

# BMJ Open Qualitative study using interviews and focus groups to explore the current and potential for antimicrobial stewardship in community pharmacy informed by the Theoretical Domains Framework

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## ABSTRACT

**Objectives** Community pharmacists and their staff have the potential to contribute to antimicrobial stewardship (AMS). However, their barriers and opportunities are not well understood. The aim was to investigate the experiences and perceptions of community pharmacists and their teams around AMS to inform intervention development.

**Design** Interviews and focus groups were used to explore the views of pharmacists, pharmacy staff, general practitioners (GPs), members of pharmacy organisations and commissioners. The questioning schedule was developed using the Theoretical Domains Framework which helped inform recommendations to facilitate AMS in community pharmacy.

**Results** 8 GPs, 28 pharmacists, 13 pharmacy staff, 6 representatives from pharmacy organisations in England and Wales, and 2 local stakeholders participated. Knowledge and skills both facilitated or hindered provision of self-care and compliance advice by different grades of pharmacy staff. Some staff were not aware of the impact of giving self-care and compliance advice to help control antimicrobial resistance (AMR). The pharmacy environment created barriers to AMS; this included lack of time of well-qualified staff leading to misinformation from underskilled staff to patients about the need for antibiotics or the need to visit the GP, this was exacerbated by lack of space. AMS activities were limited by absent diagnoses on antibiotic prescriptions. Several pharmacy staff felt that undertaking patient examinations, questioning the rationale for antibiotic prescriptions and performing audits would allow them to provide more tailored AMS advice.

**Conclusions** Interventions are required to overcome a lack of qualified staff, time and space to give patients AMS advice. Staff need to understand how self-care and antibiotic compliance advice can help control AMR. A multifaceted educational intervention including information for staff with feedback about the advice given may help. Indication for a prescription would enable pharmacists to provide more targeted antibiotic advice. Commissioners should consider the pharmacists' role in examining patients, and giving advice about antibiotic prescriptions.

## Strengths and limitations of this study

- This is the first study to explore pharmacists' and pharmacy staff attitudes and experiences around self-care advice for common infections, antibiotic compliance advice, antimicrobial stewardship activities and antimicrobial resistance (AMR) using the Theoretical Domains Framework (TDF).
- The use of the TDF and Behaviour Change Wheel is a novel use of behavioural theory in this context.
- Randomly selecting pharmacies from stratified lists facilitated recruitment of a wide-ranging sample with a range of experiences.
- Despite using randomised lists for recruitment, there is still a possibility that only AMR enthusiasts volunteered to take part.
- This study used focus groups and interviews including a mix of telephone and face-to-face methods. This ensured that participants could choose the method which was most convenient and appropriate for them.

## INTRODUCTION

WHO has reported concerns about the threat of antibiotic resistance and stressed the need for public awareness of the responsible use of antibiotics.<sup>1</sup> Patients have an important role to play in the control of antibiotic resistance, by reducing use of unnecessary antibiotics for common infections (such as for respiratory infections) and by adhering to their prescription instructions when they do receive antibiotics.<sup>2</sup> Community pharmacists can facilitate this through their contact with patients and by promoting antimicrobial stewardship (AMS) initiatives within their pharmacies.<sup>3</sup> Community pharmacists have the opportunity to influence patient expectation by educating patients on effective self-care treatments and the negative consequences of using antibiotics, such as side effects and resistance.<sup>4</sup>

Within England, the Department of Health (DOH) pharmacy strategy 2005–2015 aimed to enable community pharmacists and their staff to see themselves as important contributors to improving public health.<sup>5</sup> The DOH is currently incentivising community pharmacies (through the community pharmacy contractual framework) to meet criteria to expand and improve their range of clinical services. One of these criteria is to become a Healthy Living Pharmacy level 1,<sup>6</sup> through demonstrating that the pharmacy team is actively engaging with the local community.<sup>7</sup> In addition, the National Health Service (NHS) in England has been expanding the services already provided by community pharmacies to include treatment for urgent minor ailments and common conditions including viral infections.<sup>6</sup> Indeed, research has shown that through various strategies community pharmacists can enhance their role in AMS,<sup>8</sup> by providing self-care and antibiotic compliance advice to patients<sup>9</sup> as well as the ability to recommend over-the-counter treatments for common infections.<sup>10</sup> However, this incentive scheme is not available nationally in England, therefore, there is considerable variation in community pharmacy services.

Within Wales, the Choose Pharmacy scheme encourages the general public to seek advice and treatment for minor ailments from community pharmacies. The scheme also aims to fully integrate community pharmacies with general practitioner (GP) surgeries and hospitals by linking their technology, such as providing pharmacy access to GP records in order to check the accuracy of prescribed medications.<sup>11 12</sup>

The aim of this study is to identify opportunities for expanding AMS activities by exploring pharmacists' and pharmacy staff attitudes and experiences around self-care advice for common infections, antibiotic compliance advice, AMS activities and antimicrobial resistance (AMR). The findings from this study will contribute to recommending intervention strategies for community pharmacy teams in order to enhance their role in helping to control AMR.

