

Microstructural Analysis of Negative Anticipatory Contrast: A Reconsideration of the
Devaluation Account.

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Abstract

An animal's appetitive behavior is not a fixed response to current stimulation but can be affected by the anticipation of future events. For example, rats regularly given access to a moderately valued solution followed by a higher value solution (e.g. 4% sucrose → 32% sucrose) consume less of the initial solution than in control conditions where the initial solution is not followed by a higher value solution (e.g. 4% sucrose → 4% sucrose). Previous analyses have suggested that this negative anticipatory contrast effect does not depend on the "expectation" of a valuable stimulus producing a functional devaluation of a currently available stimulus of lesser value. In a within-subject anticipatory contrast procedure, this study revealed that both consumption and the mean size of licking clusters were smaller for a 4% sucrose solution on days when it preceded 32% sucrose than on days when 4% preceded 4%. As lick cluster size typically bears a positive monotonic relationship with the concentration of palatable solutions, this reduction is indicative of a decrease in the palatability/hedonic value of the solution subject to contrast. As such, we provide direct evidence that negative anticipatory contrast does produce a functional devaluation of the solution, thus challenging prevailing theoretical assumptions.

Keywords: Contrast, Licking, Microstructural analysis, Cluster size, Rats

An animal's behavior towards food is not fixed but can be adjusted to take into account future rewarding events. For example, a rat given brief daily sequential access to two solutions will learn to expect the second upcoming solution and will adjust its consumption of the currently available solution accordingly. If the second solution is preferred over the first, intake of the first solution will be suppressed (e.g. Flaherty, Coppotelli, Grigson, Mitchell, & Flaherty, 1995). This modification of current behavior as a consequence of future exposure to alternative stimuli is known as anticipatory contrast. The suppression of the first solutions intake has been ascribed to a contrast effect based on the comparison between the levels of reward available at the time, and the level of reward expected in the near future. This suppression appears to be genuinely anticipatory because, in within-subject designs, intake from the first bottle available in a day is low when the upcoming solution is valuable, while the value of the solution consumed the previous day has little effect (Flaherty et al., 1995; Flaherty & Rowan, 1985). More generally, the existence of within-subject anticipatory contrast demonstrates that the effect cannot simply be due to a comparison between the currently available solution and the animal's previous overall experience. Furthermore, increasing the interval between solutions within a day reduces contrast which would not be the case if the reduction in consumption was based simply on comparison to previous experience in that context (e.g. Flaherty & Checke, 1982; Lucas, Gawley, & Timberlake, 1988).

While the behavioral phenomenon of anticipatory contrast is well established, few mechanisms have been put forward to explain how current behavior can be suppressed by the expectation of a more rewarding event. Flaherty and Rowan (1985) proposed that exposure to the first solution together with the context of its presentation allows a comparison between the different solution values by invoking an internal representation of the impending preferred

solution. Flaherty (1996) considered three general mechanisms by which this might lead to a reduction in consumption of the first solution: a relative devaluation of the first solution; spatial competition from goal tracking, that is the animal repeatedly approaches the location of the not-yet accessible second solution; or response inhibition, where the animal learns to inhibit intake of the first solution because the second solution is more rewarding (see also Flaherty et al., 1995; Onishi & Xavier, 2011). Of these, the devaluation account is perhaps the most plausible. This account dictates that as an animal learns to expect a valuable stimulus in the near future, there is a functional devaluation of the currently available reinforcer of lesser value, hence a lower consummatory response.

While intuitively plausible, the devaluation account appears to be inconsistent with some previous results. Indeed, Weatherly, Nurnberger, and Sturdevant (2006) found that a 1% sucrose solution subject to anticipatory contrast did not suffer a reduction in its ability to act as a reinforcer for operant behavior compared to a non-contrasted 1% solution of sucrose. Further, when different spout cues were paired with contrasted and non-contrasted solutions, Flaherty et al. (1995) found that cues paired with the contrasted substance were not avoided in preference tests compared to cues paired with the control (non-contrasted) substance. The fact that solutions subject to anticipatory contrast do not suffer a reduction in their ability to act as reinforcers in either instrumental (Weatherly et al., 2006) or Pavlovian (Flaherty et al., 1995) situations would seem to suggest that their rewarding value has not been diminished by being reliably presented in advance of a preferred solution.

