were analysed using FCS Express software (version  
256 4. De Novo Software, Los Angeles, CA, USA).

### 257 **Bioinformatic analysis of gene expression and survival**

258 The association between DHX36 gene expression and the survival of breast cancer patients was  
259 assessed using the pooled gene expression data from [www.kmplot.com](http://www.kmplot.com). The online tool allowed  
260 us to analyse both the OS (overall survival) and RFS (relapse-free survival) from 626 cases of  
261 breast cancer and the RFS from 1764 cases which were subjected to expression profiling with  
262 Affymetrix GeneChip microarray (DHX36 Probeset ID: 223140\_s\_at). The Auto select best cutoff  
263 was chosen. The differential expression of DHX36 was examined by a pooled analysis of The  
264 Cancer Genome Atlas-Breast invasive carcinoma (TCGA-BRCA) dataset which contains 1097  
265 breast-cancer patients and 114 normal samples.

### 266 **RNA sequencing (RNA-Seq)**

267 The global transcriptomic profiling was analysed by RNA-Seq on the BGISEQ-500RS sequencer  
268 (BGI, Shenzhen, China) which generated 50-bp paired-end reads. The statistical enrichment and  
269 molecular network of differentially expressed genes (DEGs) were analysed using the Ingenuity  
270 Pathway Analysis (IPA) software (Qiagen, Germany).

### 271 **Kinexus Kinex antibody microarray**

272 The stable breast cancer cell lines were seeded in T75 flasks and incubated in DMEM  
273 supplemented with 10% FCS at 37°C. When the confluence was approximately 80%, the cells were  
274 then washed twice, and the culture medium was replaced with DMEM with 2% FCS. After  
275 incubation overnight, cells were suspended in lysis buffer, pH 7.4, containing 100mM Tris Buffer,  
276 10% 2-ME, 1% NP-40, protease inhibitor cocktail tablet and 50mM NaF. The lysates were  
277 vortexed and homogenized on a blood wheel for 1 hour at 4 °C. The supernatant of the lysates was  
278 then collected by centrifugation for 30 minutes at 15,000 rpm at 4 °C, the protein concentration in  
279 the supernatant was determined by a fluorescamine protein quantification assay (Sigma-Aldrich).  
280 Proteomic analysis of pan-specific and phosphorylated proteins was carried out using a high  
281 throughput Kinex antibody microarrays (900 antibodies, Kinexus Bioinformatics)  
282 (<http://www.kinexus.ca/services>).

### 283 **Statistical analysis**

284 For quantitative measurement, including cell-based assays and gene expression profiling, the  
285 Shapiro-Wilk test was used to verify whether the data were normally distributed. For the  
286 comparison of the difference from two subjects, an unpaired t-test was used for data with normal  
287 distribution, whereas, for non-normal distribution, the Mann-Whitney Rank Test was applied.  
288 When more than two sets of data were compared, either One-Way ANOVA or the non-parametric  
289 Kruskal-Wallis test was used. Pearson chi-square test was used to test the association of the  
290 categorized scoring data from tissue microarray IHC staining and clinical features. Graphs and the  
291 statistical analysis were performed using R (version 3.6.1, <https://www.r-project.org>) or GraphPad  
292 Prism 8 software (GraphPad Software, San Diego, CA, USA). Statistical significance was  
293 indicated with the following nomenclature: \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$  unless the p-values  
294 were shown.

295

## 296 **Results**

297 **Lower gene expression of DHX36 is associated with poorer survival**

298 We assessed the prognostic value of DHX36 gene expression in breast cancer using the Kaplan–  
299 Meier plotter containing 1764 samples from breast cancer patients. As shown in Fig. 1A and 1B,  
300 lower expression of DHX36 mRNA correlated to poorer OS (HR=0.63 (0.45-0.88). p=0.0059) and  
301 RFS (HR=1.32 (1.13-1.54). p<0.001) when all the types of breast cancer were pooled together.  
302 We then analysed the differential expression of the DHX36 gene in the database of the TCGA  
303 invasive breast carcinoma (TCGA-BRCA). It showed that there is a lower level of DHX36 gene  
304 expression in primary tumour compared to normal tissue control (p=0.0092; Fig. 1C). The DHX36  
305 gene expression was downregulated in the later stages (T3+T4) of the breast carcinoma compared  
306 to the earlier stages (T1+T2) (p=0.0096, Fig. 1D). Also, it appeared that the gene expression level  
307 of DHX36 was higher in TNBC (n=123) than in non-TNBC (n=605) (p<0.0001, Fig. 1E)

308 **Low level of the DHX36 protein also predicts poor survival as indicated by tissue microarray**  
309 **IHC**

310 We then estimated the IHC staining of the DHX36 protein in breast cancer tissue microarrays from  
311 patient specimens. As shown in Table 1 and Figure 1F&G, the samples from patients at a higher  
312 stage (2&3) showed weaker staining of DHX36 (score 0&1) (p=0.034 than lower stage). When  
313 we compared the different pathological types, the frequency of the lower stained DHX36 was  
314 higher in IDC (214/277=77.26%) than in ILC (3/81=3.70%) and in adjacent normal tissue  
315 (9/34=26.47%) (p<0.001). The expression level of the DHX36 protein also appeared to be  
316 associated with the pathological diagnosis (p<0.001), HER2 intensity (p<0.001), ER intensity  
317 (p<0.001) and PR intensity (p<0.001). We then performed Kaplan-Meier survival analysis using  
318 a dataset of one array with definite follow-up status (n=140, #HBre-Duc140Sur-01). A higher level  
319 of DHX36 protein expression correlated with favourable survival of breast cancer patients (Figure  
320 1H). The thumbnail IHC images of the three tissue microarrays were shown in Supporting  
321 Information Fig. S1.