## METHOD

This was a qualitative study using semistructured interviews and focus groups with community pharmacists, community pharmacy staff, GPs, pharmacy body representatives and commissioners.

### Community pharmacy selection

UK pharmacies in Inner city Birmingham, Gloucestershire and South Wales were approached to recruit pharmacies with a mix of deprivation, ethnicity, rural and urban locations, varying customer numbers and customer demographics. Pharmacies in each area were stratified by rural/urban based on their postal code details<sup>13</sup> if relevant, and in order to obtain pharmacies with varying staffing levels and a range of corporate resources available, by chain/small chain/independent using a Google search of the pharmacies (later confirmed in the interview/

focus group). Each stratified list was randomised using the random number generation function in Excel, and approached in random order by letter and then telephone until the required number of participants for each region and pharmacy type was reached. The target was to recruit 30 pharmacists and 8 pharmacy staff from across the regions and strata.

### Participant recruitment

Pharmacies were sent invitation letters, study information sheets and a consent form inviting pharmacists and pharmacy staff to participate in interviews or focus groups for the study. If no response was received after a week, the researchers telephoned the pharmacy. The most common reason for non-participation was lack of time.

Representatives from pharmacy body organisations, GPs and Clinical Commissioning Groups (CCGs—CCGs are state funded and commission primary healthcare from general practices in England), were invited via contacts known to the researchers and by means of a Royal College of General Practitioners newsletter to also participate in interviews (see figure 1).