That said, neither Weatherly et al. (2006) nor Flaherty et al. (1995) actually assessed the value of the solution subject to contrast, so they do not directly demonstrate that the value of the contrasted solution is maintained. More importantly, the nature of the anticipatory contrast

procedure means that the contrasted substance is a perfectly reliable cue for a highly rewarding event. It has long been known that otherwise neutral cues paired with rewarding events can themselves support instrumental or Pavlovian conditioning as secondary reinforcers (see Mackintosh, 1975). Thus, a solution subject to contrast might have supported subsequent responses as a secondary, rather than a primary, reinforcer even if anticipatory contrast had reduced the intrinsic value of the initial solution itself. Direct measurement of the hedonic response to the solution subject to contrast would address these issues.

One method for directly assessing the hedonic value of a solution is to analyze the microstructure of licking displayed by a rat during voluntary consumption. When drinking, rats do not lick continuously but perform repeated runs of licks (herein referred to as *clusters*) separated by pauses of varying length. When consuming sucrose (and other palatable solutions), the mean number of licks per cluster (*lick cluster size*) bears a positive monotonic relationship with the solution concentration (e.g. Davis & Smith, 1992; Spector, Klumpp, & Kaplan, 1998). Conversely, when rats consume an unpalatable solution (such as quinine), lick cluster size bears a negative monotonic relationship with the concentration of the solution (Hsiao & Fan, 1993; Spector & St John, 1998). This has led to the idea that lick cluster size measures can be used as a reliable index of stimulus palatability and hence, the affective component of reward value (see Dwyer, 2012 for a recent review). Critically, lick cluster size is at least partially independent of consumption, which typically displays an inverted U-shaped function between concentration and total consumption, with intermediate concentrations of palatable solutions eliciting the highest levels of intake (e.g. Richter & Campbell, 1940). As reviewed by Dwyer (2012), the dissociation between the two measures has been confirmed through flavor preference and aversion conditioning studies which have demonstrated that some manipulations can influence lick cluster

sizes whilst leaving total consumption unchanged and vice versa. Furthermore, it has been demonstrated that some taste aversions result in changes in lick cluster size that are analogous to actually changing a pleasant tasting solution to an aversive one, while flavor preference conditioning result in changes that are analogous to changing the solution from a neutral to palatable taste. With lick cluster sizes typically reflecting the nature of the solution, changes that occur when the solution itself is physically unaltered, suggests that the change must lie with the animal. That is, it is the animals' perception or evaluation of the solution that has changed (see Dwyer, 2012).

There has been one study to our knowledge that combined lick microstructure measures with an anticipatory contrast paradigm. Arthurs, Lin, Amodeo, and Reilly (2012) found a difference in lick cluster size for a saccharin solution as a factor of whether it was followed by higher valued sucrose or more of the same solution. Such suppressed lick cluster sizes appear, as far as we are concerned, to be wholly consistent with a reduction in the first solution's rewarding value relative to appropriate controls. However, the analysis of the results offered by Arthurs et al. (2012) led them to conclude that this difference was not, in fact, a product of devaluation (we will address these differences of interpretation more fully in the general discussion). Be that as it may, it should be noted that the Arthurs et al. (2012) study used a between subject design, which means that animals in the contrast and control conditions differed in their exposure to concentrated sucrose. Repeated exposure to concentrated sucrose in the contrast group could have resulted in a shift in their general adaptation levels to sweet and thus lowered their sensitivity to the relatively weak sweet taste of dilute saccharin (Albertella, Harris, & Boakes, 2008; Boakes, Albertella, & Harris, 2007). Although general differences in experience with different concentrations of sucrose cannot explain all previously observed anticipatory contrast

effects (see the comments above regarding within-subject and inter-solution time effects) it remains the case that the suppressed lick cluster sizes observed by Arthurs et al. (2012) may reflect differences in overall experience rather than being the product of anticipatory contrast.