322 **Knockdown of DHX36 in TNBC cells increased the invasion ability and suppressed the**  
323 **migration of the tumour cells *in vitro***

324 To understand the role of DHX36 in breast cancer, we selected two TNBC cell lines for a stable  
325 DHX36 knockdown after initial evaluation of the gene and protein expression levels of DHX36 in  
326 a panel of breast cancer cells (Supporting Information Fig. S2). As shown in Figure 2, after the  
327 establishment of the stable knockdown cell lines using BT549, all three shRNAs (1, 2 and 8)  
328 reduced the gene expression of DHX36 when compared with scramble (Scr) shRNA and wild-type  
329 (WT) controls (Figure 2A). Likewise, this was also the case in the stable cell lines developed from  
330 MDA-MB-231 (Figure 2B). The Western blotting images also showed that in BT549, the DHX36  
331 protein level was dramatically reduced in all three cell subsets (shRNA 1, 2 and 8) with the  
332 shRNA2 showing the best efficiency (Figure 2C). As expected, the WT and Scr controls showed  
333 a higher expression of DHX36 protein. The efficiency of shRNA2 was subsequently demonstrated  
334 in the stable cell lines developed from MDA-MB-231. Therefore in the following experiments,  
335 only the stable cell lines with shRNA2 were utilised (named as shRNA unless otherwise described).

336 We then evaluated the effect of DHX36 expression on tumour cell invasion using the Matrigel-  
337 coated transwell chamber. As shown in Figure 2E, in BT549 cells, DHX36 shRNA increased the  
338 invasion by 6.39% compared to the Scr control (p<0.0001). Similarly, in MDA-MB-231 cells,  
339 DHX36 shRNA increased the invasion by 10.83% compared to the Scr control (p=0.041. Figure  
340 2F). We monitored the cell migration using the ECIS system and found that DHX36 shRNA

341 inhibited the migration of the breast cancer cells after the electric wound ( $p < 0.01$  vs. Scr control,  
342 respectively. Figure 2G-H).

343

344 **Knockdown of DHX36 in TNBC cells increases the S-phase cell population and de-sensitize**  
345 **the apoptotic response to cisplatin.**

346 We investigated the role of DHX36 in the cell cycle by flow cytometry. As shown in Figure 3,  
347 DHX36 knockdown in the BT549 stable cell lines increased the S-phase population to 36.23%  
348 from 25.91% (Scr). Similarly, in the MDA-MB-231 stable cell line, DHX36 knockdown increased  
349 the S-phase cell population to 43.65% from 33.37% (Scr).

350 We then evaluated the effect of DHX36 knockdown on apoptosis of the breast cancer cells using  
351 the cleaved PARP as an indicator. In the BT549 stable cell lines, the knockdown of DHX36  
352 decreased the basal apoptotic level by approximately 19.96% compared to its Scr control (Figure  
353 3E&3F); cisplatin (16  $\mu\text{M}$ , 24 hours) increased the apoptosis of the Scr control by approximately  
354 45.14%, but just increased the apoptosis of the DHX36 knockdown group by approximately 18.62%  
355 in comparison to its vehicle (PBS) control (Figure 3G&3H). In the MDA-MB-231 stable cell lines,  
356 the knockdown of DHX36 decreased the basal apoptotic level by approximately 26.06% compared  
357 to its Scr control (Figure 3I&3J); cisplatin increased the apoptosis of the Scr control by  
358 approximately 69.53%, and increased the apoptosis of the DHX36 knockdown group by  
359 approximately 69.69% in comparison to its vehicle (PBS) control (Figure 3K&3L). The data,  
360 therefore, suggest that DHX36 may modulate the intrinsic apoptosis of breast cancer cells. And it  
361 appeared that cisplatin raised a stronger apoptotic response in MDA-MB-231 cells than BT549  
362 cells.

363

364 **Knockdown of DHX36 desensitizes the susceptibility of breast cancer cells to**  
365 **chemotherapeutic drugs in a cell- and dose-dependent manner.**

366 We then evaluated the cytotoxic response of breast cancer cells to some chemotherapeutic drugs  
367 including paclitaxel and cisplatin, respectively. As shown in Figure 4A, in MDA-MB-231 cells,  
368 following 24-hour treatment, the decrease of cell viability started to be observed from 5nM  
369 paclitaxel in the Scr group, while the DHX36 knockdown group showed the viability decrease  
370 from 10 nM. The suppression of the cellular susceptibility to paclitaxel by DHX36 knockdown  
371 can be seen from 5 nM to 40 nM in comparison to the Scr controls ( $p < 0.01$  vs Scr, respectively).  
372 The response difference between the two stable MDA-MB-231 cell lines to paclitaxel began to  
373 disappear following treatment for 48 hours (Figure 4B). Similarly, the lower level of cytotoxic  
374 response to paclitaxel was observed in the DHX36-knockdown BT549 cell line compared to the  
375 Scr control after treatment for 24 hours (Figure 4C). Again, the response difference of the two  
376 stable BT549 cell lines to paclitaxel began to disappear following treatment for 48 hours (Figure  
377 4D). Independent to the effect of DHX36 knockdown, we noticed that the control BT549 cell line  
378 was more sensitive to paclitaxel (starting from 2.5 nM) compared to the control MDA-MB-231  
379 cell line (starting from 5 nM). Following treatment with multiple doses of cisplatin for 24 hours,  
380 the DHX36-knockdown MDA-MB-231 cell line showed a higher proliferation ratio thus lower  
381 cytotoxicity in response to the doses of 32  $\mu\text{M}$  ( $p < 0.05$ ) and 64  $\mu\text{M}$  ( $p < 0.01$ ) than the Scr control  
382 (Figure 4E). The response significance of the two stable MDA-MB-231 cell lines to cisplatin was