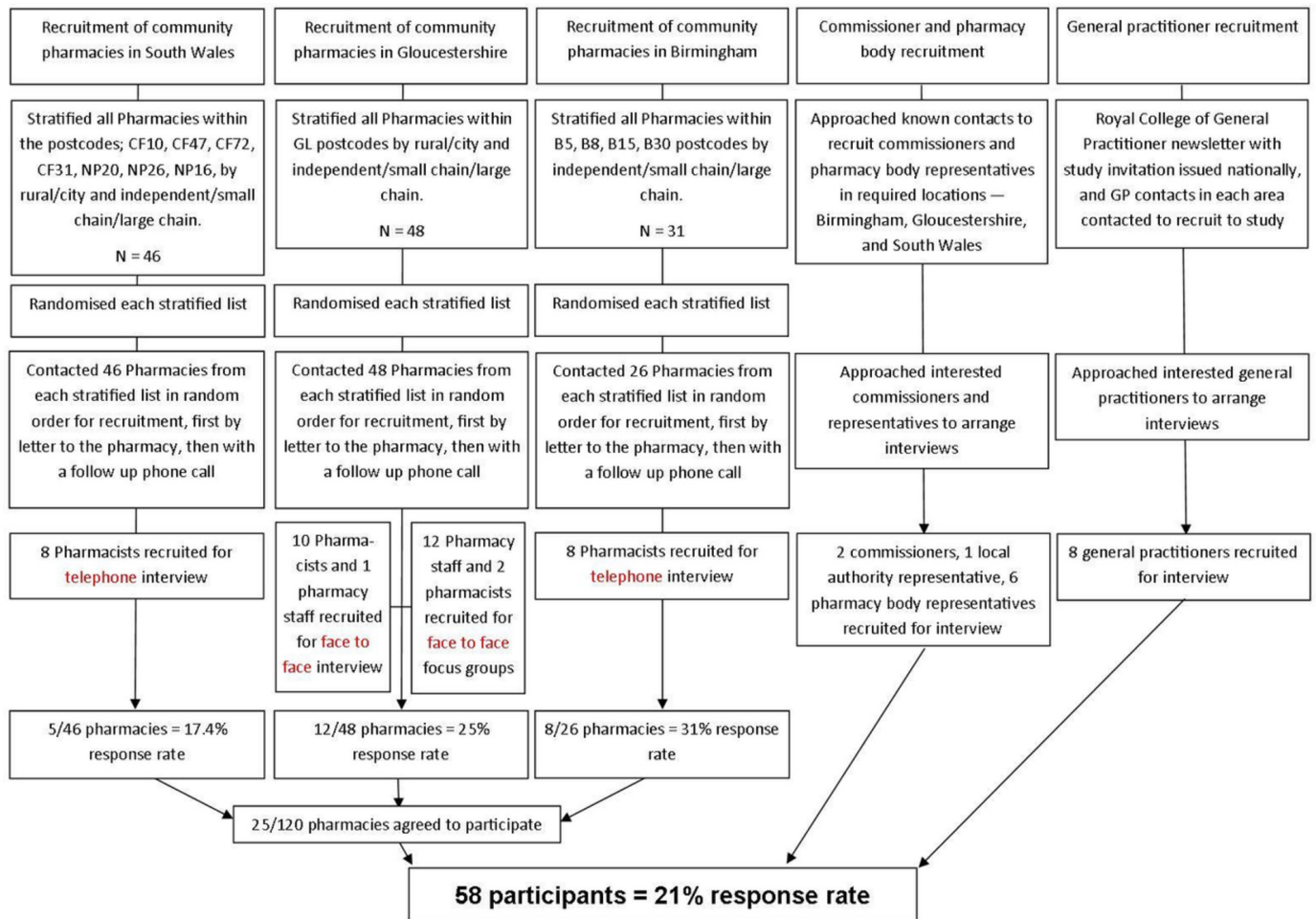
### Data collection

The question schedule was drafted by a researcher (LFJ) and then adapted by a health psychologist (AS) using the Theoretical Domains Framework (TDF) in order to understand the influences on participant behaviour,<sup>14</sup> and then reviewed by other researchers (Camm, LFJ and RO) for clinical relevance. The question schedule (online supplementary appendix 1) was piloted with one pharmacist. There were no changes made following the pilot and so the results from the pilot are included in the findings. The broad topic areas discussed were interviewees' attitudes and experiences of providing self-care advice for common infections, and antibiotic compliance advice, AMS activities and AMR. One trained qualitative researcher (LFJ) from Public Health England (PHE) conducted the interviews and focus groups. All participants were assured of anonymity, confidentiality and gave written informed consent. Participants were offered a £40 gift voucher for their time.

Focus groups were conducted within pharmacies where several members of staff expressed an interest in taking part. Interviews were conducted by telephone and face to face in order to accommodate participant preference. They lasted 30–60 min, were audio recorded, transcribed verbatim and checked for accuracy.

### Data analysis

Data were analysed by a PHE researcher, LFJ, using a thematic framework and using QSR NVivo V.10. Themes were refined and redundant or infrequent codes were recoded. The remaining themes were placed within the TDF framework with assistance from a health psychologist (AS), and where more than one domain was relevant the context was discussed and a consensus reached. Quotations from the transcripts are used in the results table to



**Figure 1** Recruitment flow chart. The recruitment flow chart demonstrates the method and process of recruitment for each participant group.

illustrate each domain. A researchers meeting was held to discuss the main themes from the results and to discuss themes to take forward for intervention recommendations. These were examined within the context of the Behaviour Change Wheel (BCW)<sup>15</sup> in order to identify intervention functions, policy categories and behaviour change techniques (BCTs) relevant for intervention recommendations and future developments.

Ten per cent of the transcripts were double coded by a second PHE researcher. Codes were discussed and an agreed consensus was reached.

### Patient involvement

Patients were not involved in this study.

## RESULTS

### Sample characteristics

Twenty-six out of 31 pharmacies were contacted in Birmingham. All pharmacies in the Gloucestershire and South Wales lists were approached in order to achieve the recruitment targets.

A total of 58 people took part in either interviews or focus groups for this study. Forty-four interviews were conducted with 26 community pharmacists (10

from Gloucestershire, 8 from Birmingham and 8 from South Wales), 1 dispensing manager, 8 GPs (3 from Birmingham, 1 from Gloucestershire, 2 from Hertfordshire, 1 from London and 1 from Colchester), 2 primary care commissioners, 1 programme manager from a local authority and 6 representatives from pharmacy organisations (Royal Pharmaceutical Society, Pharmacy Voice, The Centre for Pharmacy Postgraduate Education, The Pharmaceutical Services Negotiating Committee, Association of Pharmacy Technicians UK, NHS England and the National Pharmacy Association).

Three focus groups were conducted in three pharmacies, with 2 pharmacists and 12 other pharmacy staff. Other pharmacy staff included five dispensers, one pharmacy manager, one dispensing assistant, two healthcare assistants and one shop assistant.

Of all pharmacy participants, 16 worked in an independent pharmacy (a pharmacy which is independently owned), 5 in a small chain, 18 worked for a large chain (a chain refers to more than one pharmacy which is centrally managed or owned) and 2 pharmacists were locums. Pharmacists were aged 24–63, with a mean age of 41. Eighteen were male and 10 were female.

The analysis identified key domains from the TDF on influencing pharmacists' behaviour within community pharmacy which are relevant to our study, as outlined in [table 1](#).

### Giving self-care advice in community pharmacy

Pharmacists reported that giving self-care advice daily for common infections is an integral part of their role, and by using the WWHAM mnemonic,<sup>16</sup> who is the patient, what are the symptoms, how long have the symptoms been present, action taken, medication being taken, as well as their experience. Pharmacists identified some difficulty in determining the need for antibiotics in the elderly or the very young. When pharmacists are uncertain or believe they are dealing with a serious condition, they refer the patient to their GP, or if a patient evidently needs immediate care they will refer to urgent care.

A minority of GPs and one pharmacy body representative expressed concern that pharmacists are financially motivated in the advice they give and the products which they recommend. Pharmacists reported that their main motivation is the health and well-being of their patients, not financial incentives.

The stated benefits of providing self-care in community pharmacy included immediate access to a health professional, equipping patient with knowledge for future infective episodes and saving GP time. Indeed, pharmacists reported that they try and discourage patients visiting the GP, and try and promote self-care when possible. Most believe that educating patients with self-care advice can contribute to tackling AMR by preventing future antibiotic use. For some, preventing future antibiotic use was their main motivation, some, however, were not aware of this link until it was mentioned by the researcher.