The current study used a within-subject design to address the reliability and source of cluster size changes in anticipatory contrast. Importantly, in this design all animals received exposure to all test solutions, eliminating any differences in the level or type of solution exposure. Different contextual cues (chosen based on the work of Flaherty et al., 1995) acted to signal which of the two solution pairings (either a low reward solution followed by more of the same solution or a low reward solution followed by a high reward solution) was in operation each day. We reasoned that if the reduction in consumption (i.e. anticipatory negative contrast) of the initial solution when it precedes a preferred solution occurs because the first solution is devalued, it will be mirrored by a similar reduction in lick cluster size.

Method

Subjects and Apparatus

Male Lister-hooded rats (n=8, Harlan, UK), weighing 300-340g on ad libitum food, were used in the experiment. They were paired-housed, under a 12 hour light/dark cycle. Experimental sessions were performed during the light phase, beginning at approximately 11am, and were conducted 6 to 7 days per week. Prior to the start of the experiment, all animals were placed on a food-restricted diet, which maintained them between 85 to 95% of their free feeding weights. Their food ration was given in their home cage 30 min after the end of each daily session. The experiment was conducted in accordance with the United Kingdom Animals Scientific Procedures Act, 1986.

Testing was conducted in six automated drinking chambers (Med Associates Inc., St Albans, VT, USA), measuring $30 \times 24 \times 21$ cm, and comprised of two clear Perspex and two aluminum walls. The chamber floor consisted of 19 steel rods, 4.8 mm in diameter and 16 mm apart. Approximately 5 cm above the grid floor, two holes each of 1 cm diameter were positioned on each side of one aluminum wall to allow the rat access to the solutions. Solutions were delivered through the right and left access holes by 50 ml cylinders with ball-bearing metal drinking-spouts. These were mounted to the cage via motorized holders that held the spout flush with the outside of the chamber and retracted it as required. Contact sensitive lickometers registered the timing of each lick made by the animal to the nearest 0.01 s, and a computer running MED-PC software controlled the equipment and recorded the data. The solutions used were 4% and 32% (wt/wt) sucrose formulated using commercial-grade cane sugar and deionized water.

Procedure

On the first day of the experiment, the animals (which had been water deprived for 22 hours) were habituated by leaving them in the drinking chambers with 10 min access to water from both bottles. After this pre-training the animals were returned to an ad libitum water supply for the remainder of the experiment. On each subsequent training day, the solution pairings were manipulated within subjects. Rats were presented with either a 4% sucrose solution followed by more 4% sucrose (the 4-4 condition) or a 4% sucrose solution followed by a 32% sucrose solution (the 4-32 condition). These daily solution pairings were presented in double alternation (e.g. ABBAABBA) and different contextual cues were used to signal which of the two solution pairings was in operation each day. For half the animals, context 1 (consisting of bright light, white noise provided by a detuned radio and normal grid floor) was paired with the 4-4

condition, and context 2 (consisting of dim light provided by a table lamp, no background noise and a wire mesh floor insert) was paired with the 4-32 condition. The remaining subjects had the opposite pairings. The first solution in the pair was made available for 3 min on the left-hand side of the chamber. Following a 4 sec inter-solution interval, the second solution was then made available for 6 min on the right-hand side of the chamber.

Data analysis

Consumption was assessed by weighing the bottle before and after each experimental run. Lick cluster size (defined as the mean number of licks per cluster) was extracted from the MED-PC data. As in our labs previous experiments using these general methods and equipment (e.g. Dwyer, Lydall, & Hayward, 2011; Lydall, Gilmour, & Dwyer, 2010), a cluster was defined as series of licks, with each lick separated by no more than a 0.5 s interval. The same criterion had been adopted by Davis and his colleagues (Davis, 1989; Davis & Perez, 1993; Davis & Smith, 1992). Although other criteria have been used (e.g. 1 s by Spector et al., 1998), there is little practical difference as most pauses greater than 0.5 seconds are also greater than 1 second (Davis & Smith, 1992; Spector et al., 1998). Drinking data were collated into 2-session blocks. An alpha level of .05 was adopted as the level of significance throughout.