383 observed to sustain following treatment for 48 hours ( $p < 0.01$  for the two high working doses)  
384 (Figure 4F). Likewise, In BT549 cells, DHX36 knockdown also led to a reduction of the cellular  
385 response to cisplatin following treatment for 24 and 48 hours (Figure 4G&4H). We also confirmed  
386 that the control BT549 cell line was more sensitive to cisplatin (starting from 1  $\mu\text{M}$ ) compared to  
387 the control MDA-MB-231 cell line (starting from 4  $\mu\text{M}$ ).

### 388 **Knockdown of DHX36 promotes breast cancer development in a mouse xenograft**

389 To examine whether the DHX36 knockdown promotes breast cancer growth *in vivo*, we inoculated  
390 the stable MDA-MB-231 cells with and without DHX36 knockdown in nude mice. The in-vivo  
391 fluorescence imaging analysis indicated that all the nude mice showed some tumour growth after  
392 implantation with the stable cell lines containing either the Scr control (Figure 5A) or the DHX36  
393 shRNA (Figure 5B), and tumours with bigger sized could be visualized from the group of the  
394 DHX36 shRNA. The quantification of individual tumour fluorescence images confirmed that the  
395 mice group of the DHX36 shRNA had larger total tumour pixels (proportional to tumour size)  
396 compared to the Scr control ( $p < 0.01$ , Figure 5C). Likewise, the mice group of the DHX36 shRNA  
397 showed a higher level of integrated density of tumour fluorescence (proportional to tumour mass  
398 density) compared to the Scr control ( $p < 0.05$ , Figure 5D). The data of the time-lapse physical  
399 measurement of the xenograft mice indicated that the mice with the DHX36 knockdown started to  
400 develop a bigger tumour mass (average tumour volume in  $\text{mm}^3$ ) than the Scr control after 2 weeks,  
401 and continued the trend of accelerated tumour growth until the end of examination at Day 46  
402 ( $p < 0.001$ , Figure 5E). No significant change of body weight was observed between the two mice  
403 groups over the course of measurement ( $p > 0.05$ , Figure 5F). The dissected tumours from the mice  
404 group containing the DHX36 knockdown cells at the endpoint (Day 46) presented larger tumours  
405 (Figure 5G). The measurement of the tumour weight confirmed that the tumours from the DHX36  
406 shRNA group were dramatically heavier than the Scr control ( $p < 0.001$ , Figure 5H). This result  
407 therefore indicated that knockdown of DHX36 in MDA-MB-231 cells promoted tumourigenesis,  
408 suggesting that DHX36 expression may be crucial for the suppression of neoplastic growth.

409

### 410 **RNA-Seq transcriptome analysis of stable breast cancer cells indicates that DHX36 is** 411 **involved in multiple gene regulation pathways.**

412 We performed an RNA-Seq transcriptome analysis to examine the gene expression profile altered  
413 by DHX36 shRNA. Overall, following DHX36 knockdown, 2.05% of genes were regulated in  
414 BT549 cells, while 1.90 % of genes were regulated in MDA-MB-231 cells (Figure 6A and B). The  
415 top 10 upregulated genes by DHX36 knockdown in both the breast cancer cell lines were CHI3L2,  
416 MAF, SNAI1, BMP2, ADRA2C, HSD17B10, TGM2, DYNLRB1, SNX15 and RAP1GAP2. And  
417 the top 10 downregulated genes were MMP1, MRGPRF, NLRP10, ATP10A, SUSP2, FAM167A,  
418 ITGB2, CYP26B1, UXS1 and PCSK1N (Heatmap showed in Figure 6C). As indicated by the  
419 gene ontology analysis, DHX36 knockdown altered gene regulation of cell-to-cell signalling and  
420 interaction, cellular growth and proliferation, cell signalling and other cellular function (Figure  
421 6D). The upstream regulator of these genes could be some cytokines or complex such as TNF,  
422  $\text{IFN}\gamma$  and  $\text{NF}\kappa\text{B}$ , as predicted by using the Ingenuity® Pathway Analysis (IPA) (Figure 6E).

### 423 **DHX36 plays its role in breast cancer cells through stress-associated proteins and mitotic** 424 **checkpoint protein-serine kinase.**

425 We used the Kinex antibody array to determine the molecular signalling mechanisms of DHX36  
426 induced invasion and tumourigenesis in breast cancer cells. As shown in Figure 7, two clusters  
427 of proteins were identified to be differentially expressed following the DHX36 knockdown. In  
428 DHX36 deficient MDA-MB-231 cells, within the cluster of the stress associated kinase proteins,  
429 the pan-specific p53, and the phosphorylated p53 protein isoform of S6 were reduced by 47 % and  
430 30%, respectively. Within the same cluster, the pan-specific and phosphor (Y913) forms of  
431 ROCK1 were also decreased by 34% and 28%, respectively. We also observed the inactivation of  
432 the other phosphorylated stress-associated kinase proteins including MYPT1 (T696), MDM2  
433 (S166) and MLC (S19). However, following DHX36 knockdown, the cluster of the Mitotic  
434 checkpoint protein-serine kinase proteins was found to be activated. The levels of the  
435 phosphorylated proteins of CDK1/2 (T161), CDK1 (T14), CDK1 (T161), CDK1/2 (T14+Y15),  
436 CDK1 (T14+Y15) were increased by 133%, 109%, 80% and 60%, respectively. The original  
437 images of the Kinex antibody array analysis were shown in Supporting Information Fig. S3.