The GPs in this study view pharmacists as being knowledgeable about medications and sufficiently skilled to make recommendations to patients. As such, they were confident in the ability of pharmacists to deal with minor respiratory tract infections.

### Barriers to giving self-care advice in community pharmacy

Pharmacists and other pharmacy staff identified that pharmacy staff usually have more interactions with patients looking for self-care advice as pharmacists spend little of their time at the medicines counter in large pharmacies. Pharmacists expressed concern that misinformation can be given to patients if their staff are untrained or inexperienced. Lack of time was perceived as a barrier to giving effective and thorough self-care advice and can lead to advice being given quickly over the counter.

Some pharmacists felt that being unable to examine patients (eg, listen to their chest) is a barrier to giving accurate self-care advice. They believe that being able to conduct such examinations would enhance the service they could provide. One pharmacist highlighted a concern that focusing too much on providing self-care advice could potentially lead to not identifying a more serious infection. Despite pharmacists reporting that

they receive informal feedback on their advice from many of their regular patients, many identified a lack of formal procedure to receive feedback on their self-care or compliance advice given. Some pharmacists believed that patients want an instant cure for their ailments and are not willing to wait for the duration of their illness to feel better.

### Giving antibiotic compliance advice in community pharmacy

Pharmacists, their staff and GPs reported that a key responsibility in community pharmacies is to provide antibiotic advice and ensure patient compliance. Among pharmacists, the idea that misuse of antibiotics results in resistant infections was well understood. For some, AMR was a concern and therefore a driver for giving compliance advice. Less qualified pharmacy staff did not display an in-depth understanding of AMR but were aware that misuse of antibiotics can lead to recurrent or relapsing infections.

### Barriers to giving antibiotic compliance advice in community pharmacy

Pharmacists are not provided with an indication of patients' diagnoses on prescriptions, and this was reported by some as a major barrier as it deters pharmacists from querying the accuracy of antibiotic prescriptions with prescribers. It was reported that when giving compliance advice, it would be unusual for a pharmacist to ask what condition the antibiotics had been prescribed for.

Pharmacists reported that many patients do not understand compliance advice, and therefore, believe that compliance is generally poor. Additionally, pharmacy staff noticed that some patients tend to be disinterested in the compliance advice.

### AMR and AMS in community pharmacy

Pharmacists were generally unaware of any stewardship initiatives from within and outside of the community pharmacy setting. Many had never heard of the term AMS before. Despite this lack of awareness, when AMS was explained pharmacists believed they had a role in tackling AMR through educating the general public on how to self-care for common infections and the appropriate use of antibiotics.

Pharmacists in Wales had conducted self-care audits in their pharmacy which they felt had raised awareness of AMR and had contributed to improvements in their practice.

All pharmacists expressed concern about AMR and its implications. However, no one reported any intentions to adopt any AMS promotions or activities in their pharmacy in the foreseeable future.

### Barriers to AMS in community pharmacy

The biggest barrier identified was that many pharmacists and all pharmacy staff were not consciously aware of the link between giving self-care advice, compliance advice and their impact on AMR. Many only became aware of the link once it was brought to their attention by the

**Table 1** Factors influencing pharmacists' self-care and compliance advice for common infections and antimicrobial stewardship (AMS) initiatives