Results

Figure 1 depicts the consumption (Panel A) and lick cluster size measures (Panel B), across the eight 2-session blocks, of the initial 4% solution as a factor of whether it was followed by 4% sucrose (the 4-4 condition) or 32% sucrose (the 4-32 condition). Inspection of Figure 1A suggests that intake of the initial 4% solution increased across blocks to a greater extent for the 4-4 condition than the 4-32 condition, representing an anticipatory contrast effect. A repeated

measured ANOVA with factors of block (1 to 8) and contrast condition (4-4 vs. 4-32) revealed a non-significant main effect of contrast condition [$F(1, 7) = 2.79, p = 0.139, \text{MSE} = 1.13$], a significant main effect of block [$F(7, 49) = 17.88, p < 0.001, \text{MSE} = 6.27$] and a significant contrast condition by block interaction [$F(7, 49) = 2.31, p = 0.041, \text{MSE} = 0.28$]. Post-hoc analysis of the interaction suggest no difference between the 4-4 and 4-32 conditions for blocks 1, 2, 3, and 5 [largest $F(1, 7) = 1.18, p = 0.314, \text{MSE} = 0.05$, for block 2], while there were significant differences for blocks 4, 6, 7 and 8 [smallest $F(1, 7) = 5.76, p = 0.048, \text{MSE} = 0.01$, for block 7].

Inspection of Figure 1B indicates that the anticipatory contrast effect on consumption was associated with lower lick cluster sizes in the contrasted (4-32) than non-contrasted (4-4) condition during intake of the initial solution. ANOVA revealed a significant main effect of contrast condition [$F(1, 7) = 24.57, p = 0.002, \text{MSE} = 1203.07$], a significant main effect of block [$F(7, 49) = 7.11, p < 0.001, \text{MSE} = 764.93$] and a significant contrast condition by block interaction [$F(7, 49) = 3.70, p = 0.003, \text{MSE} = 189.50$]. Follow-up analysis revealed no significant differences between contrast conditions during blocks 1, 2, 3, 4 and 7 [largest $F(1, 7) = 5.45, p = 0.052, \text{MSE} = 11.10$, for block 4], and that there were significant differences on blocks 5, 6 and 8 [smallest $F(1, 7) = 7.22, p = 0.031, \text{MSE} = 15.27$, for block 6]. This result indicates that pairing 4% sucrose with 32% sucrose suppresses the increase in lick cluster size that would have otherwise occurred if it had been followed by more of the same solution (the 4-4 condition).

Figures 1C and 1D show the consumption and lick cluster size measures, respectively, for the second sucrose solution in conditions 4-4 and 4-32 over the eight 2-day blocks of the experiment. As can be seen in Panel C, the consumption of the second solution (4% sucrose)