438 To instigate whether the increase in the CDK levels in the breast cancer cells has an effect on the  
439 response susceptibility when CDK is inhibited. We performed proliferation after 48h treatment  
440 with to flavopiridol, a CDK inhibitor by ATP competition. The results showed that after DHX36  
441 knockdown, MDA-MB-231 cells were more sensitive to the inhibitory effect of flavopiridol at  
442 different doses including 100 nM (p=0.0087), 200 nM (p=0.0044) and 400 nM (p=0.0022)  
443 (Supporting Information Fig. S4A). BT549 also showed a higher sensitivity following DHX36  
444 knockdown at a dose from 50 nM (p=0.0043) to higher doses including 100 nM (p=0.0022), 200  
445 nM (p=0.0022) and 400 nM (p=0.0022) (Supporting Information Fig. S4B).

446 By FACS analysis, we also found that, following the knockdown of DHX36 in BT549 cells, the  
447 total and phosphorylated protein levels of JNK were reduced by 36.66 and 35.50%, respectively  
448 (Figure 7C-F). Similarly, in DHX36-deficient MDA-MB-231 cells, the total and phosphorylated  
449 protein levels of JNK was reduced by 20.57 and 16.92%, respectively (Figure 7G-J). The reduction  
450 of JNK and pJNK in both cell lines following the DHX36 knockdown, was confirmed by Western  
451 blotting (Figure 7K). The qPCR data indicated that the JNK gene expression level was  
452 downregulated in cells with the DHX36 shRNA (Figure 7L).

453

## 454 **Discussion**

455 There are enormous challenges to elucidate the molecular mechanisms that lead to breast cancer  
456 progression and identify new biomarkers for the early detection of this disease[18]. RNA helicases  
457 could participate in tumour development and aggression by remodelling complex RNA structures  
458 or altering translation of some pro-oncogenic mRNAs [19, 20]. DHX36 is one of the members of  
459 the DEAH-box helicases, but its role in breast cancer remains unknown.

460 In this study, we identified that DHX36 acts as a prognostic marker in breast cancer. By using the  
461 Kaplan Meir survival analysis, we showed that a higher gene expression level of DHX36 is  
462 associated with a better OS and RFS in breast cancer patients. Interestingly, the gene expression  
463 level of DHX36 in the TNBC is higher than in non-TNBC subtypes. The IHC data indicate that in  
464 breast cancer tissues, elevated levels of DHX36 correlate with better overall survival. This is  
465 confirmed by the findings in breast cancer tissues, where high levels of DHX36 are associated  
466 with a higher stage of the disease.

467 In addition, the lower staining of DHX36 was observed more frequently in the invasive ductal  
468 carcinoma (IDC) than in the invasive lobular carcinoma (ILC) tissues. IDC and ILC are different  
469 in multiple clinicopathological features and it is believed that ILC has a favourable response to  
470 systemic therapy compared to IDC [21]. However, the pooled analysis using the KM-Plot online  
471 database indicates that the DHX36 gene expression level may be positively associated with  
472 metastasis and short survival in other solid tumours, such as ovarian and gastric cancer. The  
473 contradictory implication of DHX36 in different cancer types may be linked with its functional  
474 complexity and heterogeneity of the molecular cancer pathways in which it is involved.

475 Both *in vitro* and *in vivo* data indicate that DHX36 may inhibit the malignant properties of breast  
476 cancer cells. The stable knockdown of DHX36 in TNBC cell lines increased the invasion and  
477 decreased the migration properties of the breast cancer cells. The cell cycle analysis suggests that  
478 DHX36 deficiency leads to the accumulation of cells in the S-phase of the cell cycle. And the  
479 downregulation of DHX36 in breast cancer cells attenuates the apoptosis of breast cancer cells  
480 both endogenously and in response to cisplatin. In the presence of DHX36 shRNA, breast cancer  
481 cells tend to be more susceptible to the treatments with some chemotherapeutic drugs including  
482 cisplatin, paclitaxel, and epirubicin in terms of cytotoxicity. Our *in-vivo* work demonstrates that  
483 the loss of DHX36 function in aggressive MDA-MB-231 cells promotes tumour growth. We,  
484 therefore, speculated that the loss of DHX36 drives the cancer progression in breast cancer.