| Theoretical Domains Framework domain |                                | Giving self-care advice  | Giving antibiotic compliance advice  | Participating in AMS initiatives   |
|--------------------------------------|--------------------------------|--|--|--|
| 1                                    | Knowledge                      | Pharmacists report being knowledgeable in giving self-care advice.   | Pharmacists are knowledgeable on what drugs to take for a particular illness/condition. 'I think doctors are brilliant at conditions, we're the best at the drugs. So we know what should be prescribed when, and we can try and minimise as much as we can.' Pharmacist 4, Gloucestershire. Pharmacists understand that misuse of antibiotics can lead to resistance. Pharmacy staff also understand that misuse of antibiotics can lead to the return of infections.   | Pharmacists lacked awareness of AMS initiatives and knowledge of what AMS is. 'I've heard the term but to be honest I couldn't recall a campaign going on at the moment, no.' Pharmacist 15, Birmingham (Talking about antimicrobial stewardship). |
| 2                                    | Skills                         | Pharmacists report being skilled in giving self-care advice.   | Pharmacists report being skilled in giving compliance advice.  | This domain was not relevant in this context.  |
| 3                                    | Professional role and identity | Examining patients is not part of the pharmacists' role. Pharmacists reported that being unable to examine patients can make it difficult to give correct advice. '...we always have to double check because we have no equipment in pharmacy to assess their breathing. I guess obviously that comes with training, so I don't expect to just have a stethoscope and listen to customers. But if a pharmacy can, if a pharmacist can do that, it would be a bonus to our profession and to the service that we can give.' Pharmacist 7, Gloucestershire. Pharmacists believe an integral part of their role is to provide self-care advice for all common ailments. | It is unclear whether it is the pharmacist's role to query the appropriateness of antibiotic prescriptions. 'If a doctor's decided that person needs an antibiotic, then I would be on a sticky wicket questioning that really. That's outside of my authority as a pharmacist.' Pharmacist 25, Wales. 'in terms of where I see community pharmacy fitting in is where we do a clinical check on a prescription, it is our duty to decide whether or not it is clinically suitable or clinically appropriate for the patient to take the medication that we're checking...' Pharmacist 15, Birmingham. |  |
| 4                                    | Beliefs about capabilities     | Pharmacists are confident in their ability to give self-care advice.   | Pharmacists are confident in their ability to give compliance advice.  | This domain was not relevant in this context.  |
| 5                                    | Optimism                       | Pharmacists were optimistic that giving self-care advice can impact antimicrobial resistance (AMR).  | Pharmacists were optimistic that ensuring compliance can impact AMR.   | Pharmacists were optimistic that giving self-care advice and ensuring compliance can impact AMR.   |
| 6                                    | Beliefs about consequences     | One pharmacist highlighted the danger of missing something urgent that requires further medical attention if a culture of self-care is adopted. 'if they keep on doing self care, actually, it actually prevents them to actually go to see the doctors if it's something quite urgent.' Pharmacist 10, Wales.   | Pharmacists believe that patients don't understand and don't remember their compliance advice and therefore believe compliance is poor. '...And sometimes we find that they don't come back to complete their course, or those that come back and say they probably don't need the second bottle...' Pharmacist 6, Gloucestershire.  | There were no emerging themes within this domain.  |
| 7                                    | Reinforcement                  | A few general practitioners (GPs) and stakeholders believe that pharmacists are financially motivated. 'They're not doing it for the love of the profession or the love of helping people get better, they're doing it because they're going to make money out of it.' Stakeholder 9. Pharmacists reported not being financially motivated. 'So, I've always said to anyone who works there. Yes we have to make money but, we're not unethically making money so, actually, if that product is not suitable for that person we won't sell them. So, and that's how it works.' Pharmacist 2, Gloucestershire.  | There were no emerging themes within this domain.  | There were no emerging themes within this domain.  |

Continued

Table 1 Continued

| Theoretical Domains Framework domain     | Giving self-care advice  | Giving antibiotic compliance advice   | Participating in AMS initiatives   |
|--|--|---|--|
| 8 Intentions                             | Pharmacists reported intentions to offer self-care advice to everyone presenting with symptoms or purchasing an over-the-counter medication.<br>'We'd always make sure that any OTC sale, general sale list included, we would still check through WWHAM and make sure it is appropriate.'<br>Pharmacist 20, Wales.<br>Pharmacists discourage visiting the GP and try and promote self-care as much as possible.<br>'I try as much as possible to stop that happening and saying, there's no point going to the doctors for this you just need to manage it, symptom control it and in a period of five to seven, ten days you'll probably feel a lot better.'<br>Pharmacist 23, Wales.  | Pharmacists intend to give compliance advice with every antibiotic prescription.  | Pharmacists had no intentions to conduct AMS within the foreseeable future.  |
| 9 Goals                                  | A pharmacist's main goal is to help their patients recover.<br>'it's caring for the patient. If we can give them advice and help them feel better then that's our aim.'<br>Pharmacist 11, Birmingham.  | There were no emerging themes within this domain.   | There were no emerging themes within this domain.  |
| 10 Memory, attention and decision-making | Pharmacists reported that they do not find it difficult in most instances to determine if a person needs an antibiotic or not.<br>'Sometimes you can tell, like for example, if they've tried medicines or something for a duration of a so called infection. It's more than like ... You can generally tell when someone needs antibiotics so yeah. You can generally spot it, yeah.'<br>Pharmacist 22, Birmingham.<br>Pharmacists did report that it can be more difficult to decide whether a child or an elderly person needs to be referred to the GP or not.<br>'Sometimes it can get very tricky. Especially with a young child at times, because obviously the child can't explain their symptoms to you, so you're relying on the mother to tell you everything ... It's when they're elderly or really little, or a child, then it's really hard.'<br>Pharmacist 19, Birmingham.<br>Pharmacists will refer patients to the GP if they are unsure whether the infection is viral or bacterial, or they feel that they can't help the patient.<br>'if there's something there we can't treat over-the-counter then we would refer it but we never would say that you need antibiotics, you just have something that needs to be looked into.'<br>Pharmacist 10, Wales. | Pharmacists reported not always asking for the diagnosis when giving compliance advice.<br>'I probably wouldn't, no, because we don't, unless they're obviously coughing or told us what it is, we wouldn't necessarily know what those antibiotics are for.'<br>Pharmacist 8, Gloucestershire.<br>Pharmacists report giving compliance advice habitually with every antibiotic prescription.<br>Some pharmacists said that if they see several prescriptions over a short period of time for the same patient it will trigger them to have a discussion with that patient about potential resistance.<br>'if I notice that we're getting regular prescriptions or we're getting prescriptions from regular customers I will intervene sometimes and talk to them.'<br>Pharmacist 8, Gloucestershire. | Some pharmacists were unaware of the link between giving self-care and compliance advice with tackling AMR.<br>'I am not thinking, oh this is helping antimicrobial resistance, I'll be thinking that, well, there's no point them trying to get antibiotics for this because it's just a viral infection, a cold, the, and they're going to get better anyway.'<br>Pharmacist 11, Birmingham. |