remained consistently low across blocks for the 4-4 condition. In contrast, the consumption of the second solution (32% sucrose) in the 4-32 condition increased over the blocks. ANOVA revealed significant main effects of contrast condition [$F(1, 7) = 39.17, p < 0.001, \text{MSE} = 198.25$], of block [$F(7, 49) = 38.37, p < 0.001, \text{MSE} = 29.82$], and an interaction between them [$F(7, 49) = 6.46, p < 0.001, \text{MSE} = 4.33$]. Post-hoc tests showed that there was no significant difference between conditions at block 1 ($F < 1$), while there were significant differences at blocks 2 - 8 [smallest $F(1, 7) = 12.74, p = 0.009, \text{MSE} = 0.70$, for block 8]. Inspection of Panel D reveals a similar pattern of results for lick cluster size in that the lick clusters were consistently higher, at least numerically so, for the 4-32 than the 4-4 condition across blocks. ANOVA revealed significant main effects of contrast condition [$F(1, 7) = 31.39, p < 0.001, \text{MSE} = 4664.57$] and block [$F(7, 49) = 14.96, p < 0.001, \text{MSE} = 813.67$] plus a significant contrast condition by block interaction [$F(7, 49) = 6.74, p < 0.001, \text{MSE} = 470.47$]. Post hoc analysis revealed no significant difference between conditions at block 1 [$F(1, 7) = 4.177, p = 0.080, \text{MSE} = 20.14$], significant differences on blocks 2 to 7 [smallest $F(1, 7) = 6.55, p = 0.038, \text{MSE} = 9.23$, for block 7], but no difference in block 8 [$F(1, 7) = 2.345, p = 0.170, \text{MSE} = 15.09$]. This pattern of effects appears to be largely driven by the gradual reduction in lick cluster sizes during consumption of 32% sucrose from blocks 4 to 8. The reason for this downward trend is not clear, however, we have also observed similar reductions across exposure sessions when animals were repeatedly presented with sucrose in the absence of an anticipatory contrast procedure. It is possible that it might reflect within-session adaptation to the concentrated sucrose (Dwyer, 2012) that is exacerbated as consumption levels increase¹.

¹ It is also possible that the reduced lick cluster size seen for the 32% solution across training is due to sucrose-induced insulin resistance. High sucrose diets have previously been shown to

Discussion

In one context, rats received access to 4% sucrose from one bottle followed by access to 4% sucrose from a second bottle, while in a different context they received access to 4% sucrose from one bottle followed by access to 32% sucrose from a second bottle. The rats' consumption of 4% sucrose was lower on days when 4% sucrose preceded access to 32% sucrose than when it preceded access to more 4% sucrose. This reflects a within-subject anticipatory contrast effect on consumption. Moreover, an analysis of licking microstructure revealed that this contrast effect was also reflected in the size of licking clusters. That is, the same 4% sucrose elicited lower lick cluster sizes on days when it was followed by 32% sucrose, than on days when it was followed by 4% sucrose. As lick cluster size is directly related to the perceived value or concentration of sucrose and the first solution was physically unchanged, this effect is consistent with anticipatory contrast producing a devaluation of 4% sucrose relative to an appropriate control. That is to say, the differences in the mean number of licks per cluster between the 4-4 and 4-32 conditions results from a change in the perceived value of the initial solution by the anticipation of future rewards. Moreover, because a within-subject procedure was used, the effects observed here cannot be attributed to a general reduction in the sensitivity to sweet tastes as a result of adapting to high sucrose concentrations. Contrary to the majority of previous

impair insulin action in rats (e.g. Storlien, Kraegen, Jenkins, & Chisholm, 1988). Furthermore, Ribeiro, Latt, Legare, and Macedo (2005) gave Sprague-Dawley rats free access to a 35% sucrose solution (along with food and water ad libitum) and found that insulin resistance was expressed as early as 2 weeks in this strain. Since exposure to concentrated sucrose is restricted to 6 min a day in our paradigm, this possibility may be unlikely but cannot be ruled out on the basis of the current data alone.

analyses, this leads us to suggest that negative anticipatory contrast does indeed result in a devaluation of the initial solution.

While the use of a within-subject design means that the suppressed lick cluster sizes observed cannot be attributed to a general reduction in the rat's sensitivity to sweetness as a result of shifts in their overall adaptation level (Boakes et al., 2007), there is evidence for context-specific adaptation level effects (Albertella et al., 2008). In this light, it is thus possible that the reduced lick cluster sizes are due to a comparison between the concentration of sucrose previously experienced in a particular context and the currently available solution rather than being the product of an anticipatory comparison process. That said, it should be remembered that the interval between two solutions within a day influences consumption effects in anticipatory contrast (e.g. Flaherty & Checke, 1982; Lucas et al., 1988). This timing effect would not be expected if anticipatory contrast was actually due to a comparison between the currently available solution and the stored value of previous solutions experienced in the same context. As we have not manipulated inter-solution intervals here, we cannot directly rule out the possibility that context-dependent adaptation effects contributed to our lick-microstructure results, and so our lick-microstructure and consumption results might reflect different causal mechanisms. However, we would suggest that it is more parsimonious to assume that contrast effects on consumption and on lick cluster size share a common cause. This is especially so given that the effects of contrast on consumption and lick cluster size emerged at roughly the same point in the experiment.