485 We investigated the role of DHX36 in breast cancer progression through the RNA sequencing  
486 analysis using the DHX36 knockdown cells. The RNA-Seq data indicate that DHX36 is involved  
487 in many regulatory network routes through mediating TNF, IFN, NF $\kappa$ b and TGF $\beta$ 1. Also, the  
488 altered gene network altered by DHX36 may influence cancer cell behaviour through different  
489 pathways, such as cell-to-cell interaction, cell growth, cell signalling, molecular transport and  
490 metabolism. It has been shown that DHX36 is involved in TNF $\alpha$  and NF $\kappa$ B activation in monkey  
491 kidney cells in a virus-induced manner [22]. DHX36 can also activate the production of IFN $\beta$  in  
492 mouse embryonic fibroblast (MEF) cells or IFN $\alpha$  in dendritic cells by sensing virus stimulation  
493 [23, 24]. We therefore speculate that the activation of certain cytokines and growth factors by  
494 DHX36 can also occur in breast cancer cells. Besides, the RNA-Seq data suggest that the ITGB2  
495 gene is downregulated, and this may lead to the upregulation of the MMP1 signalling pathway.  
496 MMP1 may then downregulate the BMP2 gene, which exerts diverse functions in cancer  
497 development and progression [25]. The knockdown of DHX36 also upregulates the gene  
498 expression of SNAI1 (SNAIL) gene, which is involved in the induction of the epithelial to the  
499 mesenchymal transition process.

500 The high throughput proteomic profile data indicate that, following the knockdown of DHX36 in  
501 MDA-MB-231 cells, the level of the death-associated kinase proteins is reduced. In particular,  
502 both pan-specific p53 and most of the phosphor-p53 isoforms are decreased in response to the  
503 DHX36 knockdown. It is known that almost all eukaryotic mRNAs are subjected to a multi-step  
504 pre-mRNA 3'-end processing which is coupled to transcription [26]. DHX36 can particularly bind  
505 the p53 RNA G4-forming sequence and therefore maintain p53 pre-mRNA 3'-end processing  
506 following UV-induced DNA damage in lung cancer cells [27]. Both MDA-MB-231 and BT549  
507 cell lines have two types of intrinsic p53 mutation, named p53<sup>280R-K</sup> and p53<sup>249R-S</sup>, respectively  
508 [28]. However, previous studies also suggest that mutant p53 in cancer cells can be either loss-of-  
509 function or gain-of-function, and can be stabilized probably through the loss-of-heterozygosity in  
510 response to cellular stress [29, 30]. The protein levels of pan-specific and phosphor- ROCK1 are  
511 also reduced in DHX36 deficient cells. ROCK1 is an upstream activator of the JNK signalling

512 pathway in cancer [31] and it is involved in the actin cytoskeleton destabilisation [32]. As  
513 alterations to the actin cytoskeleton can cause changes in various cancer cell properties such as  
514 adhesion, migration, invasion, and EMT, we therefore suggest that the reduced level of migration  
515 in the breast cancer cells following DHX36 knockdown may be attributed to the decrease of  
516 ROCK1.

517 The protein array data indicate that there is activation by phosphorylation of the mitotic checkpoint  
518 protein-serine kinase proteins CDK1 and CDK2. CDK1 is one of the cyclin-dependent kinases  
519 (CDKs) which plays a central regulatory role in mitosis initiation and drives cell cycle transition  
520 from the G1 phase to the S phase when CDK2 is lost [33]. CDK2 is required for the G1 phase  
521 progression and the entry progression into the S phase [34]. In breast cancer, cells with a higher  
522 level of CDK2 respond more sensitively to the treatment of paclitaxel [35]. It is known that p53  
523 is an upstream regulator of CDK1 and CDK2 through various downstream effectors such as  
524 p21WAF1/CIP1), 14-3-3- $\sigma$ , reprimin, CD25, cyclin B1 and PLK1 [36].

525 We also demonstrated that JNK transcription and JNK phosphorylation are reduced following the  
526 DHX36 knockdown. The JNK signalling pathway can be activated by some extracellular or  
527 intracellular stress such as reactive oxygen species, nitrogen species, UV, inflammation or  
528 cytokines [37, 38]. In cancer, activated JNKs can indirectly mediate some aspects of cell  
529 behaviour such as growth, transformation and apoptosis by phosphorylating its downstream  
530 substrates such as c-Jun, ATF2, ELK1, and p53 [39]. In another way, JNKs may also directly  
531 modulate the apoptosis by the phosphorylation of the pro- and anti-apoptotic proteins in  
532 mitochondria [40].

533 Chemotherapy resistance remains a major obstacle for the development of an effective breast  
534 cancer treatment strategy. It is known that CDK protein kinases may interfere with the DNA repair  
535 activity in cancer cells, therefore increasing their sensitivity to certain DNA damaging drugs [41].  
536 We showed that the knockdown of DHX36 appears to sensitize the response of breast cancer cells  
537 to some cytotoxic chemotherapeutic drugs such as cisplatin, paclitaxel, epirubicin and flavopiridol,  
538 in a dose-dependent manner. This may be due to the elevated levels of certain CDK family  
539 members following the DHX36 knockdown as described previously (31). Cisplatin, paclitaxel and  
540 epirubicin are known first-line chemotherapeutic drugs. Flavopiridol is a pan-CDK inhibitor which  
541 inhibits CDKs by blocking their ATP-binding sites directly. As one of the most investigated CDK  
542 inhibitor, flavopiridol has been subjected in considerable clinical trials for its anti-tumour efficacy  
543 [42]. Therefore DHX36 may play a role in modulating the therapeutic response of breast cancer  
544 cells although more evidence would be required by further investigation including clinical studies.