Continued

**Table 1** Continued

| Theoretical Domains Framework domain   | Giving self-care advice  | Giving antibiotic compliance advice   | Participating in AMS initiatives   |
|--|--|---|--|
| 11 Environmental context and resources | Pharmacists identified that pharmacy staff are more likely to deal with patients looking for advice for respiratory tract infection or urinary tract infections than pharmacists, particularly in large pharmacies. '...what you'll find is the interaction with the pharmacist isn't always the, is not probably the most common interaction that the patient has in the community pharmacy, it's more normal with the healthcare assistant on the counter.' Pharmacist 15, Birmingham. Pharmacists report that during busy times advice is given over the counter instead of in the consultation room, therefore affecting the quality of the advice given. '...the time engaged with customers where you get a chance to actually counsel and go through what they're taking, why they're taking it, how to take, it's, is very restricted. So you find that you're not giving that pharmaceutical advice that you'd like to give in a way that you'd want to give it. So I think it's not reinforced to the customer as best as it can be.' Pharmacist 14, Birmingham. Some pharmacists were concerned that misinformation is given to patients from untrained and inexperienced pharmacy staff. 'if the assistant doesn't feel confident then, and you'll see it with newer assistants, then they're likely to just say, go to the doctor, which is obviously not a good thing.' Pharmacist 11, Birmingham. It was mentioned that as you don't need to book appointments with pharmacists it gives patients immediate access to a health care professional, unlike other primary care services. 'The advantage is from a patient point of view, is they've kind of got a healthcare professional ...on demand... They might never have walked into your pharmacy ever but they can walk in and access that self-care.' Pharmacist 9, Wales. | Pharmacists do not know diagnoses without asking the patient. This makes it difficult for pharmacists to query accuracy and appropriateness of prescriptions with GPs. '...I don't know what's been diagnosed, obviously even with UTIs you're guessing, but if it's Trimethoprim or Nitrofurantoin you generally know. But... it's hard for me to know if the doctor is being conscientious when I don't know what's being treated.' Pharmacist 18, Wales. | AMR was described as not being promoted or advertised within community pharmacy settings. 'We know about it, and I did my initial training in a hospital so, really, it's not something that would be heavily advertised I know about what happens. But, in the community it's not would say.' Pharmacist 2, Gloucestershire. Some believe that AMR needs to be tackled across all sectors of healthcare and not just one sector of healthcare, for example, just primary care, or just GPs. Pharmacists are generally unaware of any AMS going on within their Clinical Commissioning Group (CCG). 'I can't recall a particular campaign, I don't think.' Pharmacist 15, Birmingham. Pharmacists in England reported not having much communication with their CCG. 'because they haven't really approached us in the pharmacy, so I would say it's probably not very active...I don't know what they do with the doctors though, because they haven't informed us of anything.' Pharmacist 19, Birmingham. Pharmacists in Wales reported that their Health Boards are very proactive within community pharmacy. 'They're really proactive. You've got several health campaigns every couple of months. We get the leaflets, we get audits, whatever. It's very proactive' Pharmacist 20, Wales. |
| 12 Social influence                    | Pharmacists believe that patients are given unnecessary prescriptions from their GP as a result of patient expectations. 'I think some GPs they even succumb to pressures with giving out a prescription for it. And then you see the patient and I don't think they need that antibiotic.' Pharmacist 13, Birmingham  | Pharmacy staff have noticed that patients are disinterested in compliance advice and tend to be in a rush to go. 'You can stay talking to them all day long and they don't mind but sometimes, like I said, especially for antibiotics, they just want to go.' Pharmacy staff, Gloucestershire.   | Pharmacists believe that the general public finds it difficult to understand AMR and this prevents them from attempting to discuss it with them. 'It's, I think it's very difficult for the public to get their head around.' Pharmacist 5, Gloucestershire. Pharmacists report that AMR is not something that is discussed frequently with colleagues. 'It's not something that we would probably discuss as such within the pharmacy, yeah.' Pharmacist 1, Gloucestershire.  |
| 13 Emotion                             | This domain was not relevant in this context.  | This domain was not relevant in this context.   | All pharmacists were concerned about AMR, only very few expressed fear as a response. 'Because I am quite scared actually that...these antibiotics in the next 20 odd years they're not going to be effective at all... So, yeah, that does frighten me a bit.' Pharmacist 13, Birmingham.   |
| 14 Behavioural regulation              | Pharmacists receive informal feedback from regular customers on the advice they give and the products they recommend. 'patients do sometimes come back and say, oh that worked really well' Pharmacist 12, Gloucestershire. There is not a way for pharmacists to find out if their advice was unhelpful. 'Only if the patient really came in and told us about it, but no, there's no way of finding out other than that.' Pharmacist 21, Birmingham.   | There were no emerging themes within this domain.   | A few pharmacists in Wales reported the effectiveness of audits on raising awareness of AMR and changing practice. 'Well we had the audit so I think that raised a bit more awareness of possibly what you should be doing' Pharmacist 23, Wales.  |

interviewing researcher. Once aware, they were optimistic that giving self-care advice and compliance advice could have a significant impact on AMR. Although, many pharmacists believed that discussing AMR with the general public would be difficult as their perception was that they would find it difficult to understand the concept.