The idea that the lower lick cluster sizes for 4% sucrose in the 4-32 than the 4-4 condition reflects devaluation in the former condition might seem to be a relatively direct corollary of the generally observed relationship between lick cluster size and solution concentration or value.

However, Arthurs et al. (2012) previously reported similar results from a between-subject design, while concluding that devaluation was not involved. This conclusion was based on the fact that, in animals for which saccharin preceded sucrose, the cluster size for saccharin remained relatively consistent across training while in animals for which saccharin preceded further saccharin access, the cluster size for saccharin increased across sessions. That is, there was no evidence from the lick cluster size measure that the value of saccharin reduced from its initial level as a result of anticipatory contrast (essentially the same pattern of results was observed here with 4% sucrose). However, it should be remembered that rodents typically show a neophobic response to novel tastes which dissipates with experience. Indeed, Lin, Amodeo, Arthurs, and Reilly (2012) report that lick cluster sizes increase over exposure for a variety of solutions and similar results were seen by Dwyer (2009). Lin et al. (2012) neatly summarize that the clear implication of these results is that “the pleasure of drinking increases as the novel, potentially dangerous tastant becomes accepted as safe” (p 515). In this light, the failure to see an increase in the lick cluster size for saccharin (by Arthurs et al., 2012) or 4% sucrose (here), as a result of anticipatory contrast does represent a devaluation relative to the state that would have occurred had the solution simply been exposed on its own. To be sure, pairing saccharin or sucrose with illness can produce devaluations relative to the initial state (e.g. Arthurs et al., 2012; Dwyer, 2009), but the mere fact that other treatments produce larger effects does not mean that contrast is not producing a devaluation at all.

A devaluation account of anticipatory contrast seems intuitively plausible: the decrease in responding for a low-valued solution when a high-valued solution will be available in the near future occurs because the initial solution has become one of functionally lower hedonic value. However, this devaluation interpretation has generally been rejected; largely because solutions

that have been subject to anticipatory contrast appear to operate as positive rewards in both instrumental (e.g. Weatherly et al., 2006) and Pavlovian (e.g. Flaherty et al., 1995) situations. But, as was noted in the introduction, these are not direct tests of the functional value of the solution subject to contrast, and more critically, the reinforcing value of the contrasted solutions could be attributed to a process of secondary reinforcement. As the current study directly addressed the value of the contrasted solution via the analysis of licking microstructure, and did see a functional devaluation, it would appear that previous theorists might have been premature in rejecting the devaluation account.

To summarize, the current study is the first to combine microstructural lick analysis with a within-subject negative anticipatory contrast procedure and thus avoids the problems of either using indirect assessments of reward value or of confounds relating to adaptation level to sweet tastes between groups. The results obtained confirm, contrary to prevailing assumptions, that anticipatory contrast does produce a functional devaluation of the solution subject to contrast. What remains to be ascertained is whether this devaluation is the cause of the reduction in the amount of consumption also seen in contrast, or whether the changes in solution value and amount consumed are independent effects of experiencing contrast.

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Figure 1.