545

## 546 **Conclusion**

547 In conclusion, to our knowledge, this is the first study that identifies the functional role of DHX36  
548 in breast cancer. Our data indicate that DHX36 acts as a tumour suppressor in human breast cancer.  
549 The expression level DHX36 is negatively associated with the survival (OS and RFS) of breast  
550 cancer patients. And we believe that the deficiency of DHX36 enhances the invasion property of  
551 breast cancer cells and promotes tumour growth by modulating the p53, JNK and ROCK signalling  
552 pathways and CDKs (as illustrated in Fig. 8). Our study therefore unveils the new roles of the  
553 DHX RNA helicase proteins in cancer cells thus may open a new avenue for developing anti-  
554 cancer therapeutic strategies with higher efficacy.

555

## 556 **Abbreviations**

557 G4s, guanine-quadruplex structures; DHX36, DEAH-box polypeptide 36; TNBC, triple-negative  
558 breast cancer; ER, estrogen receptor; PR, progesterone receptor; HER2, human epidermal growth  
559 factor receptor 2; GAPDH, glyceraldehyde-3-phosphate dehydrogenase; IHC,  
560 immunohistochemistry; ECIS, electrical cell impedance sensing; IDC, invasive ductal  
561 carcinoma; ILC, invasive lobular carcinoma; CDK, cyclin-dependent kinase. RB1,  
562 retinoblastoma protein 1; VEGF, vascular endothelial growth factor; HIF1 $\alpha$ , hypoxia-inducible  
563 factor 1 $\alpha$ ; PDGFA, platelet-derived growth factor  $\alpha$  polypeptide; PDGFR $\beta$ , PDGF receptor  $\beta$   
564 polypeptide; TERT, human telomerase reverse transcriptase.

565

## 566 **Ethics approval for animal experiments**

567 All animal experiments were performed in accordance with relevant guidelines and regulations  
568 approved by the Institutional Animal Care and Use Committee of Sun Yat-Sen University Cancer  
569 Centre.

570

## 571 **Ethical Approval and Consent to participate**

572 Not applicable.

573

## 574 **Consent for publication**

575 All authors agreed on the manuscript.

## 576 **Availability of supporting data**

577 All data generated or analyzed during this study are included in this published article and its  
578 supplementary information files.

579

## 580 **Competing interests**

581 The authors declare that they have no competing interests.

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589

590 **Authors' contributions**

591 YC, WGJ and YZ designed this study and drafted the manuscript. YZ, YC, TQ, VF and YZC  
592 conducted the experiments. CT and XZ contributed to the scoring of the IHC. WGJ contributed to  
593 the analysis of the Kinex antibody array data. YC provided bioinformatic and statistical analysis.  
594 VF and EB edited the manuscript. WGJ and HY supervised the research. All authors read and  
595 approved the final manuscript.

596

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599

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727 **(Description of the figures and tables)**

728 **Table 1. The association of the IHC staining intensity of DHX36 and clinical features of breast**  
729 **cancer patients.** DCIS: ductal carcinoma in situ. IDC: invasive ductal carcinoma. ILC: invasive lobular  
730 carcinoma. NOS: not otherwise specified.

731 **Figure 1.** Expression levels of DHX36 gene and protein are associated with the survival and  
732 clinicopathological features of breast cancer patients. The Kaplan-Meier survival curve was plotted using  
733 the pooled gene expression data from www.kmplot.com (Cut-off value: 1257.33. n=1764). (A) OS. (B)  
734 RFS. (C) DHX36 gene expression is downregulated in the primary tumour as indicated by the analysis of  
735 The Cancer Genome Atlas-Breast invasive carcinoma (TCGA-BRCA) dataset (n=1218). (D) Expression  
736 level of the DHX36 gene is lower in advanced stages (T3+T4) than in earlier stages (T1+T2) as indicated  
737 by the TCGA-BRCA data. (E) Expression level of the DHX36 gene is higher in TNBC (n=123) than in  
738 non-TNBC (n=405) as indicated by the TCGA-BRCA data. Equivocal: The ER/PR/HER3 status is partially  
739 determined. NDA: No data available for the ER/PR/HER3 status. (F) Frequency of DHX36 staining scores  
740 in different pathological types in breast cancer tissue arrays. (G) Frequency of DHX36 staining scores in  
741 different stages in breast cancer tissue arrays. (H) Kaplan-Meier survival analysis of the breast cancer tissue  
742 arrays following DHX36 staining by immunohistochemistry. Representative images of the differential  
743 staining intensity of DHX36 in normal breast and breast cancer tissues were shown in Supporting  
744 Information Fig. S1. Clinicopathological status of the three tissue microarray slides was provided in Table  
745 1.

746 **Figure 2.** Knockdown of DHX36 enhanced invasion but decreased migration of breast cancer cells. (A)  
747 Relative gene expression of DHX36 in BT549 cells following DHX36 knockdown with shRNA. (B)  
748 Relative gene expression of DHX36 in MDA-MB-231 cells following DHX36 knockdown with shRNA.  
749 (C) The expression level of DHX36 protein after stable shRNA knockdown of DHX36 in BT549 cells, as  
750 estimated by Western blotting. (D) The expression level of DHX36 protein after stable shRNA knockdown  
751 of DHX36 in MDA-MB-231 cells, as estimated by Western blotting. (E, F) Transwell invasion assay using  
752 the stable cell lines derived from BT549 and MDA-MB-231 cells, respectively. Cells invaded through  
753 Matrigel-coated membrane inserts (pore size 8  $\mu$ m) were stained with Calcein AM and detached using Cell  
754 Dissociation Solution, and read using a fluorescence plate reader. The cell group with DHX36 shRNA was  
755 compared with the Scr Control. Although the Invasion of the WT control was showed, because WT cells  
756 were not subjected to lentiviral infection and specific G418 selection, they were not directly comparable to  
757 the shRNA groups. Student T-tests were used to compare the difference between shRNA and Scr. (G, H)  
758 Effect of DHX36 knockdown on the migration of breast cancer cells was accessed using the electric cell-  
759 substrate impedance sensing system (ECIS). Normalization was performed by setting up the stating  
760 impedance signal for each group to 1. The repeated-measures ANOVA was used to compare the ECIS data  
761 from different cell groups. \*\*p<0.01.