## Summary

### Identification of potential interventions

Analysis using the TDF has been applied here to the BCW.<sup>15</sup> By identifying key influential domains from the TDF, the BCW allows for the identification of intervention functions, policy categories and alongside the BCT Taxonomy v1, BCTs to inform intervention recommendations in this context. Table 2 demonstrates this process of identifying key domains through to intervention recommendations.

## DISCUSSION

### Implications for practice

Barriers such as underskilled staff giving misinformation to patients and time pressure both for patients and pharmacists are reported to be influential on the quality of advice given to patients. Therefore, time-saving resources need to be developed to assist pharmacists and pharmacy staff in giving self-care advice and antibiotic compliance advice to patients that can also double as educational resources for new pharmacy staff or pharmacy staff in training. Feedback from this study indicates that the TARGET Treating Your Infection leaflet for pharmacies could be an appropriate solution, as shown by a recent pilot study of the TARGET leaflet in community pharmacy which concluded that the leaflet will act as a cue to have infection-related self-care conversations with patients and facilitate a short consultation.<sup>17</sup> Adapting the TARGET UTI leaflet or use of other pictorial leaflets may also help.<sup>18 19</sup> Pharmacy staff also suggested pictorial stickers or simple leaflets in order to communicate and reinforce compliance advice with patients.

Audit templates to assess self-care and antibiotic compliance advice in pharmacies should increase awareness of the link between giving self-care advice and AMR, and improve the pharmacy service. Any such audits should provide firm action plans and allow for reauditing with the aim of quality improvement.<sup>20</sup>

If the role of pharmacists is to consider the accuracy of antibiotic prescriptions or give the most appropriate self-care advice then they need to be aware of patients' diagnoses. Without diagnosis information, pharmacists are only able to identify that the prescription is the correct course and dosage for the specified antibiotic and give appropriate compliance advice. A system should be developed to provide pharmacists with quick and easy access to prescription indications which will support pharmacists in their AMS activities, enabling them to provide tailored self-care advice alongside antibiotic prescriptions. Self-care advice will facilitate patients self-care for any future

self-limiting infections. Providing coded diagnoses, similar to that of Read coding, offers a quick and confidential way of communicating diagnosis information to pharmacists. An alternative could be to provide pharmacists with access to patient records in order to access diagnosis. Indeed the Royal Pharmaceutical Society believes that pharmacists should have full access to patient records in the interest of safe and effective patient care.<sup>21</sup> However, in community pharmacy, time would need factoring into the dispensing process to enable community pharmacists to check clinical appropriateness within local guidelines for each antibiotic prescription.

Extending pharmacy services to include patient examination could be an optional additional training for pharmacists to enable more targeted advice. Further research would be required to evaluate the additional service to understand the added benefit versus risks to the wider health economy and patients.

### Implications for research

It is recommended that future research uses behavioural theory such as the TDF and the BCW in the development of AMS interventions for community pharmacy, in order to understand the behavioural domains, intervention functions and policy categories relevant to successful implementation. It will be important to develop any interventions alongside input from target users such as pharmacists, pharmacy staff and stakeholders, including the general public for any patient facing interventions; in order to understand potential barriers and facilitators to implementation, and any recent changes to perceptions of AMR and AMS since this study. The effect of any interventions or resources needs to be evaluated in a range of pharmacy settings.

### Comparison with existing literature

A recent audit of over-the-counter medication sales and self-care advice demonstrated that community pharmacies are the first port of call for patients. During the audit period, over 4 in 10 instances where an over-the-counter product was not supplied was because the community pharmacy team identified that the patient required a referral to another health service, the most common being GP referrals.<sup>22</sup> With lack of time and staff skillset being an issue, it is recommended here that a resource is developed to support pharmacists and their staff in giving effective self-care and compliance advice.

GPs and pharmacists both reported that common infections can be dealt with in community pharmacy as pharmacists are knowledgeable and well skilled in providing effective advice. Indeed, research has shown that patients are satisfied with consultations by non-medical prescribers such as pharmacists for acute respiratory tract infections.<sup>23</sup> Forty-four per cent of patients expected a physical examination from their non-medical prescriber and reported that it was important for reassurance. Similarly, non-medical prescribers used physical examinations to reassure patients, and as a form of evidence to justify