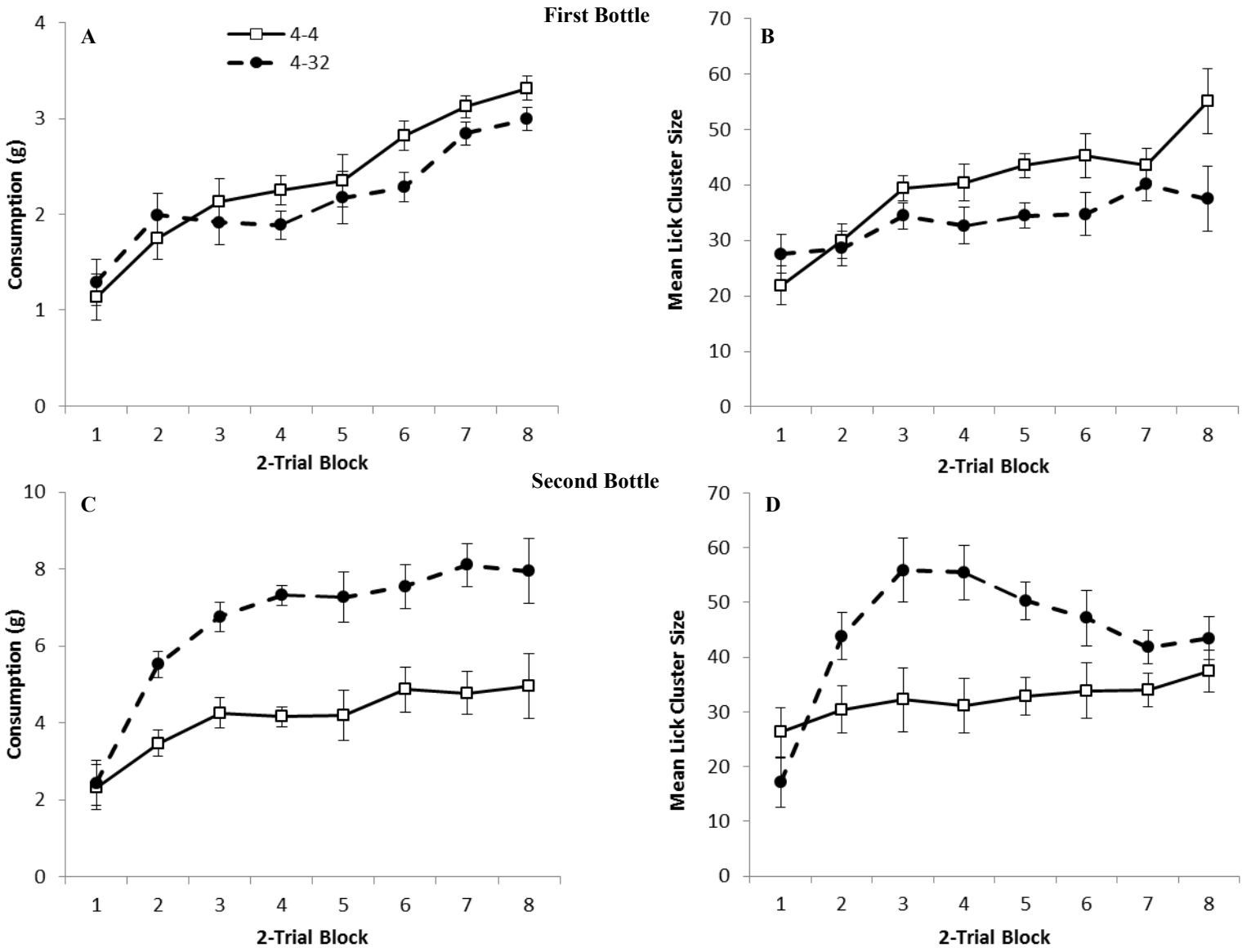


Figure 1. Panel A shows the mean (\pm SE of the difference) consumption data from the first bottle available (containing 4% sucrose) each day during negative anticipatory contrast for the 4-4 (control) and 4-32 (contrast) conditions. Panel B shows the mean lick cluster size (\pm SE of the difference) for the first bottle available each day as a factor of contrast condition. The first bottle was available for 3 min. Panel C shows the mean (\pm SE of the difference) consumption data from the second bottle available each day during negative anticipatory contrast for the 4-4 (control) and 4-32 (contrast) conditions. Panel D shows the mean lick cluster size (\pm SE of the difference) for the second bottle available each day as a factor of contrast condition (4-4 vs. 4-32). The second bottle was available for 6 min (beginning 4 sec after the first bottle had been retracted). The data is averaged over two trial blocks.