762 **Figure 3.** Effect of DHX36 knockdown on cell cycle progression and apoptosis in response to cisplatin.  
763 Hoechst 33342 was used to stain cellular DNA for cell cycle profiling, while the apoptosis level was  
764 determined using a cleaved-PARP (cPARP) antibody. (A, B) Cell cycle analysis of BT549 cells transduced  
765 with Scr control (left) and DHX36 shRNA (right). (C, D) Cell cycle analysis of MDA-MB-231 cells  
766 transduced with Scr control (left) and DHX36 shRNA (right). (E, F, G and H) Level of cleaved-PARP in  
767 BT549 cells transduced with Scr control and DHX36 shRNA, and treated with PBS and cisplatin,  
768 respectively. (I, J, K and L) Level of cleaved-PARP in MDA-MB-231 cells transduced with Scr control and  
769 DHX36 shRNA, and treated with PBS and cisplatin, respectively. The levels of the cleaved-PARP were  
770 indicated using the Median Fluorescence Intensity (MFI). The percentage change of MFI (PC)  
771 was calculated using the equation:  $PC = (MFI_{test} - MFI_{control}) / MFI_{control} * 100$ , where control means the Scr  
772 PBS group. ISO, isotype control.

773 **Figure 4.** The viability of breast cancer cells treated with chemotherapeutic drugs. Cells were seeded onto  
774 96-well black-well plates with an initial density of  $1 \times 10^4$  cells/well with six tests per group. Following 24-

775 hour culture and starvation with serum-free medium for 2 hours, cells were then treated with serially diluted  
776 doses of cisplatin and paclitaxel as specified. The viability/cytotoxicity of cells was examined using the  
777 Alamar Blue assay. (A, B) MDA-MB-231 cell lines treated with paclitaxel for 24 and 48 hours, respectively.  
778 (C, D) BT549 cell lines treated with paclitaxel for 24 and 48 hours, respectively. (A, B) MDA-MB-231 cell  
779 lines treated with cisplatin for 24 and 48 hours, respectively. (C, D) BT549 cell lines treated with cisplatin  
780 for 24 and 48 hours, respectively. The comparison of DHX36 shRNA and Scr control was performed using  
781 repeated-measures ANOVA. \*P<0.05, \*\*P<0.01.

782 **Figure 5.** DHX36 knockdown promotes tumor growth in a xenograft mouse model. (A, B) Representative  
783 in-vivo fluorescence images of the breast tumours developed from the mice injected with MDA-MB-231  
784 with Scr control (left) and DHX36 shRNA (right). (C) Tumour size estimated using the *in-vivo* images. (D)  
785 Integrated fluorescence density of the tumours based on the in-vivo images. (E) Dynamics of the average  
786 tumour volume since the injection of tumor cells. (F) Dynamics of the bodyweight of the mice since  
787 injection. (G) The end-point tumours dissected from individual mice (Scr: n=9; DHX36 shRNA: n=10). H,  
788 The end-point tumour weight. Quantitative data are presented as mean± SEM. \*p < 0.05, \*\*p < 0.01, \*\*\*  
789 < 0.001.

790 **Figure 6.** RNA-Seq analysis of the stable breast cancer cells after DHX36 knockdown using shRNA. (A,  
791 B) MA plot indicating the frequency of the differential gene expression after the stable DHX36 knockdown  
792 in BT549 (left) and MDA-MB-231 (right) cells. (C) Heatmap of the differential gene expression profile in  
793 the two breast cancers with DHX36 shRNA against their Scr control (N=3). (D) Cellular functions which  
794 were identified to be mediated by the genes regulated by DHX36 KD by gene ontology analysis for RNA-  
795 seq. The threshold is P<0.05. (E) The predicted upstream regulator of the altered gene profile by the DHX36  
796 knockdown. (F) The gene regulation network of the most significantly altered genes by the DHX36  
797 knockdown.

798 **Figure 7.** The effect of DHX36 knockdown on signalling pathways of breast cancer cells. (A) The profile  
799 of stress- associated kinase proteins indicated by the Kinex antibody microarray. (B) The profile of mitotic  
800 checkpoint protein-serine kinases indicated by the Kinex antibody microarray. The change of protein level  
801 in the antibody microarray was calculated as %CFC= (Signal<sub>KD</sub>-Signal<sub>Scr</sub>)/ Signal<sub>Scr</sub>\*100 after global  
802 normalization. FACS analysis was conducted to evaluate the endogenous levels of JNK and phosphor-JNK  
803 (pJNK) proteins. (C, D) Levels of total JNK protein in the stable BT549 cell lines with Scr control (left)  
804 and DHX36 shRNA (right). (E, F) Levels of the phosphorylated JNK protein in the stable BT549 cell lines  
805 with Scr control (left) and DHX36 shRNA (right). (G, H) Levels of total JNK protein in the stable MDA-  
806 MB-231 cell lines with Scr control (left) and DHX36 shRNA (right). (I, J) Levels of the phosphorylated  
807 JNK protein in the stable MDA-MB-231 cell lines with Scr control (left) and DHX36 shRNA (right). (K)  
808 Western blotting of the JNK and pJNK proteins in the breast cancer cell lines. (L) Real-time qRT-PCR  
809 showing the gene expression level of JNK in the breast cancer lines.