**Table 2** Intervention recommendations for community pharmacy using the Theoretical Domains Framework (TDF), Behaviour Change Wheel and behaviour change techniques taxonomy v1

| Finding  | COM-B<br>(Capability, Opportunity, Motivation - Behaviour) |  |   | Behaviour change techniques (selected)   | Recommendations and examples  |
|--|--|--|---|--|---|
|  | TDF domains  | Intervention functions (selected)                      | COM-B (Capability, Opportunity, Motivation - Behaviour) |  |   |
| 1. Lack of communication with Clinical Commissioning Groups (CCGs).  | Environmental context and resources.                       | Training<br>Environmental restructuring<br>Enablement. | Physical opportunity.                                   | Restructuring the physical environment<br>Social support.  | Better communication links with CCGs are needed: CCGs to promote antimicrobial stewardship in community pharmacies or lead on audits.   |
| 2. Time is an issue for pharmacists.<br>3. Misinformation can be given to patients.  | Environmental context and resources                        | Training<br>Environmental restructuring<br>Enablement. | Physical opportunity                                    | Adding objects to the environment<br>Instruction on how to perform a behaviour.                                  | Resource for all pharmacy staff to provide self-care information to patients for example, patient information leaflet.  |
| 4. Belief that patients do not comply.<br>5. Belief that patients are not interested in compliance advice.                   | Beliefs about consequences.                                | Education<br>Persuasion.                               | Reflective motivation.                                  | Information about health consequences (for patients)<br>Credible sources<br>Prompts/cues.                        | Compliance advice resources:<br>1. A leaflet to be shared with the patient and discussed.<br>2. A leaflet to be inserted into the prescription bag.<br>3. Stickers to place on the box with pictorial compliance information. |
| 6. Lack of feedback on self-care advice given.<br>7. Unaware of link between AMR and giving advice.                          | Memory, attention and decision-making.                     | Training<br>Environmental restructuring<br>Enablement. | Psychological capability.                               | Feedback on behaviour<br>Self-monitoring of behaviour<br>Goal setting<br>Action planning.                        | Self-care advice audits:<br>1. An electronic audit within the pharmacy system.<br>2. A hard copy audit to be completed manually.<br>Training on link between AMR and self-care advice.  |
| 8. Lack of feedback on compliance advice given.  | Memory, attention and decision-making.                     | Training<br>Environmental restructuring<br>Enablement. | Psychological capability.                               | Feedback on behaviour<br>Self-monitoring of behaviour<br>Goal setting<br>Action planning.                        | Antibiotic compliance audits on advice given and actual compliance:<br>1. An electronic audit within the pharmacy system.<br>2. A hard copy audit to be completed manually.<br>3. Patient survey.                             |
| 9. Patient diagnosis is not available.<br>10. Unclear whether it is the pharmacist's role to query antibiotic prescriptions. | Professional role and identity.                            | Education<br>Persuasion<br>Modelling.                  | Reflective motivation.                                  | Information about health consequences.<br>Feedback on outcomes of behaviour.<br>Prompts/cues<br>Credible source. | Provide prescription indications:<br>1. Provide coded diagnosis information on patient prescriptions.<br>2. Provide access to patient records.  |
| 11. Advice is limited as pharmacists cannot provide examinations.  | Professional role and identity.                            | Education<br>Persuasion<br>Modelling.                  | Reflective motivation.                                  | Demonstration<br>Information about health consequences<br>Credible source.                                       | Offer optional accredited medical training to pharmacists in patient examination to inform advice giving.   |

their treatment decision.<sup>23</sup> While pharmacists are not trained to listen to patient breathing it was reported by some pharmacists in this study that it would be a useful addition to community pharmacy services.

### Strengths and limitations

To our knowledge, this is the first study to explore pharmacists' and pharmacy staff attitudes and experiences around self-care advice for common infections, antibiotic compliance advice, AMS activities and AMR using the TDF. The use of the TDF and BCW to guide the question schedules, interpret the findings and inform intervention recommendations is a novel use of behavioural theory in this context.

By recruiting pharmacists and pharmacy staff through randomly selecting pharmacies from lists stratified by urban/rural, independent/small chain/large chain, facilitated recruitment of a wide-ranging sample with a range of experiences. Some had hospital experience and therefore had the first-hand experience of the implications of bacterial resistance, some had only worked in small independents and therefore had close relationships with their community and others worked with large teams in high street pharmacies with fewer familiar patients. As with qualitative studies, there is always the possibility that only AMR enthusiasts volunteered to take part, however, the study team believe that randomisation of the pharmacy lists and the £40 financial incentive to participate enabled and attracted non-enthusiasts to participate. Furthermore, pharmacy staff admitted not understanding the link between self-care and compliance with AMR indicating that they were probably not enthusiasts.

This study used focus groups and interviews including a mix of telephone and face-to-face methods. This ensured that participants could choose the method which was most convenient and comfortable for them. It could be argued that a large scale survey could be appropriate for this context but the depth and quality of the information gained here would not have been plausible with a survey design.

### CONCLUSIONS

This study has highlighted a number of implications for community pharmacy practice. The authors suggest the development or adaptation of resources for use in community pharmacy including a resource to assist pharmacists and pharmacy staff in providing self-care advice to patients for common infections, a resource to assist pharmacists and pharmacy staff in giving antibiotic compliance advice to patients and audits for pharmacists and pharmacy staff to monitor and improve self-care advice and antibiotic compliance advice.

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