810 **Figure 8.** Schematic illustration of molecular mechanisms underlying the tumor suppression mediated by  
811 DHX36 in breast cancer cells.

812 **Supporting Information Fig. S1.** Thumbnail images of the IHC staining of DHX36 in three breast cancer  
813 tissue arrays.

814 **Supporting Information Fig. S2.** Comparison of DHX36 gene expression in wild-type breast cancer cell  
815 lines determined by qRT-PCR and normalized by GAPDH gene expression (fold = 1).

816 **Supporting Information Fig. S3.** Heatmap images of the Kinex antibody microarray for proteomic  
817 analysis in MDA-MB-231 cells. (A) Scr control. (B) DHX36 shRNA.

818 **Supporting Information Fig. S4.** Proliferation of the stable breast cancer cell lines in response to  
819 flavopiridol. Cells were seeded onto 96-well black-well plates with an initial density of 1x10<sup>4</sup> cells/well  
820 with six tests per group. Following 24-hour culture and starvation with serum-free medium for 2 hours,  
821 cells were then treated with serially diluted doses of flavopiridol for 48 hours. The proliferation of cells was

822 examined using the Alamar Blue assay. (A) MDA-MB-231 cells. (B) BT-549 cells. The Student's t-test  
823 was used compare two cell lines for each dose.

824 **Supporting Information Fig. S5.** Effect of DHX36 shRNAs on the invasion capacity of stable cells lines.  
825 Transwell invasion assay was performed using the stable cell lines derived from BT549 and MDA-MB-  
826 231cells, respectively. Cells invaded through Matrigel-coated membrane inserts (pore size 8 um) were  
827 stained with Calcein AM and detached using Cell Dissociation Solution, and read using a fluorescence plate  
828 reader. Replication points was shown using jitters. \*\* P<0.01; ns, no statistic significance. The data  
829 indicated that both shRNA1 and sh RNA 2 in the cell lines established from BT549 promoted the tumour  
830 cell invasion significantly (p<0.01). In the cell lines established from MDA-MB-231, the knockdown of  
831 DHX36 by the two shRNAs also promoted the cell invasion (p<0.01), and the effect of shRNA1 appeared  
832 stronger than shRNA 2. This not only suggested that the effect is unlikely the off-target effect of shRNA 2  
833 but also confirmed that our finding of the effect of DHX36 on breast cancer cell invasion was reproducible.

834 **Supporting Information Fig. S6.** Basal proliferation of the stable breast cancer cell lines. Cells were  
835 seeded at densities of 2500 cells/well and 5000 cells/well in 96-well tissue-culture plates. Proliferation  
836 measured at the designated time points was normalised with value at Hour 0. The Student's t-test was used  
837 to compare the two cell lines (Scr vs shRNA 2) at each time point. \* p<0.05; \*\* p<0.01; ns, no statistical  
838 significance.

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861 **Table 1. The association of the IHC staining intensity of DHX36 and clinical features of breast**  
 862 **cancer patients.** DCIS: ductal carcinoma in situ. IDC: invasive ductal carcinoma. ILC: invasive lobular  
 863 carcinoma. NOS: not otherwise specified.

Clinical feature	DHX36 intensity				P-value (chi-square test)
	0	1	2	3	
<b>Pathological diagnosis</b>					
Adjacent normal	13	6	5	7	
Cystosarcoma phyllodes	0	2	0	0	
DCIS	0	0	0	1	
Fibroadenoma	0	3	0	0	
Intraductal carcinoma	0	3	1	1	
Intraductal carcinoma (sparse)	0	1	0	0	
Intraductal carcinoma with early infiltrate	0	0	1	0	
IDC	34	82	89	48	
IDC (sparse)	0	0	1	0	
IDC and ILC	0	1	0	0	
IDC with ILC	0	0	3	0	
IDC with micropapillary carcinoma	0	1	6	2	
IDC with mucinous carcinoma	0	0	2	2	
IDC with necrosis	0	0	1	1	
IDC (blank)	0	1	0	0	
IDC (sparse)	1	0	0	0	
ILC	55	21	4	0	
ILC (blank)	2	0	0	0	
Mucinous carcinoma	0	0	2	0	
Normal breast tissue	1	0	0	0	
Normal breast tissue (fibrous tissue)	2	0	0	0	1.582E-15
<b>Stage</b>					
1	7	7	20	6	
2	66	77	57	37	
3	19	23	29	11	0.03446
<b>HER2 intensity</b>					
Unknown	9	1	1	5	
0	79	30	15	12	
1	3	52	6	2	
2	3	11	4	0	
3	11	17	3	2	2.016E-15
<b>ER intensity</b>					
Unknown	9	2	2	5	
0	19	61	16	6	
1	16	11	4	2	
2	17	14	1	2	
3	44	22	6	6	1.544E-06
<b>PR intensity</b>					
Unknown	8	2	3	4	
0	30	75	15	8	
1	22	10	2	2	
2	17	12	3	1	
3	28	11	6	6	6.486E-06
<b>Pathology</b>					
DCIS	1	0	4	2	
IDC	104	110	3	60	
ILC	1	2	78	0	
Normal	0	9	25	0	
NOS	2	0	5	0	2.20E-16